

# Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA  
REPUBLIEK VAN SUID-AFRIKA

Vol. 552

Pretoria, 15 June  
Junie 2011

No. 34372

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## BOARD NOTICES

### BOARD NOTICE 111 OF 2011

### FINANCIAL SERVICES BOARD

### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### LIFTING OF SUSPENSION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

LICENSEE	FSP NO.	EFFECTIVE DATE	REASONS FOR LIFTING SUSPENSION
Insurance (Pty) Ltd	41360	19 April 2011	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act") FSB Act
Affinity Data Vault (Pty) Ltd t/a Affinity Wealth	37213	3 May 2011	The licensee has now complied with section 15A of the FSB Act
Aldrid Louw Consult CC	41610	19 April 2011	The licensee has now complied with section 15A of the FSB Act
Alpha Cube Capital (Pty) Ltd	34717	21 April 2011	The licensee has now complied with section 19(3) of the FAIS Act
Andre Ferreira Versekeringsdienste BK	3112	20 April 2011	The licensee has now complied with section 15A of the FSB Act
Andre Marius Van Der Merwe t/a Agape Brokers (Insurance)	3771	20 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Anthony De Kock t/a Tony De Kock Brokers	10398	9 May 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Arno Smit t/a International Financial Services	12692	4 May 2011	The licensee has now complied with sections 17(1) and 19(2)(b)(iii) of the FAIS Act
Ashraf Alli Abdul Wajar t/a Ashraf Wajar Insurance Brokers	8199	4 May 2011	The licensee has now complied with section 15A of the FSB Act
Auto Clinic CC	19417	5 May 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Batho Financial Administrators (Pty) Ltd	33135	19 April 2011	The licensee has now complied with section 15A of the FSB Act
Bhotani Assets, Risk & Wealth Management Trust	39248	9 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Blue Dot Funeral Home CC	42040	5 May 2011	The licensee has now complied with section 15A of the FSB Act
Bmmt General Trading CC	39550	4 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Boz Financial Services CC t/a Somadoda	38762	20 April 2011	The licensee has now complied with section 15A of the FSB Act
Bp Bernstein (Pty) Ltd	40810	5 May 2011	The licensee has now complied with section 15A of the FSB Act
Brian Stanley John Don	14850	26 April 2011	The licensee has now complied with section 15A of the FSB Act
Brinksure Financial Services CC t/a Brinksure Financial Services CC	12999	29 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Brokers / Makelaars Trust	11977	20 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Buti Lucas Masango	7014	18 April 2011	The licensee has now complied with section 15A of the FSB Act
Camber Trading No. 53 CC t/a Camber Trading Risk Solutions	40743	21 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Capital International Limited	9753	20 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Counter Point CC	7265	18 April 2011	The licensee has now complied with section 15A of the FSB Act
Craig Tunbridge And Associates (Pty) Ltd	12114	26 April 2011	The licensee has now complied with section 15A of the FSB Act
David Deeble and Associates CC	20087	6 May 2011	The licensee has now complied with section 15A of the FSB Act

David Stephanus De Bruin t/a Fanie De Bruin Makelaars	4631	18 April 2011	The licensee has now complied with section 15A of the FSB Act
Dorotian Funeral Services CC	14668	21 April 2011	The licensee has now complied with section 19(3) of the FAIS Act
Dunvegan Wealth Management (Pty) Ltd	37122	3 May 2011	The licensee has now complied with section 15A of the FSB Act
Exclusive Risk Management CC	14551	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Facts & Figures Broker Services CC	12245	9 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Federated Asset Management (Pty) Ltd	11822	5 May 2011	The licensee has now complied with section 15A of the FSB Act
G & G Trust It 840/04 t/a Lazarus Services	38108	28 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Gladman Vuyani Rashe t/a Rashe Financial Services	21911	19 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Goitseone Timothy Gaetsewe t/a Timothy Gaetsewe Brokerage	26930	26 April 2011	The licensee has now complied with section 15A of the FSB Act
GP Murphy t/a GP Murphy Insurance Agent	1074	4 May 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Harnacks Brokers CC	36577	3 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
HDM Makelaars BK	2556	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Highveld Wealth Management (Pty) Ltd	33069	21 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
IC Brokers CC t/a Irvine Cook Brokers	27238	21 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act

Insure Fin-Insurance Brokers CC	9072	18 April 2011	The licensee has now complied with section 15A of the FSB Act
Investability 202 CC	24401	9 May 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Isicebi Trading 1146 CC t/a IT1 Financial Services	36604	21 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(1) and 17(4) of the FAIS Act
Ithemba Financial Services CC	38743	3 May 2011	The licensee has now complied with section 15A of the FSB Act
Ivor Graham Roach	6528	3 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Jacob Mandla Radebe t/a Jacob Mandla Radebe Brokers	24501	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Johan Maphumulo	23776	19 April 2011	The licensee has now complied with section 15A of the FSB Act
John James Ward	28880	26 April 2011	The licensee has now complied with section 15A of the FSB Act
Joseph Mabaso t/a Joseph Mabaso Advisers	12731	9 May 2011	The licensee has now complied with section 15A of the FSB Act
Juan Christoff Kock t/a J Kock Brokers	40851	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Kogina Business Services CC	5328	26 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Letsolathebe Risk And Investment Brokers CC t/a Nhlapo Insurance Brokers	39352	19 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Makhosini Pascal Malinga t/a M & M Consulting	41408	19 April 2011	The licensee has now complied with section 15A of the FSB Act
Manelly Risk Management CC	31849	18 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Matsimela Dennis Kekana	19011	6 May 2011	The licensee has now complied with section 15A of the FSB Act
Mauritz Boshoff Brokers CC	10933	5 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Mbulaheni Eric Raliwedza t/a Una Rine Insurance Brokers	20501	21 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbuyiseni Henry Masuku t/a Global Brokers	36438	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Mduduzi Norman Mhlongo t/a Mgabhi Financial Services	40399	26 April 2011	The licensee has now complied with section 15A of the FSB Act
Median Asset Management CC	25837	26 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Mindshift Wealth Creation CC t/a Mindshift	20699	3 May 2011	The licensee has now complied with section 17(4) of the FAIS Act
Mlungisi Jerome Hlophe t/a MJ Hlophe White Brokers	34593	19 April 2011	The licensee has now complied with section 15A of the FSB Act
Montsamaisa Bosigo Re Mo Leboga Bosele Brokers CC t/a Montsamaisa Bosigo Brokers	6293	21 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moses Mzayifani Ndlovu t/a Mzitoes Brokerage	22671	18 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Musa Properties CC t/a Musa Consulting Services	5053	4 May 2011	The licensee has now complied with section 15A of the FSB Act
Mykatrade 87 CC t/a Inspecta Car Kempton Park	37297	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Mzingisi Brokers CC	19725	3 May 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	6 May 2011	The licensee has now complied with section 15A of the FSB Act
Northwood Financial Services CC	13296	4 May 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Orthogonal Investments (Pty) Ltd	39384	20 April 2011	The licensee has now complied with section 15A of the FSB Act
Peter Stefan Ginsberg t/a Peter Ginsberg Financial Services	8232	5 May 2011	The licensee has now complied with section 15A of the FSB Act
PFM Medical Scheme Marketing (Pty) Ltd	20745	19 April 2011	The licensee has now complied with section 15A of the FSB Act
Premier Attraction 1144 CC t/a Premier Risk Management Consultants	36530	18 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Procty Advisory Services CC	3233	18 April 2011	The licensee has now complied with section 15A of the FSB Act
Pst Brokers And Properties CC	38509	21 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Rhoda Semaar t/a R&R Semaar	37703	6 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Riant Investment 118 CC t/a Rothman Delta	19265	5 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Rock Capital Management (Pty) Ltd	34754	19 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Rohit Ramjathan	13729	6 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Rudolph Snyman	15154	19 April 2011	The licensee has now complied with section 15A of the FSB Act
S M Mohapi Financial Services (Pty) Ltd	722	18 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
S Mangold And Company (Pty) Ltd	26115	19 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sajini Contractors CC	41141	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Sanana George Moshesha t/a Batubatsi Investment Brokers	20362	19 April 2011	The licensee has now complied with section 15A of the FSB Act



Savem Brokers CC	1309	4 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Sechaba Securities CC t/a Sechaba Brokers	37285	10 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Selwyn Cedric Maggott	23343	21 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sesfikile Capital (Pty) Ltd	39946	20 April 2011	The licensee has now complied with section 15A of the FSB Act
Shananai Consultancy CC	39310	9 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sharemax Premium (Pty) Ltd	25694	9 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) and 19(3) of the FAIS Act
Silverline Direct CC	42331	5 May 2011	The licensee has now complied with section 15A of the FSB Act
Sipho Hensfort Mthembu	18742	3 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Star Pride Business Development Services CC t/a Star Pride Insurance Brokers	41179	19 April 2011	The licensee has now complied with section 15A of the FSB Act
Tanagra Nombulelo Ntetha t/a Tanagra Brokers	28479	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Tembinkosi Elvis Majola t/a Te Financial Service Broker	25004	9 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Themba Donatus Chiliza t/a TDC Brokers	40969	3 May 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
TJS Brokerage CC	36158	26 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Trilinear Capital (Pty) Ltd	634	10 May 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act

Wenwin Agency CC	10752	5 May 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Xolani Richard Hlengwa t/a Global Life Solutions	17857	26 April 2011	The licensee has now complied with section 17(4) of the FAIS Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 5 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 112 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****LIFTING OF SUSPENSION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR LIFTING SUSPENSION</b>
12 Apostles Financial Administration CC	41417	08 April 2011	The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act") FSB Act
Abia Mapaletsebe t/a Abia Brokers	42205	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Affinity Underwriters (Pty) Ltd	40832	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Alcari 936 CC t/a Ten C Brokers	39252	07 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Angelfish Investments 294 CC t/a Insight Financial Advisors	41211	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Anisha Brijjal	37233	14 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Anton Becker	14169	18 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) of the FAIS Act
Anton Van Der Merwe t/a Anton Van Der Merwe Brokers	11143	12 April 2011	The licensee has now complied with section 15A of the FSB Act
ARC Admin Resource Centre CC t/a ARC	41074	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Assure Investment Services (Pty) Ltd	8213	14 April 2011	The licensee has now complied with section 15A of the FSB Act

Atlantic Funeral Services CC	40933	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Azhumah Investments CC t/a Azhumah Financial Solutions	38681	06 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Bernal Brokers CC	5677	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Blue Route Autos CC	25698	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Boundless Trade 172 (Pty) Ltd t/a Fintip Finansiële Dienste	5409	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Charles Marais t/a Charlie Marais & Associates	22032	11 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Corfred Financial Services CC	11850	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Coverall Insurance Brokers (Pty) Ltd	17614	30 March 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Credit Suisse Securities (Johannesburg) (Proprietary) Limited	40908	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Dale Financial Planning (Pty) Ltd	13271	14 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Daniel Christoffel Benjamin Van Zyl	20717	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Darmar Health Care Services CC	11383	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Dean Richard Bolton t/a Gainsford Investments	900	04 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
DHL International (Pty) Ltd t/a DHL Express	9575	18 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Eagle Prosper Financial Services CC	39278	14 April 2011	The licensee has now complied with section 15A of the FSB Act

Elona Jana Jonker t/a Telex-Dialing Brokers 2	14549	15 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Executive Business Conclusions Financial Services CC t/a Exbuscon Financial Services	22515	13 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Expecton 2000 RSA (Pty) Ltd	3165	17 March 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Financial Mastering Solutions Brokers (Pty) Ltd	25556	14 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) of the FAIS Act
Format Insurance Brokers CC	40308	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Gavin Emery Firth t/a Excell Sure	2914	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Gertrude Mishodza Chinyolo	40324	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Ginsberg Distribution Systems CC t/a GDS	8233	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Grown Financial Solutions CC t/a Fintek	41097	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Hamilton Thomas Davidson t/a HT Davidson & Associates	8279	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Helpfin Insurance Brokers CC t/a Insureco	14582	13 April 2011	The licensee has now complied with section 15A of the FSB Act
HGRS Brokers CC	1108	12 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hilda Nomusa Ngema t/a Hn Brokers	27889	07 April 2011	The licensee has now complied with section 15A of the FSB Act
Hilsdon Financial Planning (Pty) Ltd	37521	12 April 2011	The licensee has now complied with section 15A of the FSB Act

