



THE PROVINCE OF MPUMALANGA
DIE PROVINSIE MPUMALANGA

**Provincial Gazette Extraordinary
Buitengewone Provinsiale Koerant**

(Registered as a newspaper) • (As 'n nuusblad geregistreer)

Vol. 21

NELSPRUIT, 1 AUGUST
AUGUSTUS 2014

No. 2343

We all have the power to prevent AIDS

AIDS
affects
us all



A
new
struggle

Prevention is the cure

**AIDS
HELPLINE**

0800 012 322

DEPARTMENT OF HEALTH

N.B. The Government Printing Works will not be held responsible for the quality of "Hard Copies" or "Electronic Files" submitted for publication purposes



IMPORTANT NOTICE

The Government Printing Works will not be held responsible for faxed documents not received due to errors on the fax machine or faxes received which are unclear or incomplete. Please be advised that an "OK" slip, received from a fax machine, will not be accepted as proof that documents were received by the GPW for printing. If documents are faxed to the GPW it will be the sender's responsibility to phone and confirm that the documents were received in good order.

Furthermore the Government Printing Works will also not be held responsible for cancellations and amendments which have not been done on original documents received from clients.

CONTENTS • INHOUD

<i>No.</i>		<i>Page No.</i>	<i>Gazette No.</i>
GENERAL NOTICE			
302	Mpumalanga Gambling Act (5/1995): Amendment of the Mpumalanga Gambling Rules	3	2343

GENERAL NOTICE

NOTICE 302 OF 2014

MPUMALANGA GAMBLING BOARD

MPUMALANGA GAMBLING ACT, 1995 (ACT NO. 5 OF 1995)

AMENDMENT OF THE MPUMALANGA GAMBLING RULES

In terms of section 84 of the Mpumalanga Gambling Act, 1995 (Act No. 5 of 1995), as amended, the Mpumalanga Gambling Board hereby amends and makes the following Rules:

General Explanatory Note:

[**BOLD**] words typed in bold type in square brackets indicate omissions from the existing Rules.

_____ words underlined with a solid line indicate insertions in existing Rules.

DRAFT MPUMALANGA GAMBLING AMENDMENT RULES, 2014

To amend the Mpumalanga Gambling Rules to be in line with operational requirements of the Board, to define or further define certain expressions, to amend the Rules so as to further regulate the applicability of the rules; to further regulate self-exclusions; to further regulate internal control procedures; to further regulate gambling machine conversions; to further regulate distribution of gambling machines; to further regulates casino surveillance systems; to further regulate central monitoring systems; to further regulate casino chips, plaques, tokens and cards; to further regulate EPROM control; to further regulate casino key controls; to further regulate distribution of electronic bingo devices; to further regulate route operator LPM accounting; to further regulate bookmaker IT systems; to further regulate computerised bookmaker wagering systems; and to further regulate totalisator wagering record-keeping systems.

Amendment of Rule 1.020

1. Rule 1.020 of the Mpumalanga Gambling Rules (hereinafter referred to as the Rules) is hereby amended, by the insertion after paragraph (d) of subrule (1) of the following paragraph:

“(e) Part 5 shall be applicable to all applicants for and holders of bookmaker, totalisator operator, totalisator agency and branch licences as well as all holders of manufacturer, maintenance or supplier licences who deal with bookmaker and totalisator operator licensees.”

Amendment of Rule 1.050

2. Rule 1.050 of the Rules is hereby amended –

(a) by the insertion after the definition of “**machine repairs**” the following definition:

“**NRCS**’ means the National Regulator for Compulsory Specifications as contemplated in section 3 of the National Regulator for Compulsory Specifications Act, 2008 (Act No. 5 of 2008);”

(b) by the insertion after the definition of “**rake-off**” the following definition:

“**SANS**’ means the South African National Standards set by the NRCS;”

(c) by the deletion of the definition for “**SABS**”; and

(d) by the substitution for the definition of “the Regulations” of the following definition:

“**the Regulations**” means the Mpumalanga Gambling Regulations, [1996] 2014, published on [23 August 1996, as amended] 29 May 2014;

Insertion of Rule 2.070

3. The Rules are hereby amended by the insertion of Rule 2.070 after Rule 2.060 as follows:

“2.070 Policy on self-exclusions”

(1) All licensees shall develop a policy on self-exclusions and submit same to the Board.

