

Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA
REPUBLIEK VAN SUID-AFRIKA

Vol. 549

Pretoria, 8 March
Maart 2011

No. 34085

IMPORTANT NOTICE

The Government Printing Works will not be held responsible for faxed documents not received due to errors on the fax machine or faxes received which are unclear or incomplete. Please be advised that an "OK" slip, received from a fax machine, will not be accepted as proof that documents were received by the GPW for printing. If documents are faxed to the GPW it will be the sender's responsibility to phone and confirm that the documents were received in good order.

Furthermore the Government Printing Works will also not be held responsible for cancellations and amendments which have not been done on original documents received from clients.

CONTENTS • INHOUD

<i>No.</i>		<i>Page No.</i>	<i>Gazette No.</i>
BOARD NOTICES			
46	Financial Advisory and Intermediary Services Act, 2002: Financial Services Board: Withdrawal of authorisation	3	34085
47	do.: do.: Reinstatement of withdrawal of authorisation	6	34085
48	do.: do.: Suspension of authorisation	8	34085
49	do.: do.: Lifting of suspension	9	34085

BOARD NOTICES

BOARD NOTICE 46 OF 2011

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR WITHDRAWAL
101 Luipaard Properties CC t/a CC Auto	19984	1 February 2011	Contravention of section 15A of the Financial Services Board Act, 1990 ("the FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B and M Garage Bredasdorp CC	18617	1 February 2011	Contravention of sections 17(1) and (4) and 19(1) and (2)(b) of the FAIS Act
Corporate Motor Holdings CC t/a Johannesburg Auto Wholesalers	24075	1 February 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(1) and (2) of the FAIS Act
Hlano Financial Services (Pty) Ltd t/a Hlano Housing Solutions	8068	8 February 2011	Failure to provide a copy of IGF cover; Risk Management Plan Onsite Visit
Johan Kotze Financial Services (Pty) Ltd	667	3 February 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LTE Risk Managers CC	17320	8 February 2011	Contravention of sections 7 and 8(1)(b) and 17(1) and (4) and 19(1) of the FAIS Act, and section 15A of the FSB Act
Maeteko Funeral Services CC	8683	10 February 2011	Contravention of section 19(3) of the FAIS Act

Mpirical Risk Solutions (Pty) Ltd t/a @Risk Solutions	17604	9 February 2011	Contravention of sections 8(1)(a) and 17(4) and 19(2)(b)(iii) of the FAIS Act, and section 2 of the General Code of Conduct for Authorised Financial Services Providers and Representatives, 2003 ("General Code of Conduct")
Nayagar Financial Services	26313	10 February 2011	Contravention of section 8(1)(a) of the FAIS Act
Prinjet CC t/a Bazil Moodley & Associates	37051	10 February 2011	Contravention of sections 8(1)(a) and (c) and 17(4) and 19(1) and (b)(2)(iii) of the FAIS Act, and section 15A of the FSB Act, and section 10 of the General Code of Conduct
Siva Moodley t/a Bazil Moodley and Associates	12502	10 February 2011	Contravention of sections 8(1)(a) and (c) and 17(4) and 19(1) and (b)(2)(iii) of the FAIS Act, and section 15A of the FSB Act, and section 10 of the General Code of Conduct
Themba Insurance Brokers CC	24762	8 February 2011	Contravention of sections 17(4) and 19(1) of the FAIS Act, and section 15A of the FSB Act
Valuline 155 (Pty) Ltd t/a Fusion Guarantees	39212	9 February 2011	Contravention of sections 7(1) and 8(1)(a) and 19(2)(b)(iii) of the FAIS Act, and section 2 of the General Code of Conduct
Vine Financial Services CC t/a Vine Group	32128	20 January 2011	Contravention of section 15A of the FSB Act, and sections 8(1)(a) and 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 3 of 2011.

A handwritten signature in black ink, appearing to be 'G E Anderson', enclosed within a circular scribble.

G E ANDERSON
Deputy Registrar of Financial Services Providers

BOARD NOTICE 47 OF 2011
FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

REINSTATEMENT OF WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR REINSTATEMENT OF WITHDRAWAL
Adept Financial Services CC	37390	21 January 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Andries Johannes Fourie	38660	10 February 2011	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("the FSB Act"), and section 17(4) of the FAIS Act
Andries Sheleni Moya t/a A S Brokers	34928	10 February 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bonginkosi Zwane t/a Zwane Financial Services	36092	21 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dotcom Trading 435 (Pty) Ltd t/a TK Financial Solutions	25410	7 February 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Emmanuel Cilliers t/a Manie Cilliers Brokers	12035	24 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Esther Anisela Devar t/a Esther Devar Financial Planning Services	30270	21 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Livingston Leandy Incorporated	17675	21 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LR Sisinyi Financial Services CC	7738	1 February 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Malcolm Winston Hendricks t/a Malcolm Hendricks Brokers	19393	3 February 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mashika Johannes Malahlela t/a MJ Malahlela Brokers	7771	19 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moila Funeral Directors CC	8736	21 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nomvula Risk Services (Pty) Ltd	38817	27 January 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
NU-Vision Financial Consultants CC	12486	19 January 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Revolve Personal Advisors (Pty Ltd)	27481	31 January 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Reinstatement of Withdrawal of Authorisation, No 1 of 2011.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 48 OF 2011
FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR SUSPENSION
Alberante Motors CC t/a GM Alberante (Delta)	26320	9 February 2011	Contravention of sections 8(1)(a) and 19(2)(b)(iii) of the FAIS Act
Proplan Financial Planning Services CC	15949	11 February 2011	Contravention of section 15A of the Financial Services Board Act, 1990, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 3 of 2011.



G E ANDERSON
Deputy Registrar of Financial Services Providers

**BOARD NOTICE 49 OF 2011
FINANCIAL SERVICES BOARD**

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

LIFTING OF SUSPENSION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR LIFTING SUSPENSION
Alberante Motors CC t/a GM Alberante (Delta)	26320	9 February 2011	The licensee has now complied with sections 8(1)(a) and 19(2)(b)(iii) of the FAIS Act
Bontle Administrators CC	25329	24 January 2011	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("the FSB Act"), and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Creative Investment Options CC	16399	4 February 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dakhomela Insurance Brokers CC	24137	25 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
David Landon Fletcher t/a David L Fletcher Financial Brokers	18372	9 February 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
DKW Consulting Solutions CC	17119	31 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fintek Trust	23226	19 January 2011	The licensee has now complied with section 17(4) of the FAIS Act
Hendrik Johannes Van Der Ahee	10446	25 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insurewell Financial Advisors CC	21862	9 February 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act

LNR Commercial Insurance Brokers (Pty) Ltd	18748	10 February 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magasa Samuel Nkoane	11059	11 February 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Makhosi Ncwana t/a Chief Insurance Brokers	3669	21 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Manini Florence Paulus	25619	21 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mgabadeli Trading Enterprise (Pty) Ltd t/a RB Financial Services	36482	31 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mukasi Joe Trading Enterprise CC	36675	20 January 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Nthobi Norah Mokgothadi t/a Knox Broker	5495	21 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Richard Desmond De Villiers Prinsloo	20358	28 January 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Samakhado Funeral Services CC	3354	21 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stephanus Frederick Otto t/a CDS Brokers	7453	27 January 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vaughan Finance CC	39568	1 February 2011	The licensee has now complied with section 15A of the FSB Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 3 of 2011.

A handwritten signature in black ink, appearing to be 'G E Anderson', written in a cursive style. The signature is enclosed within a hand-drawn circle.

G E ANDERSON
Deputy Registrar of Financial Services Providers