Homechoice (Pty) Ltd	14165	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Ilifu Financial Services CC	20212	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Independent Broker Advisory Services (Pty) Ltd t/a I B A S	9518	12 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Integrated Auto Services (Pty) Ltd t/a Le Roux Motors, Solly's Motors, Isuzu Truck World	39511	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Legacy Brokers CC	40843	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Legal Soldier (Pty) Ltd	18538	08 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Lerato Elizabeth Ngoasheng	38961	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Lourens C De Lange t/a Dimension Consultants	12794	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Lungisane Thamsanqa Msane t/a Jabez Financial Services	41861	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Madoda Mbulelo Samson	24676	08 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magatle Motswaledi Abram	6094	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Mandlenkosi Siphon Afrika Sikhakhana	18347	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Market Trends CC	22028	11 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marlin Insurance Brokers CC	21093	08 April 2011	The licensee has now complied with section 17(4) of the FAIS Act
Martha Maria Theron t/a Martie Theron	24424	12 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mfundo Mnyango Brokers CC	40973	11 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) of the FAIS Act
Michael Billy Moswane	41586	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Michael William Molefe t/a Maitemogelo Consultancy	38150	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Mike Kiley & Associates CC	41602	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Mqabuki Dalasile t/a Dalasile Financial Services	40947	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Muzi Nicholas Sikubudu	29633	13 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
N J R Du Plessis Computer And Electronics CC t/a NJR Begfranis Dienste	15398	13 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
NA Mhlongo & Associates CC	13847	29 March 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nelisa Mlisana	39361	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Neluhenis Insurance Brokers	7201	14 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Neron Singh t/a R.L.S Insurance Brokers	21191	07 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Nettworth Risk And Investment Solutions CC	41225	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Nganele Financial Services CC	39309	07 April 2011	The licensee has now complied with section 15A of the FSB Act
Norman Ephraim Vilakazi t/a Greenlight Financial Solution	38820	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Olivier Risk Consultants CC	39170	04 April 2011	The licensee has now complied with section 15A of the FSB Act

Omnilife CC	38517	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Patrick Dondolo t/a Patrick Financial Provider	37194	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Pheneas Bhekisisa Majola	17815	07 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phola Marketing Services CC	20994	08 April 2011	The licensee has now complied with section 15A of the FSB Act
PPL Healthcare CC	37876	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Qmulate (Pty) Limited t/a Mianzo Asset Management	29712	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Rene Hester Kruger t/a Platinum Health And Wealth Financial Services	41649	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Rensburg Sheppards Investment Management Ltd	23841	24 March 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
River End Trading 632 CC t/a Platinum Horizon Broker Consultants	35083	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Ronel Viljoen Brokers CC	41222	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Santa Carolina Beleggings Bk t/a Chadre Brokers	17346	08 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Scenic Route Trading 499 CC t/a Legal Insurance Management (Eastern Cape)	37119	13 April 2011	The licensee has now complied with section 15A of the FSB Act
SCI Financial CC t/a Southern Cross International	34694	07 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Simon Mahlasela Koba t/a Smk Insurance Brokers	21352	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Simon Malpage t/a Simon Malpage Financial Planning	10073	12 April 2011	The licensee has now complied with section 15A of the FSB Act



Sindiswa Manyamalala	24100	17 March 2011	The licensee has now complied with section 15A of the FSB Act
Swiftdeals 14 CC t/a Henk Mathee Versekerings Makelaars	13605	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Tabisa Sapula t/a Ts Broker Services	37666	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Taxpros CC	18978	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Tembinkosi Terence Mketso t/a Zizamele Insurance Brokers	26901	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Three Diamonds Trading 35 (Pty) Ltd t/a Financial Planning Mentors	34908	01 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
TKZ Insurance Brokerage CC	12511	14 March 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Tiholo- Victory Funerals CC	38546	12 April 2011	The licensee has now complied with section 15A of the FSB Act
Torchway Investments (Pty) Ltd t/a Invest Online	40592	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Trump Financial Advisors CC	29719	07 April 2011	The licensee has now complied with section 15A of the FSB Act
Verisure (Pty) Ltd	18862	31 March 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Viwe Mtamalala	36769	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Vuyani Maxwell Zuzani Investments CC	20022	11 April 2011	The licensee has now complied with section 15A of the FSB Act
Warranty Solutions (Pty) Ltd	18998	08 April 2011	The licensee has now complied with section 15A of the FSB Act
Wilhelmina Johanna Dorothea Swanepoel t/a Sowsure	18318	13 April 2011	The licensee has now complied with section 15A of the FSB Act

William Lucky Morake t/a Forluck Insurance Brokers	38871	13 April 2011	The licensee has now complied with section 15A of the FSB Act
Zanele Eudorah Gabela t/a Lion And The Cub	28902	14 April 2011	The licensee has now complied with section 15A of the FSB Act
Zilungiselele Trading And Projects CC	34648	12 April 2011	The licensee has now complied with section 15A of the FSB Act

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 4 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 113 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****PROVISIONAL WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(3)(b) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have provisionally withdrawn under section 9(3)(a) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR PROVISIONAL WITHDRAWAL</b>
Fleetsure (Pty) Ltd t/a Fusion Risk Consultants	21485	11 March 2011	Contravention of section 7 of the FAIS Act and section 7 of the Short-term Insurance Act.

This Notice is called the Notice on Provisional Withdrawal of Authorisation, No 2 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 114 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****REINSTATEMENT OF WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR REINSTATEMENT OF WITHDRAWAL</b>
Andre Charl Koster t/a CKD & Associates	11872	16 March 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Andreas Chaong Mokoena t/a Andreas Mokoena Health And Life Brokers	18613	14 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Chrissies Insurance Brokers (Pty) Ltd	22963	12 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
El'Anaric Makelaars Bk	12357	31 March 2011	The licensee has now complied with section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Johan Adam Bosman t/a Bossie Bosman	20054	28 March 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kolekile Colbey Mkumla t/a Bosako Insurance Brokers	33007	24 March 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maponya Nathaniel Masitenyane t/a Sitte's Life And Financial Services	24005	13 March 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mvuleni Phillip Mdayi t/a Msm Consultancy	21803	5 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nasrulla Enus t/a Maywood Insurance Brokers	15454	28 March 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Oranje Meganies (Pty) Ltd t/a Oranje Nissan	20148	1 April 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Otto La Grange	7154	12 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phanuel Azwifaneli Tshikosi	21883	17 March 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Queenstown Insurance And Investments Consultants CC	14044	5 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rachelida Elizabeth Johanna Dry t/a Lydia Dry Brokers	18856	4 April 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siya Phezulu Trading CC	35979	31 March 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
TLPB Funeral Parlour CC t/a Phomolong Funeral Parlour	26446	15 March 2011	The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act
Wonder Tihame Brokers CC	25134	13 March 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Reinstatement of Withdrawal of Authorisation, No 2 of 2011.



**G E ANDERSON**  
Deputy Registrar of Financial Services Providers

**BOARD NOTICE 115 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****REINSTATEMENT OF WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR REINSTATEMENT OF WITHDRAWAL</b>
Artwell & Associates CC	17863	21 April 2011	The licensee has now complied with section 15A of the FSB Act
Bekky Solomon Shandu t/a Service For All	35692	28 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christiaan Johann Swanepoel t/a CJS Offshore Financial Solutions	11829	26 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Crystal Sparkle Trading 57 CC t/a Duma Financial Services	36608	21 April 2011	The licensee has now complied with section 15A of the FSB Act, and section 17(4) of the FAIS Act
Eric Fikile Mboyi	22490	19 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masakane Coffin Society CC t/a Tirisano Mmogo Funeral Society	19433	3 May 2011	The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Segale Phillip Molefe t/a Phillip and Associates Brokers	14893	18 April 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sipho Vincent Khanyile t/a Umbele Financial Services	20990	6 May 2011	The licensee has now complied with section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stonevest CC t/a Stonevest CC	29432	21 April 2011	The licensee has now complied with section 2 and

			3.1(a)(i) and (ii) of the General Code of Conduct for Authorised Financial Services Providers and representatives 2003 ("the Code")
Thasneen Govender	38938	21 April 2011	The licensee has now complied with section 17(4) of the FAIS Act

This Notice is called the Notice on Reinstatement of Withdrawal of Authorisation, No 3 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 116 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****SUSPENSION OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR SUSPENSION</b>
12 Apostles Financial Administration CC	41417	6 March 2011	Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act") FSB Act
1st Corporate Asset Management (Pty) Ltd t/a Sevafrica Insurance Services	36049	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Insurance (Pty) Ltd	41360	6 March 2011	Contravention of section 15A of the FSB Act
4 Roses Funeral Parlour CC	13532	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
4 Wheel Drive Motor Distributors (Pty) Ltd t/a Honeydew Toyota	19957	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
A Ramkissoo & Associates CC t/a Insurance & Financial Services	11226	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
A.J. Du Plessis Financial Services CC	11880	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aaron Mkoto t/a Mkoto Brokers	34743	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aaron Moreku t/a Moreku's Financial Advisors	16387	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Abia Mapaletsebe t/a Abia Brokers	42205	6 March 2011	Contravention of section 15A of the FSB Act
Abrina 348 (Pty) Ltd t/a Hadebe Holdings	22525	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Acacia Capital Markets (SA) (Proprietary) Limited	24185	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Accelera (Pty) Ltd	14754	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Adam Johannes Van Wyk	36345	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Adistra 44 CC t/a Adistra Financial Services	34721	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Admin Focus (Pty) Ltd	10611	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Admin Focus Brokers CC	24368	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Affinity Data Vault (Pty) Ltd t/a Affinity Wealth	37213	6 March 2011	Contravention of section 15A of the FSB Act
Affinity Underwriters (Pty) Ltd	40832	6 March 2011	Contravention of section 15A of the FSB Act
African Christian Funeral Administrators CC	38220	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
African Mutual Brokers CC	39957	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Aft Insurance Brokers CC	31562	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alcari 936 CC t/a Ten C Brokers	39252	6 March 2011	Contravention of section 17(4) of the FAIS Act

Aldrid Louw Consult CC	41610	6 March 2011	Contravention of section 15A of the FSB Act
All General Insurance Brokers CC t/a All General Insurance Brokers CC	6573	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alpha And Omega Healthcare Consultants CC	21577	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Alpine Commercial Vehicles CC	26440	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Alten Wilberforce Nortje t/a A.W.Nortje Life Insurance	14128	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Aluta Trading CC t/a Guru Brokers	35128	6 March 2011	Contravention of section 15A of the FSB Act
ALZ Fanancial Solutions CC	41793	6 March 2011	Contravention of section 15A of the FSB Act
Amagutshwa Trading CC t/a Amagutshwa Financial Services	40826	6 March 2011	Contravention of section 15A of the FSB Act
Ambrosia J Davids CC t/a Evolution	36760	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
AMML Financial Services CC	26579	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ancro Financial Consultants CC	28862	6 March 2011	Contravention of section 15A of the FSB Act
Andre Bruyns t/a Anbruy Versekeringsmakelaars	12010	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Andre Ferreira Versekeringsdienste BK	3112	6 March 2011	Contravention of section 15A of the FSB Act
Andre Marius Van Der Merwe t/a Agape Brokers (Insurance)	3771	6 March 2011	Contravention of section 17(4) of the FAIS Act
Andrew Edward Smith	12351	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Andries Bernardus Grundlingh t/a Grunfin Wealth Management	28473	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Andries Jacobus Swart	6637	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Angelfish Investments 294 CC t/a Insight Financial Advisors	41211	6 March 2011	Contravention of section 15A of the FSB Act
Angelique Kirk Consulting CC	29254	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anisha Brijjal	37233	6 March 2011	Contravention of section 17(4) of the FAIS Act
Anlin Financial Consulting CC	37127	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anna Christina De Wet t/a Olive De Wet	9889	6 March 2011	Contravention of section 15A of the FSB Act
Anna Sophia Visser t/a Visser Group Broker Holdings	20968	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Annelie Botha Finansiele Adviseurs Bk	13431	6 March 2011	Contravention of section 15A of the FSB Act
Anp Brokers CC	13155	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anthony Creswell Golding t/a F1 Financial Consultants	15513	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anthony De Kock t/a Tony De Kock Brokers	10398	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Anton Becker	14169	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Anton Van Der Merwe t/a Anton Van Der Merwe Brokers	11143	6 March 2011	Contravention of section 15A of the FSB Act

Anwar Booley t/a Coessa Financial Solutions	25502	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ARC Admin Resource Centre CC t/a ARC	41074	6 March 2011	Contravention of section 15A of the FSB Act
Arnold Jeffrey Levy t/a Swift-Mart	30188	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ashila Hanmonth t/a Sahara Insurance Brokers	38639	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Asnath Khomotso Maphalle t/a A K Maphalle Brokers	19461	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Assure Investment Services (Pty) Ltd	8213	6 March 2011	Contravention of section 15A of the FSB Act
Ateksana Limited t/a Dinamika Makelaars	12693	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Atlantic Funeral Services CC	40933	6 March 2011	Contravention of section 15A of the FSB Act
Aubrey Kusner	13922	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Aubrey Mandlakaise Motau t/a Umelusi Financial Brokers	21170	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auric Financial Services (Pty) Ltd	22565	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Auto Clinic CC	19417	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Autoplex Underwriters (Pty) Ltd t/a Protekta Financial Services	8465	6 March 2011	Contravention of section 17(4) of the FAIS Act
Avhatakali Sarah Lidzhade	26754	6 March 2011	Contravention of section 15A of the FSB Act
Awen Financial Consulting CC	41364	6 March 2011	Contravention of section 15A of the FSB Act