(2) The Board may publish by Notice minimum requirements for the self-exclusion policy.”

Insertion of Rule 2.080

4. The Rules are hereby amended by the insertion of Rule 2.080 after Rule 2.070 as follows:

“2.080 Internal control procedures”

(1) The Board may publish by Notice, minimum requirements for licensees’ internal control procedures.

(2) Each applicant for a licence and each licensee shall submit internal control procedures to the Board prior to commencement of gambling operations, unless otherwise approved by the Board.

Amendment of Rule 3.020

5. Rule 3.020 of the Rules is hereby amended by the substitution for paragraph (a) of subrule (1) of the following paragraph:

“(a) a copy of the memorandum [**and articles of association**] of incorporation of the company, including any amendments;”.

Amendment of Rule 4.020

6. Rule 4.020 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) No person shall distribute a gambling machine in the Province or offer a gambling machine for play unless it has been certified according to the standards set by the [**SABS**] NRCS and approved by the Board.”

Amendment of Rule 4.030

7. Rule 4.030 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) This chapter applies to all gambling machines offered for play in the Province to the extent they do not conflict with the standards set by the [**SABS**] NRCS. In any cases of conflict, the standard set by the [**SABS**] NRCS shall prevail unless the Board determines otherwise.”

Amendment of Rule 4.040

8. Rule 4.040 of the Rules is hereby amended by the substitution for paragraph (b) of subrule (3) of the following paragraph:

“(b) a certification that the gambling machine as modified is in accordance with the standard approved by the [**SABS**] NRCS.”

Amendment of Rule 4.050

9. Rule 4.050 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) A conversion may only be performed by a licensee who is authorised in terms of his licence to manufacture or maintain the gambling machine being converted or a licensed employee of the licensee whose gambling machine is being converted.”

Amendment of Rule 4.070

10. Rule 4.070 of the Rules is hereby amended by the substitution for subrule (2) of the following subrule:

“(2) The procedure for performing such duplication shall be contained in the route and casino licensee’s internal control procedures **[as approved by the Board].**”

Amendment of Rule 4.080

11. Rule 4.080 of the Rules is hereby amended –

(a) by the substitution for the word preceding paragraph (a) in subrule (2) of the following words:

“(2) No licensee shall distribute any gambling machine within, into or out of the Province or transport any gambling machine through the Province without the prior written approval of the Board. Applications for such approval shall be made, processed, and determined in such manner and using such forms as approved by the Board and shall include, in addition to such other items or information as the Board may require –“; and

(b) by the substitution for subrule (4) of the following subrule:

“(4) The Board may inspect all gambling machines prior to distribution out of, into, within or through the Province. Licensees shall make **[the] such** gambling machines available for such inspection.”

Amendment of Rule 4.100

12. Rule 4.100 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) A licensee shall not offer a casino game played on a gambling machine for play unless such game has been certified as conforming to the standard set by the **[SABS] NRCS** and approved by the Board: Provided that the Board may at its discretion give temporary approval for the operation of such a game, subject to certification in terms of the **[SABS] SANS** standard and any other conditions as determined by the Board.

Amendment of Rule 4.130

13. Rule 4.130 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) No person shall distribute, within, into or out of the Province, any gambling or associated equipment, devices or games, which requires approval and registration for use in the Province in terms of regulation **[210] 126** of the Regulations, without the prior written approval of the Board: Provided that approval shall only be applied for in respect of gambling or associated equipment, devices or games, which require approval for use and registration in the Province in terms of the Act, Regulations or the relevant **[SABS] SANS** technical standards: Provided further that the Board will determine the manner and form in which the distribution of replacement and maintenance components or parts of gambling or associated equipment or devices will take place.”

