



Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA
REPUBLIEK VAN SUID-AFRIKA

Vol. 565

Pretoria, 6 July
Julie 2012

No. 35495

N.B. The Government Printing Works will not be held responsible for the quality of "Hard Copies" or "Electronic Files" submitted for publication purposes



AIDS HELPLINE: 0800-0123-22 Prevention is the cure

IMPORTANT NOTICE

The Government Printing Works will not be held responsible for faxed documents not received due to errors on the fax machine or faxes received which are unclear or incomplete. Please be advised that an "OK" slip, received from a fax machine, will not be accepted as proof that documents were received by the GPW for printing. If documents are faxed to the GPW it will be the sender's responsibility to phone and confirm that the documents were received in good order.

Furthermore the Government Printing Works will also not be held responsible for cancellations and amendments which have not been done on original documents received from clients.

CONTENTS • INHOUD*No.**Page
No. Gazette
 No.***BOARD NOTICE**

116	Financial Advisory and Intermediary Services Act, 2002: Financial Services Board: Exemption of particular persons from the Level 1 Regulatory Examination, 2012	3	35495
-----	---	---	-------

BOARD NOTICE

BOARD NOTICE 116 OF 2012
FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, 2012

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



G E ANDERSON
Deputy Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, 2012

Definitions

- In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008;

Extent, duration and conditions of Exemption

- The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Wayne John Denissen	Must successfully complete the relevant first level regulatory examination by 31 December 2013.
Sewnarain Bhoora Maharaj	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Hugh Edward Webb	Must successfully complete the relevant first level regulatory

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
	examination by 31 July 2013.
Adriaana Cornelia Rogers	Must successfully complete the relevant first level regulatory examination by 31 December 2013.
David Edmund Bryant	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
Welma Jardim	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
William Frederick Neumann	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Johann du Toit	Must successfully complete the relevant first level regulatory examination by 30 September 2012.
Winston Mc Gowan	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Malcolm Ormond	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Helena Davis	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Dhanasvaree Moodley	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Gideon Lourens Visser	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Cornelius Johannes Muller Woest	Must successfully complete the relevant first level regulatory examination by 31 July 2012.
Cornelius Botes	Must successfully complete the relevant first level regulatory examination by 31 December 2012.
Carl Andrew Groch	Must successfully complete the relevant first level regulatory examination by 30 June 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 1 of 2012, and comes into operation on 30 June 2012.

Printed by and obtainable from the Government Printer, Bosman Street, Private Bag X85, Pretoria, 0001
 Publications: Tel: (012) 334-4508, 334-4509, 334-4510
 Advertisements: Tel: (012) 334-4673, 334-4674, 334-4504
 Subscriptions: Tel: (012) 334-4735, 334-4736, 334-4737
 Cape Town Branch: Tel: (021) 465-7531

Gedruk deur en verkrygbaar by die Staatsdrukker, Bosmanstraat, Privaatsak X85, Pretoria, 0001
 Publikasies: Tel: (012) 334-4508, 334-4509, 334-4510
 Advertensies: Tel: (012) 334-4673, 334-4674, 334-4504
 Subskripsies: Tel: (012) 334-4735, 334-4736, 334-4737
 Kaapstad-tak: Tel: (021) 465-7531