Azhumah Investments CC t/a Azhumah Financial Solutions	38681	6 March 2011	Contravention of section 17(4) of the FAIS Act
Azwifaneli Princess Madzinge t/a Dambauhushai Business Support	21541	23 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
AZX Shortterm CC	25874	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
B T R T Financial Services CC t/a Batho Tlang Rethusang Financial Services	37988	6 March 2011	Contravention of section 15A of the FSB Act
B4sure Brokers (Pty) Ltd	24392	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Badisa Insurance Brokers CC	40872	6 March 2011	Contravention of section 15A of the FSB Act
Barbara Carolyn Venter	29320	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Johannes Venter	9574	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Mattheus Nel t/a Bethlehem Financial Services	33926	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Barend Petrus Pretorius De Beer t/a Oryx Makelaars	41232	6 March 2011	Contravention of section 15A of the FSB Act
Barnes Makelaars CC	17050	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Basil Zukile Joka	11678	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Batho Financial Administrators (Pty) Ltd	33135	6 March 2011	Contravention of section 15A of the FSB Act
Belinda Loock CC	39272	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Bene Vobis Health CC	17942	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bernal Brokers CC	5677	6 March 2011	Contravention of section 15A of the FSB Act
Bethuel Sibusiso Sikhakhane t/a BBNS Marketing Services	23366	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bharath Budree t/a Barry Budree	10468	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhekani Samsom Mthembu	21179	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bhotani Assets, Risk & Wealth Management Trust	39248	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Bill Kilbride t/a Bill Kilbride Financial Planning	13756	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
BIO Fin Makelaars	9959	6 March 2011	Contravention of section 15A of the FSB Act
Bissett Insurance Consultants CC	40865	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Bitline SA 516 CC t/a Fairway Consulting	16832	5 March 2011	Contravention of section 15A of the FSB Act
Black Ginger 67 (Pty) Ltd t/a Drive Vision	27992	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Blue Crane Insurance Brokers CC	39134	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Blue Dot Funeral Home CC	42040	6 March 2011	Contravention of section 15A of the FSB Act
Blue Jusoku Trading CC t/a Blue Jusoku Finance	37677	6 March 2011	Contravention of section 15A of the FSB Act
Blue Route Autos CC	25698	6 March 2011	Contravention of section 15A of the FSB Act

BME Transportation CC t/a BME Brokers	41583	6 March 2011	Contravention of section 15A of the FSB Act
BMM Insurance Brokers (SA) CC	35868	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
BMMT General Trading CC	39550	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Boipelo Trading CC	25565	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bongani Sibongo Khubisa t/a BS Khubisa Brokers	31568	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Boundless Trade 172 (Pty) Ltd t/a Fintip Finansiële Dienste	5409	6 March 2011	Contravention of section 15A of the FSB Act
Boz Financial Services CC t/a Somadoda	38762	6 March 2011	Contravention of section 15A of the FSB Act
BP Bernstein (Pty) Ltd	40810	6 March 2011	Contravention of section 15A of the FSB Act
Braemar Brokers (Pty) Ltd	2680	6 March 2011	Contravention of section 17(4) of the FAIS Act
Brainwave Projects 1937 CC t/a Communications 101	36554	6 March 2011	Contravention of section 15A of the FSB Act
Brainwave Projects 927 t/a Avantus	17581	5 March 2011	Contravention of section 15A of the FSB Act
Branch 14 Administrators (Pty) Ltd	39790	6 March 2011	Contravention of section 15A of the FSB Act
Breath Taking Investment 10 (Pty) Ltd t/a Collective Asset Management	40707	6 March 2011	Contravention of section 15A of the FSB Act
Brian Stanley John Don	14850	6 March 2011	Contravention of section 15A of the FSB Act
Bright Star Risk Cover CC	37483	6 March 2011	Contravention of section 15A of the FSB Act
Brinksure Financial Services CC t/a Brinksure Financial Services CC	12999	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Brokers / Makelaars Trust	11977	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
BRS - Business Risk Solutions Operations (Pty) Ltd	36321	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

			Act
Bruce Alexander Manson t/a Manson Financial Services	41007	6 March 2011	Contravention of section 15A of the FSB Act
B-Sure Short Term & Medical Aid Brokers CC t/a B-Sure Brokers	5948	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Bulelwa Patricia Mfazwe	39470	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Buselaphi Hezel Phakathi t/a Buselaphi Hezel Phakathi Brokers	1296	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Business Finance Promotion Agency	23542	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Buti Lucas Masango	7014	6 March 2011	Contravention of section 15A of the FSB Act
C Tossel Versekeringsmakelaar Bk	12931	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
CAJ Financial Services CC t/a Shamrock Finance Group	31058	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Camber Trading No. 53 CC t/a Camber Trading Risk Solutions	40743	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Camlife (Pty) Ltd	24150	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Cape Health Care Consultants CC	4379	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Capio Capital (Pty) Ltd	22603	6 March 2011	Contravention of section 15A of the FSB Act
Capital International Limited	9753	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act



Captivest Healthcare (Pty) Ltd t/a Captivest Healthcare	6156	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Caris Matic Financial Services CC	35937	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CC Insurance Solutions CC	29123	6 March 2011	Contravention of section 15A of the FSB Act
Centro Wealth (Pty) Ltd	37857	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Centwise 126 CC t/a Pragda Intermediary Services	22139	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
CG Cloete Makelaars BK	16344	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charles Marais t/a Charlie Marais & Associates	22032	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Christoffel Marthinus Petrus Le Roux t/a CLR Financial Services	10494	6 March 2011	Contravention of section 15A of the FSB Act
Christopher John Fenton t/a Chrisandra Insurance Brokers	2160	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Christopher Thabani Zulu t/a Due Date Insurance Brokers	40989	6 March 2011	Contravention of section 15A of the FSB Act
Christopher Zamani Mthiyane t/a Siyakhula	22988	6 March 2011	Contravention of section 15A of the FSB Act
Cilliers Auditors Financial Services (Pty) Ltd	22279	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Circle Seven Trading 14 CC t/a Circle Seven Brokers	36695	6 March 2011	Contravention of section 15A of the FSB Act
Claude Frank Serge Robyn t/a CFSR Investments Holdings	40068	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Clover SA (Pty) Ltd	19401	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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CMG Auto Pretoria (Pty) Ltd t/a Pretoria Nissan	24074	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Coenrad Christoffel Wantzelberg Engelberecht	7723	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cojack Trading CC t/a CJ Brokers	40423	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Colin Francois Du Sart	26279	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Commercial Industrial Domestic Brokers (Proprietary) Limited	13763	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Concilium Management (Pty) Ltd t/a Concilium Business Club	34134	6 March 2011	Contravention of section 15A of the FSB Act
Constance Matsaung	32022	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Constantia Underwriting Agency (Pty) Ltd	12912	6 March 2011	Contravention of section 15A of the FSB Act
Construction Guarantee (Pty) Ltd	23831	6 March 2011	Contravention of section 15A of the FSB Act
Corfred Financial Services CC	11850	6 March 2011	Contravention of section 15A of the FSB Act
Cornelius Jacobus Coetzee	19163	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Cornerstone Underwriters And Administrators (Pty) Ltd	36488	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Cosmo Short Term Insurance Consultants CC t/a Cosmo Consult	33066	6 March 2011	Contravention of section 15A of the FSB Act
Counter Point CC	7265	6 March 2011	Contravention of section 15A of the FSB Act

Courtrai Auto (Pty) Ltd	19024	5 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Coverall Insurance Brokers (Pty) Ltd	17614	15 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Craig Financial Services CC	25632	6 March 2011	Contravention of section 15A of the FSB Act
Craig Steven Muller t/a Bonnie Motors	31181	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Craig Tunbridge and Associates (Pty) Ltd	12114	6 March 2011	Contravention of section 15A of the FSB Act
Credit Suisse Securities (Johannesburg) (Proprietary) Limited	40908	6 March 2011	Contravention of section 15A of the FSB Act
Crescendo Bates (Edms) Beperk t/a Crescendo Consultants	5718	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Crest Benefit Solutions (Pty) Ltd	24141	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
CTC Insurance Brokers CC	10691	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cupra Insurance Advisors	38159	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Cygnnet Insurance Brokers CC	20770	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cyndara 63 (Pty) Ltd t/a Excellence Insurance	38364	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Cyril J Mack	40417	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Dale Financial Planning (Pty) Ltd	13271	6 March 2011	Contravention of section 17(4) of the FAIS Act

Daniel Christoffel Benjamin Van Zyl	20717	5 March 2011	Contravention of section 15A of the FSB Act
Daniel Enslin t/a Enslin Brokers	27535	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Daniel Jacobus Thiar t/a Danie Thiar Makelaars	8985	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Daniel Modise Kolokoto t/a D K Brokers	4513	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Daniel Moeketseng Mobu t/a DM Mobu Brokers	34741	6 March 2011	Contravention of section 15A of the FSB Act
Darmar Health Care Services CC	11383	6 March 2011	Contravention of section 15A of the FSB Act
David Alexander Gordon Mackenzie	38450	6 March 2011	Contravention of section 15A of the FSB Act
David Deeble And Associates CC	20087	5 March 2011	Contravention of section 15A of the FSB Act
David Stephanus De Bruin t/a Fanie De Bruin Makelaars	4631	6 March 2011	Contravention of section 15A of the FSB Act
DCT Trading CC t/a Dodo Financial Brokers	38001	6 March 2011	Contravention of section 15A of the FSB Act
De Van Straten And Company Incorporated	10420	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Devakie Naidoo	21014	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dhamesh Mahandra Juta t/a Wealth Creations	21187	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dhanaseelan Poonsamy	42043	6 March 2011	Contravention of section 15A of the FSB Act
Dharamveer Singh	20715	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dharmalingam Chinsamy T/A Jay Chinsamy and Company	5325	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

DHL Global Forwarding Sa ( Pty ) Ltd	8479	6 March 2011	Contravention of section 17(4) of the FAIS Act
DHL International (Pty) Ltd t/a DHL Express	9575	6 March 2011	Contravention of section 17(4) of the FAIS Act
DIB Insurance Brokers (Pty) Ltd	7428	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dilectum Brokers & Financial Services CC	10482	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dimension Life Administrators Sales CC	32769	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Discovery Consulting Services - Southern Cape (Pty) Ltd t/a DCS George	35818	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dispersion Consulting CC.	13874	6 March 2011	Contravention of section 17(4) of the FAIS Act
Dominicus Velaphi Ndlovu t/a Sunrise Financial Services	39738	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Donavan Gengiah t/a Wealth Creation Brokers	12088	6 March 2011	Contravention of section 15A of the FSB Act
Double Option Trading 161 CC	9198	6 March 2011	Contravention of section 15A of the FSB Act
Drakensberg Financial Services Maclear CC t/a Personal Trust	37533	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Dries Motors (Edms) BPK	10432	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dundee Jeremia Nkosi t/a Dundee Millionaires RFP	29852	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Dunvegan Wealth Management (Pty) Ltd	37122	6 March 2011	Contravention of section 15A of the FSB Act
DWDV Besigheids Trust	21850	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Eagle Financial Solutions (Pty) Ltd	9256	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eagle Prosper Financial Services CC	39278	6 March 2011	Contravention of section 15A of the FSB Act
Eastern Coastline Trading 30 CC	28032	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Echelon Private Client Solutions (Pty) Ltd t/a Echelon	40613	6 March 2011	Contravention of section 15A of the FSB Act
Econocom 51 CC	13639	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Edmund Edward Radue	14226	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Edwards Mey And Associates: Finance Brokers CC	8357	6 March 2011	Contravention of section 15A of the FSB Act
Edwin Mzwakhe Ngomane t/a EM Ngomane Brokers	22098	6 March 2011	Contravention of section 17(4) of the FAIS Act
Elizabeth Maria Schoevers t/a Lize Schoevers Makelaars	14058	6 March 2011	Contravention of section 15A of the FSB Act
Eljay Nakedi Financial Services CC	28867	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elliot Mandlenkosi Labi	22199	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elma De Klerk	36430	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Else And Sons CC t/a Else Motors	34050	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Elzette Labuschagne t/a Elzette Labuschagne Brokers	26201	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Emerald Sea Trading CC	39037	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Enrica Leonard	37929	6 March 2011	Contravention of section 15A of the FSB Act
Enrysh Marketing CC	14389	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Eric Fordred & Associates CC	4824	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eric Van Zyl Makelaars BK	12439	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ernest James Paton t/a EJ Paton And Associates	32148	6 March 2011	Contravention of section 15A of the FSB Act
Esprit Family Trust t/a Esprit Services	35011	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eternal City Trading 578 CC	38924	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Eunallsingh Jadoo t/a Status Financial Brokers	4000	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Eurriet Ntombiziphambili Mvuna t/a Miracles Financial Services	41242	6 March 2011	Contravention of section 15A of the FSB Act
Evoplan t/a Evolution Planning	35547	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ewoud Malan t/a Ewoud Malan Brokers	10111	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Exclusive Risk Management CC	14551	6 March 2011	Contravention of section 15A of the FSB Act
Executive Business Conclusions Financial Services CC t/a Exbuscon Financial Services	22515	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Executive Insurance Consultants CC	10614	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Expects Financial Stars CC	19700	5 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Exxaro Insurance Company Limited	36446	6 March 2011	Contravention of section 15A of the FSB Act
Ezekiel Lesiba Sergio Mankga t/a Global Choice Consulting	29467	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Facts & Figures Broker Services CC	12245	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Fairhomes Real Estate CC t/a Yunus Muhammad Financial Services	20955	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Family Growth Trading CC	40532	6 March 2011	Contravention of section 15A of the FSB Act
Fanie Olivier t/a Fanie Olivier Brokers	13935	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Federated Asset Management (Pty) Ltd	11822	6 March 2011	Contravention of section 15A of the FSB Act
Feizal Benny t/a Feizal Benny & Associates	12898	6 March 2011	Contravention of section 15A of the FSB Act
Felix Siyabulela Nkonki t/a Felinko Broker Services	18542	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Fertile Sands Financial Advisors CC	29879	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Filita And Mlotywa Financial Services CC	36373	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Financial Advisory Services (Pty) Ltd	13898	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Financial Mastering Solutions Brokers (Pty) Ltd	25556	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act