Amendment of Rule 6.030

14. Rule 6.030 of the Rules is hereby amended –

(a) by the substitution for subrule (8) of the following subrule:

“(8) A minimum of one monitor for every 25 cameras in the gambling machine area, and one monitor for every 15 cameras for the tables area shall be fitted in the surveillance room or such other number of cameras per monitor as the Board may approve.”; and

(b) by the substitution for subrule (9) of the following subrule:

“(9) A ratio of one surveillance officer to eight monitors shall be maintained at all times during casino operating hours or such other ratio as the Board may approve.”

Amendment of Rule 6.040

15. Rule 6.040 of the Rules is hereby amended by the substitution for subrule (4) of the following subrule:

“(4) The surveillance **[system] room** shall be staffed and the surveillance equipment monitored at all times by trained surveillance personnel, which shall be employed and trained by the licensee in accordance with minimum standards approved by the Board, exclusively for surveillance purposes, and shall possess adequate knowledge of all casino games played at the casino as well as the relevant legislation pertaining to gambling operations.”

Amendment of Rule 6.090

16. Rule 6.090 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) Every licensee shall establish and maintain a **[written]** log of any and all casino surveillance system equipment malfunctions, and retain the log for at least five years after the date of the most recent entry in the log.”

Amendment of Rule 6.100

17. Rule 6.100 of the Rules is hereby amended by the substitution for subrule (2) of the following subrule:

“(2) Every licensee shall record and maintain a **[written]** log of all activities observed by casino surveillance personnel that appear unusual or irregular, or that violate or appear to violate any law of the Republic, the Act, the Regulations or these Rules, and notify the Board thereof in a daily report.”

Amendment of Rule 7.010

18. Rule 7.010 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) Every casino licensee shall implement a computerised on-line central monitoring system (CMS) capable of meeting the requirements contained in the **[SABS] SANS** standard as well as any other requirement as determined by the Board. **[the following logging, searching and reporting requirements –**

- (a) authorised and unauthorised door open (cash box, machine and note acceptors);**
- (b) cash box, note acceptor machine door open;**
- (c) cash box, note acceptor machine door close:**

- (d) invalid service/key card;**
- (e) power off;**
- (f) power on;**
- (g) connection or break in connection to CMS;**
- (h) hopper empty;**
- (i) jackpot, progressive jackpot won and value thereof, provided that if the central monitoring system does not record the value of a progressive jackpot, the procedure for verification thereof shall be contained in the licensee's internal control procedure;**
- (j) jackpot reset and credit cancel;**
- (k) paid out coins while door open;**
- (l) coin jam;**
- (m) all personnel gaining access to the gambling machine;**
- (n) gambling machine component errors as required in terms of the SABS standard;**
- (o) collection of individual device financial data;**
- (p) collection of individual soft meter data at the game level which will include at a minimum –**
 - (i) in meter;**
 - (ii) out meter;**
 - (iii) coin drop meter to cash box;**
 - (iv) jackpot meter; and**
 - (v) bill validator meters reflecting value of notes accepted by denomination;**
- (q) comparison of soft meter data against cash box hard count;**
- (r) systems security;**
- (s) the collection of soft meter data shall be performed via a secure link to the machine software;**
- (t) logging of all manual inputs to the CMS including the person performing and authorising the input; and**
- (u) any other requirement as determined by the Board.]”**

Amendment of Rule 7.020

19. Rule 7.020 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

“(1) The hardware and software configuration of the CMS shall be certified to conform to the standard set by the **[SABS] NRCS** and approved by the Board prior to utilisation thereof by a licensee.”