Fiona Turton	14163	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
FK Konsultante BK	8878	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Flexi Call (Pty) Ltd	42013	6 March 2011	Contravention of section 15A of the FSB Act
Florence Thandiwe Mhlakaza t/a Amahle Health	23658	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Focbusman Consultant CC	15892	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Format Insurance Brokers CC	40308	6 March 2011	Contravention of section 15A of the FSB Act
FPVDM Investments CC	3670	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Francois Cornelius Swart t/a FC Financial Advisory Services	11515	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Francois Malan t/a Nowsure	16966	5 March 2011	Contravention of section 17(4) of the FAIS Act
Frans Anthonie Gerber t/a Frans Gerber Makelaars	14459	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Fred Lovelock & Associates (Newcastle) CC t/a Msb	22405	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Frederick Leketi t/a Freddy Leketi Financial Services	16091	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Freesure(Pty) Ltd	11997	6 March 2011	Contravention of section 15A of the FSB Act
Fruitways (Pty) Ltd	14341	6 March 2011	Contravention of section 15A of the FSB Act
Fusion-Tech Insurance Brokers CC	40152	6 March 2011	Contravention of section 15A of the FSB Act

G & G Trust It 840/04 t/a Lazarus Services	38108	6 March 2011	Contravention of section 17(4) of the FAIS Act
Gabriel Stephanus Grobler t/a Gawie Grobler Makelaars	14876	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
GAMC Securities (Pty) Ltd	858	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gary Spencer	40499	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Gavin Emery Firth t/a Excell Sure	2914	6 March 2011	Contravention of section 15A of the FSB Act
Gavin Russel Wood t/a Gavin Wood Insurance Brokers	6892	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Geophrey Nguyuzza Gazide	34380	6 March 2011	Contravention of section 15A of the FSB Act
George Edward Methuen Gray t/a G and A Services	14448	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Meyer t/a Sabie Makelaars	16851	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
George Moetsi t/a Itireleng Funeral Parlour	2922	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gerhard Johannes Coetzee	39458	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Gertrude Mishodza Chinyolo	40324	6 March 2011	Contravention of section 15A of the FSB Act
Gideon Durand t/a D&D Financial Services	11554	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Gideon Healthcare Consultants (Pty) Ltd	21172	5 March 2011	Contravention of section 15A of the FSB Act
Ginsberg Distribution Systems CC t/a GDS	8233	6 March 2011	Contravention of section 15A of the FSB Act

Gladman Obrey Vukile Nkosenye Mlambo t/a Vukile Financial Brokers	16501	6 March 2011	Contravention of section 17(4) of the FAIS Act
Gladman Vuyani Rashe t/a Rashe Financial Services	21911	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Gladys Wilford Insurance Brokers (Pty) Ltd t/a Nfs Insurance Brokers	14493	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Globex Direct (Pty) Ltd	38469	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
GNM Financial Services CC	32797	6 March 2011	Contravention of section 15A of the FSB Act
Goitseone Timothy Gaetsewe t/a Timothy Gaetsewe Brokerage	26930	6 March 2011	Contravention of section 15A of the FSB Act
Goldex Financial Services (Pty) Ltd	38648	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Gordonia Motors (Pty) Ltd t/a Gordonia Delta	23596	6 March 2011	Contravention of section 15A of the FSB Act
Gort16 Consultants CC	40328	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Graham Eric Field t/a East Insurance Brokers	12074	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Graham William Tharratt	21873	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Grown Financial Solutions CC t/a Fintek	41097	6 March 2011	Contravention of section 15A of the FSB Act
Hamilton Thomas Davidson t/a HT Davidson & Associates	8279	6 March 2011	Contravention of section 15A of the FSB Act
Hannes Cloete Brokers	12713	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Harnacks Brokers CC	36577	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act

Hastings Trading CC	35376	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
HDM Makelaars BK	2556	6 March 2011	Contravention of section 15A of the FSB Act
Heather Wendy Tarboton t/a Heather Tarboton Brokers	4344	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Heema Devi Sahibdeen t/a HD Sahibdeen Brokers	6199	6 March 2011	Contravention of section 17(4) of the FAIS Act
Helen Mchunu t/a Smomoza Brokers	31465	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Helena Gleimius t/a Profile Brokers	11960	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Helpfin Insurance Brokers CC t/a Insureco	14582	6 March 2011	Contravention of section 15A of the FSB Act
Henque 2437 CC t/a Roeljan Finacial Services	32572	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Henry Grundling Makelaars BK t/a Grundling Makelaarsdienste / Broker Services	11564	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Heren Ramawtar Assures (Pty) Ltd	25832	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Hester Josina Thompson t/a Hettie Thompson Makelaars	12718	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
H-Fin CC	40726	6 March 2011	Contravention of section 15A of the FSB Act
HFM Direct PTA CC t/a HFM	37069	6 March 2011	Contravention of section 15A of the FSB Act
HGRS Brokers CC	1108	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
HHS Equality Financial Services (Pty) Ltd t/a Equality Financial Services	26719	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act

Highveld Wealth Management (Pty) Ltd	33069	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hilda Nomusa Ngema t/a HN Brokers	27889	6 March 2011	Contravention of section 15A of the FSB Act
Hilsdon Financial Planning (Pty) Ltd	37521	6 March 2011	Contravention of section 15A of the FSB Act
Hilton Eugene Slabbert t/a Hilton Slabbert	8183	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Himesh Sewsunker t/a Himesh Sewsunker & Associates	39812	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
HJL Du Toit t/a Poynt Brokers Services	6553	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hlakang Investment Devel Project & Petroleum t/a Hlakang Investment Insurance	40704	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Homechoice (Pty) Ltd	14165	6 March 2011	Contravention of section 15A of the FSB Act
HTC Stores (Pty) Ltd t/a HUB Trading Company	38683	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Hunsrajsingh Thegbhadur Singh t/a City Funeral Furnishers	20003	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Huysamen Motors CC	13204	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Hyman Cars CC	28012	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
I.C.E Insurance Claims Exchange (Pty) Ltd t/a I.C.E.	22339	24 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ian Roger Mark Geldenhuys t/a Ian.G And Associates	36720	6 March 2011	Contravention of section 15A of the FSB Act

IBNR Systems CC t/a Insurance Information Services Associated	3141	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
IC Brokers CC t/a Irvine Cook Brokers	27238	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
IES Financial Services CC	40375	6 March 2011	Contravention of section 15A of the FSB Act
Igugu Financial Projects CC	38553	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ikageng Advisors (Pty) Ltd	35767	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ikarhi Financial Consultancy CC	36030	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ikemele Financial Services CC	30141	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ilifu Financial Services CC	20212	5 March 2011	Contravention of section 15A of the FSB Act
Ilse Oosthuizen Brokers CC	5108	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Imbewu Fund Administrators (Pty) Ltd	4414	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Independent Broker Advisory Services (Pty) Ltd t/a I B A S	9518	6 March 2011	Contravention of section 17(4) of the FAIS Act
Indequity Capital Managers (Pty) Ltd	596	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Indequity Insurance Brokers Pty Ltd	2319	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Indigo Short-Term Insurance Brokers (Pty) Ltd	36761	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Indomark (Pty) Ltd t/a Blue Chip Finance	20842	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Inskon Southern Cape CC t/a Inskon Insurance Brokers Southern Cape	38586	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Insika Financial Services CC	13738	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insurance Maintenance Planning CC	17860	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Insure Fin-Insurance Brokers CC	9072	6 March 2011	Contravention of section 15A of the FSB Act
Insure With Us CC	40542	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Integrated Auto Services (Pty) Ltd t/a Le Roux Motors, Solly's Motors, Isuzu Truck World	39511	6 March 2011	Contravention of section 15A of the FSB Act
Inteli-Fin Financial Advisors CC	35515	6 March 2011	Contravention of section 15A of the FSB Act
International Trade And Commodities 2099 CC t/a Supasure Insurance Brokers	7373	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Inthutwane Financial Marketing CC t/a IFM	21223	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Invest Right Financial Solutions CC	37408	6 March 2011	Contravention of section 15A of the FSB Act
Investability 202 CC	24401	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Investment World Financial Services CC	11871	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Irhuluphelo Funeral Services (Pty) Ltd t/a Alternative Funeral Solutions	25074	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Irma Jacobsz t/a Irma Jacobsz Brokers	41523	6 March 2011	Contravention of section 15A of the FSB Act
Isaac Funeral Services CC	40020	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Isaac Zwelibanzi Mbambalala t/a Ikes Brokers	24128	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Isibane Samaqhawe Funeral Finance CC	40463	6 March 2011	Contravention of section 15A of the FSB Act
Ithemba Financial Services CC	38743	6 March 2011	Contravention of section 15A of the FSB Act
Ivor Graham Roach	6528	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Izazi Retailer's 31 CC	42115	6 March 2011	Contravention of section 15A of the FSB Act
Izululokwenziwa Trading Enterprize CC t/a Izululokweziwa Brokers	34932	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
J J M Insurance House CC	35726	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jabulani Brian Mzizi t/a Inhlalisuthi Investments	39512	6 March 2011	Contravention of section 15A of the FSB Act
Jabulani Mhlongo t/a Jabulani Mhlongo Insurance Brokers	15760	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jaco Steenkamp	39457	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act



Jacob Hendrik Van Der Merwe Co-Ordinating Financial Services	11867	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jacob Mandla Radebe t/a Jacob Mandla Radebe Brokers	24501	6 March 2011	Contravention of section 15A of the FSB Act
Jacob Moagi Modise	22129	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jacobus Albertus Van Wyk t/a Sml Brokers	17271	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Gordon	13002	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobus Koopman t/a Sukses Makelaars	18984	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacques Le Roux t/a Jacques Le Roux Makelaars	16643	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jaffer Dawray t/a J Dawray Financial Services	2011	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
James Willem Masango t/a Union Insurance Brokers	7530	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Adriaan Stander t/a Financial Loss Control Services	30024	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Du Plessis De Beer t/a V.I.P Health & Risk	19287	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Johannes Britz	26946	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jan Jonathan Durand Botha	20697	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS

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Jan Pieter Janse Van Rensburg	17409	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Janal Business Consultants CC	6073	6 March 2011	Contravention of section 15A of the FSB Act
Jason Chelliah Naidoo t/a Jason Chelliah & Associates	13731	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jaya Budhai Sookraj t/a JB Sookraj Insurance Brokers	271	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Jaymar Real Estate (Pty) Ltd	25106	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jean Rene Mputu Muyombo t/a Shangwe Financials Consulting	41153	6 March 2011	Contravention of section 15A of the FSB Act
JG Van Rhyn Makelaars BK	15129	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
JM Busha Asset Managers (Pty) Ltd	730	6 March 2011	Contravention of section 15A of the FSB Act
JMED Consultants CC	11974	6 March 2011	Contravention of section 15A of the FSB Act
Johan Maphumulo	23776	6 March 2011	Contravention of section 15A of the FSB Act
Johannes Jochemus Cilliers t/a Cilliers Brokers	11350	6 March 2011	Contravention of section 15A of the FSB Act
Johannes Jurgens Van Tonder t/a Tonnie Van Tonder Finansiële Dienste	40658	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Johannes Stephanus Jacobs t/a Jamel And Associates	21435	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
John James Ward	28880	6 March 2011	Contravention of section 15A of the FSB Act
John Martin Claassen t/a Tshumbi Brokers	4889	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

John Msibi Brokers CC	19511	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Joseph Mabaso t/a Joseph Mabaso Advisers	12731	6 March 2011	Contravention of section 15A of the FSB Act
Joubert And May Attorneys	35921	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Juan Christoff Kock t/a J Kock Brokers	40851	6 March 2011	Contravention of section 15A of the FSB Act
Judith Nobuhle Khoza	22514	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Julian Rupare	12417	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Justice Qenehelo Mohlokoane t/a Basia Broking Services	28888	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
JVVN Financial Advisors CC t/a Xtreme Financial Advisors	40968	6 March 2011	Contravention of section 15A of the FSB Act
Kairos Financial Services CC	28861	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kajamurthie Govindasamy Govender t/a Valiant Financial Services	24271	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Karibu Healthcare Services CC	16382	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Karmel Financial Services CC	29258	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kaydens Brokers CC	25207	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Keith Joseph Mothilall t/a Eagle Eye Brokers	28196	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

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Ken Long And Associates (Pty) Ltd	6848	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kenneth Slater t/a Redline Insurance Brokers	17884	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kesaval Soobiah Naidoo t/a Selvan Naidoo & Associates	1433	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kgatelopele Funeral Parlour CC	2770	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Kgorutle Brokers CC	37758	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Kgosana Bruno Malope t/a Letlemme Financial Services	39587	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khan & Khan Insurance Consultants CC	32654	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khorombi Justice Madia	36107	6 March 2011	Contravention of section 15A of the FSB Act
Khula Financial Consultants CC	2483	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Khulalonda Asset Management (Pty) Ltd	27034	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Khumalo And Matyolo Brokerage CC	41070	6 March 2011	Contravention of section 15A of the FSB Act
Klaas Malesela Ratombo t/a Ratombo Financial Services	26046	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Kogina Business Services CC	5328	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Kondelelani Financial Services CC	40862	6 March 2011	Contravention of section 15A of the FSB Act
Koos Nel Auto (Pty) Ltd t/a Bonus Motors/EI Auto	11478	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kopanelo Wealth Management Solutions CC t/a Kopanelo	36074	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
KSB Finansiële Dienste (Edms) BPK t/a KSB Makelaars	11756	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
KTJ Financial Services CC	38760	6 March 2011	Contravention of section 15A of the FSB Act
Kulu Motors Kenilworth (Pty) Ltd t/a Kulu Motors Kenilworth	25992	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Kwena Tlou Employee Benefits Services (Pty) Ltd	26336	28 March 2011	Contravention of sections 17(1), 17(4) and 19(2)(b)(iii) of the FAIS Act
Kwethu Financial Services CC t/a Kwethu	40962	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lanco Financial Brokers CC	41571	6 March 2011	Contravention of section 15A of the FSB Act
Lancor Trust	119	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Langa Simon Langa t/a Langas Financial Services	35125	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Larko Beleggings BK t/a Consensio Finansiële Adviseurs	37920	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Last Hope Funerals CC	36884	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lawrence Bafana Nkosi t/a L B Nkosi Brokers	23282	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
LBMS Investments t/a Niel Scheepers Finansiële Adviesdienste	12736	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Lefa Brokers CC	28886	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Legacy Brokers CC	40843	6 March 2011	Contravention of section 15A of the FSB Act
Lehlohonolo Elias Motaung t/a Lehlohonolo Brokers	17719	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Lehumo Manabeng Trading Enterprise CC t/a Lehumo Manabeng Investments	41125	6 March 2011	Contravention of section 15A of the FSB Act
Lekubu Ditshehla Mphahlele t/a Ditshehla Brokers	39858	6 March 2011	Contravention of section 17(4) of the FAIS Act
Lelama Dreams Trading 6 CC t/a Unique Financial Services	39869	6 March 2011	Contravention of section 15A of the FSB Act
Lengwasa Consulting CC t/a Lesedi Risk Services	33724	6 March 2011	Contravention of section 15A of the FSB Act
Leon Liedeman And Associates (Pty) Ltd	6808	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leon Schalk Rossouw t/a Leon Rossouw Brokers	10683	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leons Auto Bar CC	10233	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lerato Elizabeth Ngoasheng	38961	6 March 2011	Contravention of section 15A of the FSB Act
Lerato Otlotleng Matthews Leburu t/a Huhudi Funeral Palour	2918	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Lesejana David Mekgoe	21884	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Leshika Funerals Directors CC	39930	6 March 2011	Contravention of section 15A of the FSB Act
Lesiba Frank Kekana	24799	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Letlale Trading And Projects CC	25305	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Letlapa Financial Services (Pty) Ltd	13043	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Let's Trade 1326 CC t/a Ultimassure	3194	6 March 2011	Contravention of section 15A of the FSB Act
Letsolathebe Risk And Investment Brokers CC t/a Nhlapo Insurance Brokers	39352	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Life And Group Advisory Services CC t/a Lagas	6412	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Linda Rose Gey Van Pittius t/a Gey Van Piittius Insurance Brokers	11290	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Linda Thokozile Ntoni t/a L Conco & Associates	6383	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lindile Albert Doko t/a LA Brokers	28611	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lindiwe Petronella Mbele	21563	5 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Linette Low t/a Linette Low Financial Services	12737	6 March 2011	Contravention of section 15A of the FSB Act

Livio Antonio Lazzari t/a Livio Lazzari Consultant	12681	6 March 2011	Contravention of section 15A of the FSB Act
Lleader Underwriting Management (Pty) Ltd	4600	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lonwabo Armstrong Nginza	22748	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lorraine Jamison	19832	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Louis Petrus Johannes Nienaber t/a Nienaber Makelaars	1502	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lourens C De Lange t/a Dimension Consultants	12794	6 March 2011	Contravention of section 15A of the FSB Act
Lucas Johannes Bartel Luus t/a Credfin Financial Solutions	28405	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucas Nkosinathi Zulu	35027	6 March 2011	Contravention of section 15A of the FSB Act
Lucas Sizani Mgidi t/a Executive Solutions	18855	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lucky Unarine Muntswu t/a Lucky Muntswu Investments	39161	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Lungisane Thamsanqa Msane t/a Jabez Financial Services	41861	6 March 2011	Contravention of section 15A of the FSB Act
Lwandle Wiseman Jikazi t/a L.W Investment	3799	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Lynnell Financial Services CC t/a Lynnell Financial Services CC	6384	6 March 2011	Contravention of section 15A of the FSB Act
M T Lokovhela Funeral Parlour CC	41172	6 March 2011	Contravention of section 15A of the FSB Act
M.L Financial Services CC	38191	6 March 2011	Contravention of section 15A of the FSB Act



Mabona Consultants CC	36933	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Machupe Brokers CC	31748	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Maclennan And Associates CC	12981	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Madimetja Rufus Ramotebele	6096	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Madoda Mbulelo Samson	24676	24 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Magatle Motswaledi Abram	6094	6 March 2011	Contravention of section 15A of the FSB Act
Mak Wealth Management Consultant CC	39898	6 March 2011	Contravention of section 17(4) of the FAIS Act
Makhosini Pascal Malinga t/a M & M Consulting	41408	6 March 2011	Contravention of section 15A of the FSB Act
Maluta Leonard Nekhwalivhe t/a Rwick Enterprises	36470	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mamokgadi Financial Services CC	7347	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandisiya Umnotho Consulting CC	24694	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandla Peaceman Ntingi t/a Disc Insurance Brokers	24105	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandlakayise Alfred Masuku t/a Priority Life	21607	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mandlenkosi Siphon Afrika Sikhakhana	18347	5 March 2011	Contravention of section 15A of the FSB Act

Manelly Risk Management CC	31849	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Manoko Simon Mushwane t/a MS Mushwane Brokers	7816	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mantah Financial Services (Pty) Ltd	32051	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mantime Salamina Motau	25727	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marc Stuart Schnehage t/a Iudex Brokers	20944	5 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Maria Susanna Van As t/a MS Brokers	8543	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marie Elizabeth Humphries t/a Sapcor Fs	13614	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marietjie & Dirk Makelaars CC t/a M & D Makelaars	13655	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marinus Maartens t/a Sakkie Maartens Brokers	19259	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mariri Trading 57 CC t/a Rimacore Insurance Brokers	31776	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marius Bezuidenhout t/a MB Financial Services	3420	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Marius Koegelenberg t/a Cradock Brokers & Executors	10900	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Mark Dermot Henry Smeddle t/a Integrity Financial Services	41162	6 March 2011	Contravention of section 15A of the FSB Act
Market Trends CC	22028	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Marlin Insurance Brokers CC	21093	5 March 2011	Contravention of section 17(4) of the FAIS Act
Martha Maria Theron t/a Martie Theron	24424	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martha Sophia Swanepoel t/a Welco Makelaars	39140	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Marthinus Johannes Van Der Mescht t/a FS Funeral Services	38373	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Marthinus Van Blerk	6685	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martin Lemmer and Associates CC t/a The Financial House	3092	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Martin Mkhabela	40579	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mary-Lynne Geyle	1022	6 March 2011	Contravention of section 17(4) of the FAIS Act
Maryna Van Den Heever	20268	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mashudu Timothy Muthivhi	26383	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Masibambisane Intermediating Services (Pty) Ltd	23303	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Matsimela Dennis Kekana	19011	5 March 2011	Contravention of section 15A of the FSB Act

Matthew Livingstone Botha t/a Matt Botha Financial Services	39115	6 March 2011	Contravention of section 15A of the FSB Act
Matthew Viljoen Brokers CC	7433	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mauritz Boshoff Brokers CC	10933	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mavava Trading 195 (Pty) Ltd t/a Pegasus Financial Services	23892	6 March 2011	Contravention of section 15A of the FSB Act
Mavis Fisani Zondi t/a Umhlahlandlela Burial Club	25361	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mavula Projects CC t/a Mavula Insurance Brokers	39226	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Maxvest (Pty) Ltd	24675	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mazaule Business And Trading Enterprise CC t/a Mazet Financial Services	31731	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Maziya Funeral CC	3676	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbheki Nelson Mthembu t/a Mbheki & Associates Financial Services	39067	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mboneni Daniel Mntuwenkosi Mdluli t/a MDM Financial Services	36944	6 March 2011	Contravention of section 15A of the FSB Act
MBS Insurance Brokers CC	25403	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mbuyiseni Henry Masuku t/a Global Brokers	36438	6 March 2011	Contravention of section 15A of the FSB Act
Mck Insurance Brokers (Pty) Ltd	17621	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mdloana Trading And Project CC t/a Mdloana Brokerage	41590	6 March 2011	Contravention of section 15A of the FSB Act

Mdondi Trading And Projects CC	39196	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mduduzi Norman Mhlongo t/a Mgabhi Financial Services	40399	6 March 2011	Contravention of section 15A of the FSB Act
Medaphahlane Financial Advisors CC	26584	6 March 2011	Contravention of section 15A of the FSB Act
Median Asset Management CC	25837	6 March 2011	Contravention of section 17(4) of the FAIS Act
Meditand Versekeringsmakelaars (EDMS) BPK	17363	5 March 2011	Contravention of section 17(4) of the FAIS Act
Merero Consulting Services CC	38059	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mergefin Investments CC t/a IMAC	4341	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Merit Asset Managers (Pty) Ltd	33287	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Meso Capital (Pty) Ltd	40598	6 March 2011	Contravention of section 15A of the FSB Act
Meyer Lange Insurance Brokers	2131	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mfundo Mnyango Brokers CC	40973	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mhlengi Wami Trading Enterprise CC t/a ETC Financial Services	38896	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Michael Billy Moswane	41586	6 March 2011	Contravention of section 15A of the FSB Act
Michael James Motors CC	19126	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Michael O Donovan Van Lelyveld t/a Mike Van Lelyveld Brokers	7819	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Michael William Molefe t/a Maitemogelo Consultancy	38150	6 March 2011	Contravention of section 15A of the FSB Act
Michelle Richards	40833	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Microflex Financial Services (Pty) Ltd	24700	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mike Fermor Brokers CC	2661	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mike Kiley & Associates CC	41602	6 March 2011	Contravention of section 15A of the FSB Act
Mindshift Wealth Creation CC t/a Mindshift	20699	5 March 2011	Contravention of section 17(4) of the FAIS Act
Miya Financial Services CC	16989	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MJ Marx Insurance Brokers (Pty) Ltd	26809	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MJR Insurance Brokers CC	14545	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
MK And Associates CC t/a Mk And Associates CC	41064	6 March 2011	Contravention of section 15A of the FSB Act
Mkhululi Mlimbo Gwebityala Ngxumza t/a Ngxumza Financial Services	36826	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mkhuseli Luthuli t/a Luthuli & Sons Financial Providers	33985	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
ML Reddy Financial Planning CC	40284	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mlungisi Jerome Hlophe t/a MJ Hlophe White Brokers	34593	6 March 2011	Contravention of section 15A of the FSB Act