Amendment of Rule 7.040

20. Rule 7.040 of the Rules is hereby amended by the substitution for paragraph (b) of subrule (1) of the following paragraph:

“(b) device description ([e.g.] i.e. serial number, manufacturer);”.

Amendment of Rule 7.070

21. Rule 7.070 of the Rules is hereby amended by the substitution for paragraph (b) of subrule (2) of the following paragraph:

“(b) diskette, compact disk or USB;”.

Amendment of Rule 8.010

22. Rule 8.010 of the Rules is hereby amended –

(a) by the substitution for paragraph (m) of subrule (3) of the following paragraph:

“(m) R10 000-00 “grape”, or such other colour description as the Board may approve;”;

(b) by the substitution for the words preceding paragraph (a) in subrule (10) of the following words:

“(10) Each casino licensee shall **[submit]** make available to the Board at its request a monthly summary of the value chip inventory for each denomination, which shall include at a minimum, the following –“; and

(c) by the substitution for subrule (14) of the following subrule:

“(14) At least one side of each promotional chip shall conspicuously bear the inscription: “No Cash Value” or such other inscription as approved by the Board.”

Amendment of Rule 8.050

23. Rule 8.050 of the Rules is hereby amended –

(a) by the substitution for subrule (2) of the following subrule:

“(2) Gambling chips previously issued by a casino licensee which are not in active use by that casino licensee, shall not be used for wagering at authorised table games, unless otherwise approved by the Board.”; and

(b) by the substitution for subrule (8) of the following subrule:

“(8) Each casino licensee shall redeem promptly its own genuine gambling chips or plaques presented to it by any other legally operated casino licensee. The redemption process for credit plaques from another casino shall be contained in the casino licensee’s internal control procedures **[as approved by the Board]**. Each casino licensee shall submit to the Board for approval, a system for chip and plaque exchange between themselves and other legally operated casino licensees, of gambling chips and plaques –

(a) that are in its possession and that have been issued by other legally operated casino licensees; and

(b) that it has issued and that are presented to it for redemption by any other legally operated casino licensee.

Amendment of Rule 8.070

24. Rule 8.070 of the Rules is hereby amended by the substitution for subrule (3) of the following subrule:

“(3) Subject to the provisions of sub-rule (1), all gambling machine tokens used in a casino shall conform to the applicable **[SABS] SANS** standard.”

Amendment of Rule 8.080

25. Rule 8.080 of the Rules is hereby amended by the substitution for paragraph (b) of subrule (2) of the following paragraph:

“(b) there is an inscription on at least one side of each token depicting the words “No Cash Value” or such other inscription as approved by the Board.”

Amendment of Rule 8.170

26. Rule 8.170 of the Rules is hereby amended –

(a) by the substitution for subrule (1) of the following subrule:

“(1) Cards used to play blackjack and poker will be identical in shape and shall be at a minimum of 86mm in length and at maximum, 90mm in length, a minimum of 61mm in width and at a maximum of 65mm in width or such other dimensions as the Board may approve.”; and

(b) by the substitution for subrule (2) of the following subrule:

“(2) Cards used to play baccarat, punto banco and chemin de fer shall each be identical in shape and shall be at a minimum of 96mm in length and at maximum 100mm in length and at a minimum 64mm in width and at a maximum, 68mm in width or such other dimensions as the Board may approve.”

Amendment of Rule 8.200

27. Rule 8.200 of the Rules is hereby amended by the substitution for subrule (5) of the following subrule:

“(5) During non-operating hours, or while the roulette table is closed except where a table is temporarily suspended in an opened and supervised pit, a see through security plate shall be fitted over the bowl and the turret of the roulette wheel to prevent any tampering. This plate shall be fixed in a manner preventing access to the area covered by the security plate. The seals or keys of the locks used for this purpose shall be recorded in a log book and verified by a gambling security officer and a pit boss or designate, for both tables opening and closing.”