Mmaneo Margaret Makama t/a Mmaneo Brokers	39942	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mmapaulsi Evelyn Hlalele t/a Me Brokers	12743	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mmope Alphons Motsoari	18040	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mofokeng Funeral Home CC	38177	6 March 2011	Contravention of section 15A of the FSB Act
Mogamat Jappie t/a Star Insurance Brokers	12763	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mogomotsi DM Advisory Services CC t/a MDM Advisory Services	36253	6 March 2011	Contravention of section 15A of the FSB Act
Mohamed Ally Joosab Noor Mahomed t/a Mahomed & Associates	2108	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokgadi Annah Caroline Ramokgopa t/a Maca	12408	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mokwari Jacob Dominic Thobei	11887	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Molatedi Insurance Brokers CC	30770	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Moneyline 991 (Pty) Ltd t/a Matcor Trust & Financial Services	15152	6 March 2011	Contravention of section 15A of the FSB Act
Monique Volschenk t/a Finrand Insurance Brokers	20046	5 March 2011	Contravention of section 15A of the FSB Act
Monnapule Lucas Seagisho t/a Lukes Insurance Brokers	18979	5 March 2011	Contravention of section 17(4) of the FAIS Act
Montsamaisa Bosigo Re Mo Leboga Bosele Brokers CC t/a Montsamaisa Bosigo Brokers	6293	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Moonlight Financial Services CC	28010	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mosaiek Brokers CC	7718	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Moses Mzayifani Ndlovu t/a Mzitoes Brokerage	22671	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Mosese Elizabeth Mano t/a Lizzy Brokers Services	19248	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mosiko Isaac Mohlaping	20236	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Motsamai Doctor Setae	26323	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Moving Forward Trading And Project 108 CC	41695	6 March 2011	Contravention of section 15A of the FSB Act
MP Kearns Eastwick And Associates CC	38688	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mpho Mavis Motlhoki t/a MM Motlhoki Brokers	36651	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mqabuki Dalasile t/a Dalasile Financial Services	40947	6 March 2011	Contravention of section 15A of the FSB Act
Msane Brokers CC	24021	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Muluthanyi Kaizer Sinthumule t/a Ndiene Financial Service	31556	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Murati Brokers CC	38881	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Musa Properties CC t/a Musa Consulting Services	5053	6 March 2011	Contravention of section 15A of the FSB Act



Mutable Financial Services CC	39803	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mutshidziwa Gloria Netshambidi t/a Muofhe Brokers	34495	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Muzi Nicholas Sikubudu	29633	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mwaskha Training Enterprise CC	41539	6 March 2011	Contravention of section 15A of the FSB Act
Mykatrade 87 CC t/a Inspecta Car Kempton Park	37297	6 March 2011	Contravention of section 15A of the FSB Act
Mzansi Blinds CC t/a Mzansi Solutions	37447	6 March 2011	Contravention of section 15A of the FSB Act
Mzanywa Bonginkosi Mkhize t/a ONS Brokers	26989	6 March 2011	Contravention of section 15A of the FSB Act
Mzingisi Brokers CC	19725	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mzotsho Trading Enterprise CC t/a Mzotsho Financial Services	40529	6 March 2011	Contravention of section 15A of the FSB Act
NA Mhlongo & Associates CC	13847	29 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nandlal Ramdeo t/a Excelsior Insurance Brokers	20669	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Napra Investments CC t/a Prenro Insurance Brokers	6190	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Narendra Persadh t/a Naren Persadh & Associates	12231	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ncumisa Mkololo t/a Gwebani Investments	42078	6 March 2011	Contravention of section 15A of the FSB Act
Ndileka Zibi	34987	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Ndlandlalati Financial Consulting CC	38974	6 March 2011	Contravention of section 15A of the FSB Act
Nelisa Mlisana	39361	6 March 2011	Contravention of section 15A of the FSB Act
Neluhenis Insurance Brokers	7201	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Neo Joyce Mohlamme	32380	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Neofin Insurance Brokers (Pty) Ltd	4553	6 March 2011	Contravention of section 15A of the FSB Act
Neron Singh t/a R.L.S Insurance Brokers	21191	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Networth Risk And Investment Solutions CC	41225	6 March 2011	Contravention of section 15A of the FSB Act
New Adventure Investments 38 (Pty) Ltd t/a Fedauto	20387	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
New Dawn Advisory Services CC	40525	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
New Horizons Financial CC	19904	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Newcastle Brokers Trust t/a Anchor Insurance Brokers	22854	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Nganele Financial Services CC	39309	6 March 2011	Contravention of section 15A of the FSB Act
Nganiza Investments CC	39477	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ngcebiso Consulting CC	33201	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicol Buchner t/a Nicol Brokers	13660	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

Njaka Manganyi Investments CC	35506	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nkosenhle Wilberforce Mbokazi	22906	6 March 2011	Contravention of section 15A of the FSB Act
NLK Brokers CC	7987	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nocawe Gqokoma t/a Nocawe Gqokoma Brokers	22345	6 March 2011	Contravention of section 15A of the FSB Act
Nolwana Traders CC	41146	6 March 2011	Contravention of section 15A of the FSB Act
Nomagqwangu Trading Enterprise CC	39650	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Nomakhosi Praxidice Bhengu t/a Mylo Brokers	15962	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Norman Ephraim Vilakazi t/a Greenlight Financial Solution	38820	6 March 2011	Contravention of section 15A of the FSB Act
North Rock Trading 20 CC t/a North Rock Brokers	32474	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Northwood Financial Services CC	13296	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nozipho Evidence Gumede t/a Nozipho Brokers	39026	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Nsima Business Enterprise CC t/a Nsima Healthcare Consulting	39609	6 March 2011	Contravention of section 15A of the FSB Act
Ntathu Consulting CC	40555	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ntokozo Collin Sibisi t/a Ntokozo C Sibisi Brokers	39579	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Ntombenkosi Bangeni t/a Bangeni Brokers	16629	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntombesizwe Blossom Xanga t/a Xanga Brokers	22109	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ntombifuthi Sithokozile Phiwokuhle Masondo t/a Futhi Financial Services	21305	5 March 2011	Contravention of section 15A of the FSB Act
Nulane Trading (Pty) Ltd t/a FMS Insurance Brokers	30386	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nwali Insurance Brokers CC	11001	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Nwaxitikixana Phineas Khosa t/a Phineas Brokers	23569	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Oageng Trading Enterprise CC t/a Oageng Funerals	3136	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Olebile Martin Lucky Lorathu	11295	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Olivier Risk Consultants CC	39170	6 March 2011	Contravention of section 15A of the FSB Act
Omnilife CC	38517	6 March 2011	Contravention of section 15A of the FSB Act
Onetax Business Trust	40537	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
ORD & Times Risk And Investment Services CC	13868	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Origin Eight Financial Services (Pty) Ltd	33803	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Orthogonal Investments (Pty) Ltd	39384	6 March 2011	Contravention of section 15A of the FSB Act
Oryx Brokers CC	12218	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Out There Brokers CC	22993	6 March 2011	Contravention of section 15A of the FSB Act
Outreach Marketing Consultants CC t/a Outreach Brokering Consultants	39455	6 March 2011	Contravention of section 15A of the FSB Act
Owen Henry Smith t/a Owen Smith And Associates	5157	6 March 2011	Contravention of section 15A of the FSB Act
Pankhurst & Associates CC	38690	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Papinini Samuel Maja	28778	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Dondolo t/a Patrick Financial Provider	37194	6 March 2011	Contravention of section 15A of the FSB Act
Patrick Donovan George Venter t/a Kenan Investments	31844	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Mtolo (Pty) Ltd	29214	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Patrick Vulindlela Maju t/a Tax And Investments Solutions	39398	6 March 2011	Contravention of section 15A of the FSB Act
Paul Mare Uys t/a Pr Brokers	17979	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Paul Matthew Jordaan t/a Crystal Healthcare Consultants CC	12755	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Paxinos Motor Link CC	39111	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

PCG Business Trust	10371	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PEG Risk Protection (Pty) Ltd	8690	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Penelope Lungile Msabala t/a Msabalafinancial Services	38486	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Pension Fund Services (Pty) Ltd	13228	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Personal Wealth Management CC	3658	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Persoonlikke Finansiële Portefeulje's Bk	5196	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Peter Stefan Ginsberg t/a Peter Ginsberg Financial Services	8232	6 March 2011	Contravention of section 15A of the FSB Act
Petrus Jacobus Lodewikus Viljoen t/a Piet Viljoen Makelaars	29232	6 March 2011	Contravention of section 15A of the FSB Act
Petrus Johannes Pieters	17129	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PFM Medical Scheme Marketing (Pty) Ltd	20745	5 March 2011	Contravention of section 15A of the FSB Act
Pheneas Bhekisisa Majola	17815	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phestine Magoai CC	21616	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phika Insurance Brokers (Pty) Ltd	39213	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Phiko Thomas Sithole t/a Simunye Brokerage	7029	6 March 2011	Contravention of section 15A of the FSB Act

Philisiwe Octavia Ndlovu	10192	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phillip Mahome	41367	6 March 2011	Contravention of section 15A of the FSB Act
Phillipus Paulus Uys t/a MDU Brokers	27273	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phindile Nkomozake	34698	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Phola Marketing Services CC	20994	5 March 2011	Contravention of section 15A of the FSB Act
Pieter Andreas Van Der Merwe t/a Merwede Makelaars	11423	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Gysbertus Bekker t/a Bekker Financial Planners	39273	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Pieter Johannes Kotze t/a P J Kotze Brokers	6920	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Pieter Snyman t/a Suider Strand Makelaars	11590	6 March 2011	Contravention of section 15A of the FSB Act
Pisteuo Confessions CC t/a Deltafin	7414	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Platinum Mile Investments 583 CC t/a Truck & Tractor Specialists	36126	6 March 2011	Contravention of section 15A of the FSB Act
Porstev Investments CC t/a MK Motors	25760	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Portia Queen Sechele t/a KPQ Moruo	36658	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
PPL Healthcare CC	37876	6 March 2011	Contravention of section 15A of the FSB Act

Prassana Trading CC	39290	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Premier Attraction 1144 CC t/a Premier Risk Management Consultants	36530	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Premier Insurance Consultants CC	7524	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Prestige Makelaars	6312	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Prime Fund Managers (Pty)Ltd	16365	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Proactive Lifestyle Management CC	1050	6 March 2011	Contravention of section 15A of the FSB Act
Procty Advisory Services CC	3233	6 March 2011	Contravention of section 15A of the FSB Act
Profrisk CC	13796	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Prolife Administrators CC	41608	6 March 2011	Contravention of section 15A of the FSB Act
PST Brokers And Properties CC	38509	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Pulane Emily Mnisi	12670	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Qmulate (Pty) Limited t/a Mianzo Asset Management	29712	6 March 2011	Contravention of section 15A of the FSB Act
QSAM Financial Services (Pty) Ltd	661	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Quadro Motors BK	22487	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Quantum Financial Consulting CC	40672	6 March 2011	Contravention of section 15A of the FSB Act



Quyn Financial Services (Pty) Ltd	30481	6 March 2011	Contravention of section 15A of the FSB Act
Rachelle Visagie t/a B & R Brokers	21774	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rakgaowe Shadrack Monnakgotla	15482	6 March 2011	Contravention of section 15A of the FSB Act
Rapicorp 174 (Pty) Ltd t/a Bikesure Uma	40527	6 March 2011	Contravention of section 15A of the FSB Act
Ratshilumela Jonas Malovhele t/a First International Insurance Brokers	12474	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Record Breakers CC	41853	6 March 2011	Contravention of section 15A of the FSB Act
Red Sky Administrators (Pty) Ltd	28464	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Regiments Fund Managers (Pty) Ltd	22302	6 March 2011	Contravention of section 15A of the FSB Act
Reitz Mckenzie Global Financial Services (Pty) Ltd	24448	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rene Hester Kruger t/a Platinum Health And Wealth Financial Services	41649	6 March 2011	Contravention of section 15A of the FSB Act
Reyhana Mohamed Simjee	19758	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
RFS Makelaarsdienste Waterberg BK t/a Corporate Corner	41091	6 March 2011	Contravention of section 15A of the FSB Act
Rhoda Semaar t/a R&R Semaar	37703	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Rhulani Financial Services CC	38447	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Riant Investment 118 CC t/a Rothman Delta	19265	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Rich Blue Consultancy CC	41287	6 March 2011	Contravention of section 15A of the FSB Act

Richard Makhaphela	6456	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rigo Leite t/a Roderigo Leandro Leite	7390	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rio Ridge 1035 CC t/a Vukani Consulting	15655	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
River End Trading 632 CC t/a Platinum Horizon Broker Consultants	35083	6 March 2011	Contravention of section 15A of the FSB Act
RNS Telemarketing Services CC	38192	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Robert Bowden King Semple-Fisher	15678	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Robert James Balmain Mouton t/a Robfran Insurance Brokers	22685	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Robert Samuels t/a Uncle Sam's Funeral And Coffin Services	13704	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Robin Mathew Greenan t/a Greenan Brokers	35484	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Robin Pegler	8039	6 March 2011	Contravention of section 17(4) of the FAIS Act
Rocher's Financial And Marketing Services CC t/a Rocher's Financial Advisory Services	42288	6 March 2011	Contravention of section 15A of the FSB Act
Rock Capital Management (Pty) Ltd	34754	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act

Roger Eaton t/a Roger Eaton Financial & Bookkeeping Services	7461	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Rolleston Hall Financial Services CC	13265	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Ronel Viljoen Brokers CC	41222	6 March 2011	Contravention of section 15A of the FSB Act
Rongai Financial Services CC t/a Rongai Company	35008	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Rosendal Insurance Brokers CC	14581	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rossouw Brokers	7156	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Roy Harrypersadh	12501	6 March 2011	Contravention of section 15A of the FSB Act
Rudi Schoeman t/a Rudi Schoeman Makelaars	8954	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Rudolph Snyman	15154	6 March 2011	Contravention of section 17(4) of the FAIS Act
S L Brokers CC	4476	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Sabi Makelaars CC t/a Sibie Makelaars CC	40710	6 March 2011	Contravention of section 15A of the FSB Act
Sajini Contractors CC	41141	6 March 2011	Contravention of section 15A of the FSB Act
Salim Bayat t/a Professional Financial Services	31355	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sams Insurance Brokers CC	19435	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sanana George Moshesha t/a Batubatsi Investment Brokers	20362	5 March 2011	Contravention of section 15A of the FSB Act

Sanda Business Enterprise CC	14390	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Santa Carolina Beleggings BK t/a Chadre Brokers	17346	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Satisfaction Guaranteed Insurance Brokers CC	3915	6 March 2011	Contravention of section 15A of the FSB Act
SATS Financial Services (Pty) Ltd t/a South African Transport Solution Financial Services	40603	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Savem Brokers CC	1309	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Savoy Investment Management Ltd	14650	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sawaklall Makardoj t/a Mack & Associates	12384	6 March 2011	Contravention of section 17(4) of the FAIS Act
Scenic Route Trading 499 CC t/a Legal Insurance Management (Eastern Cape)	37119	6 March 2011	Contravention of section 15A of the FSB Act
Schalk Willem Nel t/a Schalk Nel Makelaars	1963	6 March 2011	Contravention of section 17(4) of the FAIS Act
Schalk Willem Rossouw t/a Schalk W Rossouw Makelaars	11947	6 March 2011	Contravention of section 15A of the FSB Act
SCI Financial CC t/a Southern Cross International	34694	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sean Scott-Crossley t/a CSF Financial Services	9537	6 March 2011	Contravention of section 15A of the FSB Act
Sechaba Securities CC t/a Sechaba Brokers	37285	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sedia Abram Lekgoathi t/a Sedia Insurance Brokers	16137	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sefako David Moraka t/a David Moraka Brokers	19273	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sekwati Peter Mahlatji	22749	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Selby Shepherd Sandla Malusi t/a Triple S Brokers	18702	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Selwyn Cedric Maggott	23343	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sentrisure (Pty) Ltd	39856	6 March 2011	Contravention of section 15A of the FSB Act
Sesfikile Capital (Pty) Ltd	39946	6 March 2011	Contravention of section 15A of the FSB Act
Shaddy Fezile Mbuyiselo Soyamba t/a Future Finance Insurance Brokers	9804	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shadrack Simangalisu Mbonani t/a Mbonani Brokers	41079	6 March 2011	Contravention of section 15A of the FSB Act
Shaheed Gierdien t/a S G Insurance Brokers	14905	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Shananai Consultancy CC	39310	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sharl Barrie Badenhorst t/a Badenhorst Brokers / Makelaars	6504	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sherotha Financial Services CC	35542	6 March 2011	Contravention of section 15A of the FSB Act
Shimba Financial Consulting (Pty) Ltd	41551	6 March 2011	Contravention of section 15A of the FSB Act
Shine The Way 735 CC	25631	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Shiva Shiva Trading CC	38595	6 March 2011	Contravention of section 15A of the FSB Act
Sibangani Phillip Zuma	23669	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sibongile Prisca Mzimela	28105	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Silverline Direct CC	42331	6 March 2011	Contravention of section 15A of the FSB Act
Simon Christoffel Coetzee	16927	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Simon Mahlasela Koba t/a SMK Insurance Brokers	21352	5 March 2011	Contravention of section 15A of the FSB Act
Simon Malpage t/a Simon Malpage Financial Planning	10073	6 March 2011	Contravention of section 15A of the FSB Act
Simon Setsoe t/a Basebetsi Benefit Consultants	18389	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Simphiwe Golden Fields Financial Services CC	30174	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sindiswa Manyamalala	24100	6 March 2011	Contravention of section 15A of the FSB Act
Siphiwo Selwyn Nonyongo t/a Nonyongo Financial Services	35136	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sipho Hensfort Mthembu	18742	5 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Sirius Used Vehicle Company (Pty) Ltd t/a Cardinals Motor Corporation	37106	6 March 2011	Contravention of section 15A of the FSB Act
Siwela Funeral Services CC	40432	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Sixcor Brokers CC	37282	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Siyakha Steel CC t/a Professional Financial Solutions	37624	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Siyaya Finance Brokers (Pty) Ltd	22587	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Sizakele Prudence Nokukhanya Dlamini t/a Siza Financial Solutions	31564	6 March 2011	Contravention of section 15A of the FSB Act
Sizani Antonia Lehapa t/a Lehapa Insurance Brokers	28489	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Skippy Investments CC	13813	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Skyrite Administrations CC	20838	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Skyworx Financial Services CC	38920	6 March 2011	Contravention of section 15A of the FSB Act
SMG Auto (Pietermaritzburg) (Pty) Ltd	6983	6 March 2011	Contravention of section 15A of the FSB Act
Solomon VXVIII Investments (Pty) Ltd	35582	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Solutrade 21 CC t/a Fritz Consulting	19900	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Southern Union Insurance Brokers (Pty) Ltd t/a Southern Union Insurance Brokers	3414	6 March 2011	Contravention of section 17(4) of the FAIS Act
Southern Ambition 1565 CC	37484	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Speedtrack Trading 3019 CC t/a Reagen Pillay Financial	41944	6 March 2011	Contravention of section 15A of the FSB Act
Stanford Investments CC t/a Akson Wheels	19325	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Star Agency CC	18545	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Star Pride Business Development Services CC t/a Star Pride Insurance Brokers	41179	6 March 2011	Contravention of section 15A of the FSB Act

Stater Trading And Project CC	41165	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Stephan Prinsloo	19790	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Stephanie Du Plessis	20718	5 March 2011	Contravention of section 15A of the FSB Act
Stephanus Jordaan	14434	6 March 2011	Contravention of section 15A of the FSB Act
Steven Musa Dlakude t/a NPS Brokerage	41135	6 March 2011	Contravention of section 15A of the FSB Act
Stian De Witt t/a Sable Financial Services	38837	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Strike Gold Investments CC t/a Save-U Auto Sales	20153	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Strinivasa Jaggiah Naidoo t/a Strini Naidoo & Associates	25438	6 March 2011	Contravention of section 15A of the FSB Act
Success Road, Rail, Sea & Air Brokers (Pty) Limited	14402	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Sureright Administrators CC	10607	6 March 2011	Contravention of section 15A of the FSB Act
Susarah Johanna Olivier	14107	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Swiftdeals 14 CC t/a Henk Mathee Versekerings Makelaars	13605	6 March 2011	Contravention of section 15A of the FSB Act
Swiss Re Life And Health Africa Limited	36311	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tabisa Sapula t/a TS Broker Services	37666	6 March 2011	Contravention of section 15A of the FSB Act
Taccsure Brokers CC	12181	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Tanagra Nombulelo Ntetha t/a Tanagra Brokers	28479	6 March 2011	Contravention of section 15A of the FSB Act



Tati Investments (Pty) Ltd	39373	6 March 2011	Contravention of section 15A of the FSB Act
Taxpros CC	18978	5 March 2011	Contravention of section 15A of the FSB Act
TB Brokers CC t/a Theo Blignaut Brokers	8149	6 March 2011	Contravention of section 15A of the FSB Act
Tebello Michael Tsolo t/a Sisco Insurance Brokers	16803	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Teboho Nelly Nketoane	21516	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tele Buzz CC	33331	6 March 2011	Contravention of section 15A of the FSB Act
Tembinkosi Elvis Majola t/a TE Financial Service Broker	25004	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Tembinkosi Terence Mketso t/a Zizamele Insurance Brokers	26901	6 March 2011	Contravention of section 15A of the FSB Act
Tendai Nyadombo	26918	6 March 2011	Contravention of section 15A of the FSB Act
Terrance Pilane Phyto	21349	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tespam Trading CC t/a MV Tapula Brokers	36874	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabiso Ramanamane t/a Excelsior Broker Marketing	30599	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thabo Mathews Maungwa t/a Thabo Maungwa Ins.	6389	6 March 2011	Contravention of section 15A of the FSB Act
Thabo Sydwell Moremoholo	9206	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thanduxolo Theophilus Sotapu	20418	5 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act

The Business Zone 44 CC t/a Magentheren Rajoo Naidoo	20854	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Ex-Stream Insurance Consultants CC	37479	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
The Frikkie Le Roux Business Trust	2238	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
The National Empowerment Fund Trust	10912	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
The Overcomer Financial Services CC	40336	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thelela Amos Mokwana t/a Dynamic Investment Brokers	18588	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thelma Tembakazi Nodlabi	41977	6 March 2011	Contravention of section 15A of the FSB Act
Themba Donatus Chiliza t/a TDC Brokers	40969	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thembaletu Financial Solutions (Pty) Ltd	1682	6 March 2011	Contravention of section 17(4) of the FAIS Act
Theuns Pretorius	11832	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Thomas Kenneth Henry t/a Sun Financial Services	40022	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Thornbush Financial Services CC	38406	6 March 2011	Contravention of section 17(4) of the FAIS Act
Thulani Frances Khumalo	39676	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Tiespro 177 (Pty) Ltd	41369	6 March 2011	Contravention of section 15A of the FSB Act
Tim Brokers (Pty) Ltd	16901	5 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Time Horizon Life Marketing Services (Pty) Ltd	32689	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Tinanet Trading CC	38928	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
TJS Brokerage CC	36158	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
TLC Admin CC	40137	6 March 2011	Contravention of section 15A of the FSB Act
Tiholo- Victory Funerals CC	38546	6 March 2011	Contravention of section 15A of the FSB Act
TMG Brokers CC	39538	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
TNI Consulting (Pty) Ltd	37833	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Topeng Solutions 22 CC t/a Jeremiah 33 :3	39640	6 March 2011	Contravention of section 15A of the FSB Act
Torchway Investments (Pty) Ltd t/a Invest Online	40592	6 March 2011	Contravention of section 15A of the FSB Act
Tradeshack 57 CC t/a Lukanda Financial Services	40444	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Traluz Insurance Brokers CC	37567	6 March 2011	Contravention of section 15A of the FSB Act
Trilinear Capital (Pty) Ltd	634	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Trump Financial Advisors CC	29719	6 March 2011	Contravention of section 15A of the FSB Act
Trusted Financial Planners CC	37562	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Tshepang Consultants CC	9568	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Tshikani Daniel Khosa	40892	6 March 2011	Contravention of section 15A of the FSB Act
Uggoko Trading CC t/a Uggoko Financial Brokers	31134	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Uku-Khanya Product And Distribution Centre (Pty) Ltd	25831	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Ulwazi Consultants CC t/a Ulwazi Financial Services	38229	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Vanessa Van Eijk	41254	6 March 2011	Contravention of section 15A of the FSB Act
Vehicle Emporium CC	15841	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vernon Cloete Broker Services (Pty) Ltd	4507	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vhaghana Funeral Directors CC t/a Vhaghana Funeral Services	38631	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Vhaila Brokers CC	25920	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vhuthelani Marketing Services CC t/a VM Services	38480	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Vincent Mason Nair t/a Vincent And Associates	21646	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vishal Ishwarlall Gurcharan t/a Team Cars	34112	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Vista Assurance Brokers CC	11624	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