Amendment of Rule 9.010

28. Rule 9.010 of the Rules is hereby amended –

(a) by the deletion of subrules (2) and (3); and

(b) by the substitution for subrule (4) of the following subrule:

“(4) Every licensee shall conduct its operations in terms of its internal control procedures **[as approved by the Board]** and any non-compliance with the internal control procedures may be a ground for disciplinary action against a licensee.”

Amendment of Rule 9.020

29. Rule 9.020 of the Rules is hereby amended as follows:

“Subject to the provisions of regulation **[13] 4** of the Regulations, the procedures and policies for the payment of gratuities to staff shall be contained in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 9.040

30. Rule 9.040 of the Rules is hereby amended as follows:

“A casino licensee may accept deposits from patrons in cash or cash equivalents, subject to the conditions for such deposits contained in its **[approved]** internal control procedures.”

Amendment of Rule 9.050

31. Rule 9.050 of the Rules is hereby amended as follows:

“Controls relating to the day to day operations of gambling tables (e.g. table opening/closing, dealers’ duties, table limits, order of pay-outs, inspectors’ duties, and change procedures) shall be specified in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 9.060

32. Rule 9.060 of the Rules is hereby amended by the substitution for subrule (1) of the following subrule:

(1) The procedures for call bets shall be contained in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 9.070

33. Rule 9.070 of the Rules is hereby amended by the substitution of subrule (1) of the following subrule:

“(1) Controls relating to table fill and table credits shall be specified in the licensee’s internal control procedures **[as approved by the Board]**, subject to the following requirements –

- (a) table fill and table credit slips shall be in triplicate, in a continuous numerical sequence and shall be pre-numbered or in such computerised format as approved by the Board;
- (b) all table fills and table credits shall be monitored and recorded by the surveillance department; and
- (c) duties allocated to personnel shall be subject to segregation of incompatible functions.”

Amendment of Rule 9.080

34. Rule 9.080 of the Rules is hereby amended as follows:

“Controls relating to the day to day operation of gambling machines, (e.g. cash variances, complimentary accounts, customer complaints, documentation, asset registers, jackpot base values and progressive rates, reel tape control, security seals, slot statistics, reports and machine moves) shall be specified in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 9.090

35. Rule 9.090 of the Rules is hereby amended as follows:

“Controls relating to gambling machine hand payments and jackpot payments shall be specified in the licensee’s internal control procedures **[as approved by the Board]**, subject to the following requirements –

- (a) hand payment and jackpot documentation shall be in triplicate, in a continuous numerical sequence and shall be pre-numbered or in such computerised format as approved by the Board;

- (b) all hand payment and jackpot documentation shall be completed on stationary approved by the Board; and
- (c) duties allocated to personnel shall be subject to segregation of incompatible functions.”

Amendment of Rule 9.100

36. Rule 9.100 of the Rules is hereby amended by the substitution for subrule (2) of the following subrule:

“(1) Procedures relating to promotional pay-outs and awards shall be contained in the licensee’s internal control procedures **[as approved by the Board]**.

Amendment of Rule 9.110

37. Rule 9.110 of the Rules is hereby amended –

(a) by the substitution for paragraph (a) of subrule (1) of the following paragraph:

“(a) the master program number, **[par] return to player** percentage and pay table shall be verified to the manufacturer’s specification sheet and certification number by a senior member of the slots technical department and a member of gambling floor security, and”;

(b) by the substitution for paragraph (d) of subrule (3) of the following paragraph:

“(d) date of **[par] return to player percentage** verification and signatures of all persons present;”;

(c) by the substitution for subrule (4) of the following subrule:

“(4) Procedures for copying of EPROM’s and the sealing of EPROM’s into the machines shall be documented in the licensee’s internal control procedures **[as approved by the Board]**.”; and

(d) by the substitution for subrule (5) of the following subrule:

“(5) Procedures for the controlling of competition and promotional EPROM’s shall be documented in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 9.120

38. Rule 9.120 of the Rules is hereby amended by the substitution for paragraph (b) of subrule (2) of the following paragraph:

“(b) casino accounts department shall file the closing fill in accordance with the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 10.050

39. Rule 10.050 of the Rules is hereby amended –

(a) by the substitution for the words before paragraph (a) of the following words:

“Controls relating to the day to day operations of the casino accounts department shall be specified in the licensee’s internal control procedures **[as approved by the Board]**, which shall provide for segregation of duties in accordance with general accounting and auditing practices –“; and

(b) by the substitution for sub-paragraph (iii) of paragraph (b) of the following sub-paragraph:

“(iii) the internal control procedures **[as approved by the Board]** shall identify all security stationery and the specific controls relating to each form required;”.

Amendment of Rule 10.120

40. Rule 10.120 of the Rules is hereby amended by the substitution for subrule (2) of the following subrule:

“(2) The gambling machine accounting/auditing procedures shall be contained in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 10.130

41. Rule 10.130 of the Rules is hereby amended by the substitution for subrule (3) of the following subrule:

(3) User identity in respect of passwords shall be controlled as follows, unless otherwise addressed in the licensee’s internal control procedures **[as approved by the Board]** –

- (a) each user shall have his or her own individual password;
- (b) passwords shall be changed at least monthly; and
- (c) the system shall preclude and individual from using the same password for more than one month in every twelve months.”

Amendment of Rule 10.140

42. Rule 10.140 of the Rules is hereby amended by the substitution for the words before paragraph (a) of the following words:

“The following aspects shall be addressed in the licensee’s internal control procedures **[as approved by the Board]** –”.

Amendment of Rule 11.070

43. Rule 11.070 of the Rules is hereby amended by the substitution for subrule (3) of the following subrule:

“(3) The detailed count procedures and uniforms worn in the count rooms shall be contained in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 11.090

44. Rule 11.090 of the Rules is hereby amended as follows:

“In certain instances an emergency clearance of gambling machines may be required. The procedures required to perform such clearances shall be contained in the internal control procedures of the licensee **[and as approved by the Board]**.”

Amendment of Rule 11.100

45. Rule 11.100 of the Rules is hereby amended by the substitution for subrule (9) of the following subrule:

“(9) The total coins counted per machine will be compared with the meter readings obtained from the machine on a daily basis. Investigation and reporting procedures with regards to variances will be contained in the licensee’s internal control procedures **[as approved by the Board]**.”

Amendment of Rule 11.110

46. Rule 11.110 of the Rules is hereby amended –

(a) by the substitution for subrule (7) of the following subrule:

“(7) The notes counted will be compared to the gambling machine’s meter reading on a daily basis. Investigation and reporting procedures with regard to variances will be contained in the licensee’s internal control procedures **[as approved by the Board]**.”; and

(b) by the substitution for subrule (8) of the following subrule:

“(8) Corrections to information originally recorded by the count team on currency acceptor count documentation shall be made in accordance with the licensee’s internal control procedures [as approved by the Board].”

Substitution of Rule 11.120

47. The following Rule is hereby substituted for Rule 11.120 of the Rules:

“11.120 Key control standards

The licensee shall provide for key controls in respect of drop box release keys, drop box storage rack keys, drop box contents keys, count room keys, duplicate keys and the control of any other keys which the Board may require, in its internal control procedures.”

Amendment of Rule 12.010

48. Rule 12.010 of the Rules is hereby amended by the substitution for subrule (3) of the following subrule:

“(3) Reports documenting the procedures above shall be developed and documented in the internal control procedures **[as approved by the Board]**.”

Substitution of Rule 15.060

49. The following Rule is hereby substituted for Rule 15.060 of the Rules:

“15.060 Marking, registration and distribution of electronic bingo devices

For the purpose of these rules, the provisions prescribed in terms of Rule 4.080 relating to the marking, registration and distribution of gambling machines shall apply *mutatis mutandis* to electronic bingo devices.”