Viwe Mtamalala	36769	6 March 2011	Contravention of section 15A of the FSB Act
Vukani Mangwane Trading CC t/a Mangwane Financial Brokers	37895	6 March 2011	Contravention of section 15A of the FSB Act
Vuvuzela Financial Services CC	37386	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Vuyani Maxwell Zuzani Investments CC	20022	5 March 2011	Contravention of section 15A of the FSB Act
Wakalah Financial Services CC	41029	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Warbot Brokers CC	36244	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Warranty Solutions (Pty) Ltd	18998	5 March 2011	Contravention of section 15A of the FSB Act
Wayne Benjamin Stevens t/a Shoreline Financial Services	24246	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wealthvest CC t/a Wealthvest Financial Services	39890	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Webram Fifteen (Pty) Ltd t/a Mallac Hearle Financial Services	8960	6 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Welcome Anderson	23011	6 March 2011	Contravention of section 15A of the FSB Act
Wellman Financial Services CC	21070	5 March 2011	Contravention of section 15A of the FSB Act
Wendy Mortimer Investments CC	2378	22 March 2011	Contravention of section 17(1) of the FAIS Act
Wenwin Agency CC	10752	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wesley Kruger t/a Wesk Financial Planners	38805	6 March 2011	Contravention of section 15A of the FSB Act
Wilhelmina Johanna Dorothea Swanepoel t/a Sowsure	18318	5 March 2011	Contravention of section 15A of the FSB Act

Willem Hendrik Esterhuizen t/a BFC	4030	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Johannes Delport t/a Delphisure Worcester	5978	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Willem Lodewikus Nel t/a Wikus Nel Broker	9915	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
William Lucky Morake t/a Forluck Insurance Brokers	38871	6 March 2011	Contravention of section 17(4) of the FAIS Act
Wingate Pearse Insurance Brokers CC	18987	5 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Wiseman Sthembis Mnyandu t/a Somtsewu Brokers	29281	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Wisesure Financial Consulting CC	7342	6 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Xcellent Financial Services CC	36825	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Xolani Richard Hlengwa t/a Global Life Solutions	17857	5 March 2011	Contravention of section 17(4) of the FAIS Act
Xolile Witness Siyo t/a Healthwise	14383	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Xoliswa Memani	24093	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Yen Administrators CC	36655	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
YPM Financial Solutions CC	40842	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Yunizam Motors CC t/a Aksons Wheels	19951	5 March 2011	Contravention of section 15A of the FSB Act

Zameka Nqeketho t/a Zams Enterprise	37619	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zamikhaya Zindlu t/a Zama Zindlu Financial Services	40747	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Zamokwakhe Collin Xoseka t/a Indaba Investments	35689	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zanele Eudorah Gabela t/a Lion And The Cub	28902	6 March 2011	Contravention of section 15A of the FSB Act
Zeloti Trading CC t/a Unique M Brokers	28817	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zen Insurance Brokers CC	3864	6 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Zilungiselele Trading And Projects CC	34648	6 March 2011	Contravention of section 15A of the FSB Act
Zola Mangani t/a Mangani Financial Advisors	40854	6 March 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Zothani Arnolda Mkhize	22178	6 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 4 of 2011.



**G E ANDERSON**  
Deputy Registrar of Financial Services Providers

**BOARD NOTICE 117 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****SUSPENSION OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("the FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR SUSPENSION</b>
21st Century Funeral Brokers (Pty) Ltd	10293	18 April 2011	Contravention of section 19(3) of the FAIS Act
Adolf Tsakane Funeral Services CC t/a KTM Funeral Services	9261	18 April 2011	Contravention of section 15A of the Financial Services Board Act ('FSB Act), and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Advent Funeral Suppliers CC	35139	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Affiliated Risk Consultants CC	25758	6 May 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
African Mutual Financial Services ( Pty ) Ltd	3884	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
AGL De Lange t/a Delta International Investment SA	4174	20 April 2011	Contravention of section 4(5)(a)(i) and 19(1)(b)(i) and 19(2)(b)(iii) of the FAIS Act
Alpha Cube Capital (Pty) Ltd	34717	18 April 2011	Contravention of section 19(3) of the FAIS Act
Alpine Seat CC	27224	5 May 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ashraf Alli Abdul Wajar t/a Ashraf Wajar Insurance Brokers	8199	18 April 2011	Contravention of section 15A of the FSB Act
Baobab Underwriting and Financial Services (Pty) Ltd	4409	5 May 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act



Baphuthing Marketing and Finance Consultancy CC	22601	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Bataung Financial Services CC	39172	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Bimal Chand Maharaj t/a KC Financial Services	8668	6 May 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Choice Decisions 1291 CC t/a Kunene Funeral Services	11523	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
David Davids t/a Prestige Funeral Scheme	28637	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Dick's Funeral Society CC t/a Lesedi Funeral Home	9027	18 April 2011	Contravention of sections 17(4) and 19(3) of the FAIS Act
Dorotian Funeral Services CC	14668	18 April 2011	Contravention of section 19(3) of the FAIS Act
Eagles Eyrie Financial Services CC	26872	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Elev Funeral Services And Undertakers CC	954	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Elzbe Van Niekerk t/a Attorney E Van Niekerk	24963	18 April 2011	Contravention of section 19(3) of the FAIS Act
Faith Funeral Home CC	27818	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(3) of the FAIS Act
First National Administrators (Proprietary) Limited	18695	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) and 19(3) of the FAIS Act
Funesist CC t/a Funesist Life	35335	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) and 19(3) of the FAIS Act

Gcinumthetho Cleopas Gumedede t/a Mngunis Funeral Services	22135	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Gerhardus Petrus Pretorius t/a Krugersdorp Onderlinge Begrafnis Genootskap	21408	18 April 2011	Contravention of sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Gerrit Benjamin Van Heerden t/a Count The Silver Funeral Services	14685	18 April 2011	Contravention of section 15A of the FSB Act, and sections 19(2)(b)(iii) and 19(3) of the FAIS Act
Insight Capital (Pty) Ltd t/a Insight Capital (Pty) Ltd	24198	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
J Motsepe Business Enterprise CC	12540	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Johan Mocke Makelaarsdienste CC t/a Johan Mocke Makelaars CC	4321	6 May 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Magic Moments Funerals CC	31304	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Maletsoku Sephorah Modukanele t/a Phahameng Funeral Services	17136	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Minosand Consulting CC	28652	6 May 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Mjisa Funeral Services CC	13983	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Mlungisi Lawrence Ideo Xulu t/a Donda Funeral Services	9297	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Motlhanke Funeral Services CC t/a Motlhanke Funeral Parlour	7722	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act

Moutloatsi Setlogelo t/a Royal Funeral Home	23790	18 April 2011	Contravention of sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Nape Frans Moholola t/a Moholola Insurance Brokers	31527	6 May 2011	Contravention of section 15A of the FSB Act, and section 17(4) of the FAIS Act
Ngoni Gwatidzo	449	5 May 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nigel Krawczyk t/a Broker Solutions	252	01 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
North Coast Funeral Services CC	9486	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(3) of the FAIS Act
Notha Funeral Services CC	12186	18 April 2011	Contravention of sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Parent Power Funeral and Investment Administrators CC	8833	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
PEG Financial Options (Pty) Ltd	22822	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(3) of the FAIS Act
Pescodia Funeral CC	8796	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Phokeng Funeral Directors CC	16679	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Prote Funeral Services CC	21609	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Purple Rain Properties No 15 (Pty) Ltd t/a Realcor Cape	31351	28 April 2011	Contravention of sections 8(1)(a) and 8(1)(c) of the FAIS Act
Redcliff Trading 88 CC	23588	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of

			the FAIS Act
Robbie Dee Funeral Home CC	9282	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Rocks Funeral Services CC	26422	18 April 2011	Contravention of sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
S M Mohapi Financial Services (Pty) Ltd	722	18 April 2011	Contravention of section 19(3) of the FAIS Act
Sharemax Premium (Pty) Ltd	25694	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) and 19(3) of the FAIS Act
Sithi Aba Corporate Advisors (Pty) Ltd	23497	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Sizisa Ukhanyo Trading 209 CC t/a Sytas Funeral Parlour	19423	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Sonray Funeral Directors CC t/a City Angels Funeral Services	18446	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Stargate Funeral Services CC	9146	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) and 19(3) of the FAIS Act
Tat Funeral Scheme CC	29709	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Thabang Moretsele Trading CC	35379	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Thula Innocent Khanyile t/a Inland Funeral Parlour	14946	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Umduduzi Funeral Consultants CC t/a Umduduzi Funeral Home	6083	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) and 19(3) of the FAIS Act

Unique Boss Funerals CC	37117	18 April 2011	Contravention of section 15A of the FSB Act, and section 19(3) of the FAIS Act
Van Willing Funerals CC	36678	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act
Westerncape Funeral And Financial Services CC t/a Pecunia Healthcare And Insurance Consultants	18797	18 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) and 19(3) of the FAIS Act

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 5 of 2011.



**G E ANDERSON**  
*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 118 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR WITHDRAWAL</b>
Adnil Financial Property Imaging & Printing CC t/a Adnil Financial Services	32809	6 April 2011	Contravention of sections 13(2), 17(4) and 19(1)(b)(i) and 19(2)(b)(iii) of the FAIS Act
Aliwal Trading (Pty) Ltd t/a Adminsure Administrators	30330	4 April 2011	Contravention of sections 8 (1)(a)(b) of the FAIS Act
April 27 Investments (Pty) Ltd t/a April 27 Risk Management Services	34983	28 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Charles George Sebothoma t/a Cheche Financial Services	13646	28 March 2011	Contravention of sections 8 of the FAIS Act and 2 of the General Code of Conduct
Chartered Auto Underwriting Agency (Proprietary) Limited	13234	4 April 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Florence Masinuko Manana t/a Flo's Assurance	20218	28 March 2011	Contravention of section 15A of the FSB Act, and section 19(2)(b)(iii) of the FAIS Act
Forwardsure (Pty) Ltd	7796	28 March 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Horizon Deep Funeral Parlour CC t/a Horizon Deep Funerals	12347	5 April 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Jacobs And Sons Insurance And Investment Consultant CC	35705	11 April 2011	Contravention of section 17(4) of the FAIS Act
Khuthalan Funeral Home CC	17892	23 March 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act

KO Phuthanang Funeral Services CC	7834	4 April 2011	Contravention of section 19(3) of the FAIS Act
Maarwaana Moerat t/a Moerat Brokerage	26891	11 April 2011	Contravention of section 17(4) of the FAIS Act
Masakane Coffin Society CC t/a Tirisano Mmogo Funeral Society	19433	4 April 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Mathseb Cattle And Meat Services CC t/a Dirisanang Ma-Africa Society	11312	5 April 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Minnaar & De Kock Attorneys	20925	6 April 2011	Contravention of section 8 (1) (b) of the FAIS Act
Motlhaodi William Malgas	29251	4 April 2011	Contravention of sections 19 (1) and 19(2)(b)(iii) of the FAIS Act
Nditsheni Nematandani CC t/a Rainbow African Insurance Brokers	12409	7 April 2011	Contravention of section 15A of the FSB Act, and sections 8(1)(a), (17(4) and 19(2)(b)(iii) of the FAIS Act
New Future Financial Services CC	15777	4 April 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Nhlalala Marketing And Finance CC	33406	4 April 2011	Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Nicolaas Johannes De Kock	11941	31 March 2011	Contravention of section 8 of the FAIS Act
Philmohure Insurance Brokers CC	100	28 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act
Turako Financial Services Pty (Ltd)	33506	1 April 2011	Contravention of section 19(2)(b)(iii) of the FAIS Act
Vusi Aaron Zitha	37097	28 March 2011	Contravention of section 15A of the FSB Act, and sections 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 4 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**BOARD NOTICE 119 OF 2011****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****WITHDRAWAL OF AUTHORISATION**

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

<b>LICENSEE</b>	<b>FSP NO.</b>	<b>EFFECTIVE DATE</b>	<b>REASONS FOR WITHDRAWAL</b>
BH and TM Investments CC	27658	20 April 2011	Contravention of sections 8(1) of the FAIS Act
Lilian Mohr t/a LDM Financial Planning	8045	19 April 2011	Contravention of sections 8(1) of the FAIS Act
PFM Financial Services (Pty) Ltd	18870	20 April 2011	Contravention of sections 8(1), 17(4) and 19(2)(b)(iii) of the FAIS Act

This Notice is called the Notice on Withdrawal of Authorisation, No 5 of 2011.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*