Amendment of Rule 19.010

50. Rule 19.010 of the Rules is hereby amended –

(a) by the deletion of subrules (2) and (3); and

(b) by the substitution for subrule (4) of the following subrule:

“(4) Every licensee shall conduct its operations in terms of its internal control procedures **[as approved by the Board]** and any non-compliance with the internal control procedures may be a ground for disciplinary action against a licensee.”

Amendment of Rule 19.020

51. Rule 19.020 of the Rules is hereby amended as follows:

“Subject to the provisions of regulation [13] 4 of the Regulations, the procedures and policies for the payment of gratuities to staff shall be contained in the licensee’s internal control procedures [as approved by the Board].”

Amendment of Rule 20.010

52. Rule 20.010 of the Rules is hereby amended –

(a) by the deletion of the definition for “SABS”; and

(b) by the substitution for the definition for “ICP” of the following definition:

“ICP’ means the [approved or] adopted internal control procedures, required in terms of [either] regulation [182 or Regulation 207] 72 of the Regulations;”.

Amendment of Rule 22.060

53. Rule 22.060 of the Rules is hereby amended by the substitution for paragraph (c) of subrule (1) of the following paragraph:

“(c) ensure proper functioning of LPMs, insofar as prescribed by the [SABS] SANS specifications, all applicable gambling legislation, and the Site Licensee’s ICP;”.

Amendment of Rule 23.010

54. Rule 23.010 of the Rules is hereby amended –

(a) by the deletion of subrules (2), (3) and (4);

(b) by the substitution for subrule (5) of the following subrule:

“(5) Every licensee shall conduct its operations in terms of its ICP [as approved by the Board] and any non-compliance with the ICP may be a ground for disciplinary action.”; and

(c) by the substitution for subrule (11) of the following subrule:

“(11) Notwithstanding the provisions of this Rule, where a Route Operator or Site Licensee utilises a computerised system and electronic signature identification, the Board may [approve] determine different requirements and provisions in respect of their ICP, upon demonstration by the Route Operator or Site Licensee to the satisfaction of the Board that there are sufficient controls in place to ensure the integrity and validity of the computerised system and the reports generated.

Amendment of Rule 24.010

55. Rule 24.010 of the Rules is hereby amended by substitution for sub-paragraph (vii) of paragraph (a) of subrule (1) of the following sub-paragraph:

“(vii) the LPM certified model, model number and [SABS] NRCS reference number;”.

Amendment of Rule 24.030

56. Rule 24.030 of the Rules is hereby amended –

(a) by the substitution for subrule (1) of the following subrule:

“(1) Each LPM shall comply with the relevant [SABS] SANS Standards at all times.”; and

(b) by the substitution for subrule (2) of the following subrule:

"(2) Each LPM shall electronically record, store and send to the CEMS the meter information specified by the applicable **[SABS] SANS** standard."

Amendment of Rule 25.030

57. Rule 25.030 of the Rules is hereby amended by the substitution for subrule (4) of the following subrule:

"(4) A monthly reconciliation shall be prepared by the **[Finance Department] Route Operator** in respect of the collected and processed taxable revenue and any difference shall be followed up with the CEMS operator. **[pertaining to all LPMs exposed for play by the Route Operator.]**"

Amendment of Rule 31.010

58. Rule 31.010 of the Rules is hereby amended –

(a) by the substitution for subrule (3) of the following subrule:

"(3) Controls relating to the physical and logical security of the IT systems shall **[be contained in the licensee's internal control procedures]** include the following –

- (a) the main computing facilities (i.e. hardware, software and data files) shall be in a secured area with access restricted to only authorised persons;
- (b) computer systems, including application software, shall be secured through the use of passwords, biometrics or other secure means and access to system functions shall be controlled by management personnel, to ensure adequate segregation of duties;
- (c) each user shall have his or her own individual password and passwords shall be changed regularly;
- (d) personnel access records shall be maintained, which shall, at a minimum, include the following information –
 - (i) employee name and title or position;
 - (ii) employee identification number (or equivalent);
 - (iii) a list of functions assigned to the employee or equivalent means of identifying same; and
 - (iv) proof of prior authorisation of access by management; and
- (e) all computers shall be protected against computer viruses. "; and

(b) by the insertion of the following subrule after subrule (3):

"(4) The licensee shall maintain a written disaster recovery plan which shall address the procedure to be followed in an event of a disaster."

Amendment of Rule 31.020

59. Rule 31.020 of the Rules is hereby amended by the insertions of the4 following subrule after subrule (6):

"(7) Backup storage devices shall be tested at least quarterly and results shall be documented and maintained."

Insertion of Rule 31.060

60. The following Rule is hereby inserted in the Rules after Rule 31.050:

"31.060 Computerised wagering systems: General controls

(1) For all computerised wagering systems, a personnel access list shall be maintained which shall include, at a minimum, the following information –

- (a) employee name;
- (b) employee identification number (or equivalent);
- (c) a list of functions assigned to the employee or equivalent means of identifying same; and
- (d) proof of prior authorisation of access and/or access privileges by management personnel.

(2) An audit trail shall be maintained of all changes made to any individual's access to the system, which shall contain the following minimum information –

- (a) the name of the person who performed the change;
- (b) the name of the person who's access was changed;
- (c) the nature of the change of access;
- (d) the date and time of the change;
- (e) a computer generated sequential number and/or date and time or equivalent means of identifying same as approved by the Board;
- (f) if access rights are amended as a result of a system upgrade, these changes shall be documented; and
- (g) proof of prior authorisation of change of access and/or access privileges by management personnel.

(3) User identity in respect of passwords shall be controlled as follows –

- (a) each user shall have his or her own individual password;
- (b) passwords shall be changed at least monthly; and
- (c) the system shall preclude an individual from using the same password for more than one month in every twelve months.

(4) User names shall be controlled as follows –

- (a) no generic identification (user names) shall be allowed, unless user access is restricted to inquiry-only functions; and
- (b) the system shall be updated to change the status of employees whose services have been terminated, from active to inactive, within 24 hours of such termination of services.“

Amendment of Rule 33.010

61. Rule 33.010 of the Rules is hereby amended by the by the insertion of the following subrule after subrule (2):

“(3) Any distribution and installation of the wagering record-keeping system and any upgrades to the wagering record-keeping system shall be subject to prior approval by the Board.”

Commencement

62. The amendments to the Rules shall come into operation thirty (30) days after the date of publication in the *Provincial Gazette*.

NOTICE – CHANGE OF TELEPHONE NUMBERS: GOVERNMENT PRINTING WORKS

As the mandated government security printer, providing world class security products and services, Government Printing Works has adopted some of the highly innovative technologies to best serve its customers and stakeholders. In line with this task, Government Printing Works has implemented a new telephony system to ensure most effective communication and accessibility. As a result of this development, our telephone numbers will change with effect from 3 February 2014, starting with the Pretoria offices.

The new numbers are as follows:

- Switchboard : 012 748 6001/6002
- Advertising : 012 748 6205/6206/6207/6208/6209/6210/6211/6212
- Publications Enquiries : 012 748 6052/6053/6058 GeneralEnquiries@gpw.gov.za
 - Maps : 012 748 6061/6065 BookShop@gpw.gov.za
 - Debtors : 012 748 6060/6056/6064 PublicationsDebtors@gpw.gov.za
 - Subscription : 012 748 6054/6055/6057 Subscriptions@gpw.gov.za
- SCM : 012 748 6380/6373/6218
- Debtors : 012 748 6236/6242
- Creditors : 012 748 6246/6274

Please consult our website at www.gpwonline.co.za for more contact details.

The numbers for our provincial offices in Polokwane, East London and Mmabatho will not change at this stage.