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BOARD NOTICES

BOARD NOTICE 124 OF 2012

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

EXEMPTION OF INNOVATION MAVEN (PTY) LTD

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), Innovation Maven (Pty) Ltd to the extent and subject to the conditions set out in the Schedule.



GE Anderson,
Deputy Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF INNOVATION MAVEN (PTY) LTD

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008; and

"Innovation Maven", means Innovation Maven (Pty) Ltd.

Extent of exemption and condition

3. (1) Innovation Maven is exempted from paragraph 9(2) of the Determination of Fit and Proper Requirements subject to the conditions that it must-
 - (a) on a monthly basis and within 15 days after month-end furnish the Registrar with its latest financial management accounts;

- (b) be able to settle its debt as it falls due;
- (c) immediately inform the Registrar of any matter that may impact on its ability to comply with paragraph 9(2) of the Determination of Fit and Proper Requirements on expiry of this Exemption; and
- (d) advise the Registrar immediately of any change to the particulars furnished in its exemption application.

(2) This Exemption shall, subject to paragraph 4, be valid for a period of 1 year from the date referred to in paragraph 5.

Amendment and withdrawal of exemption and conditions

4. This Exemption or conditions mentioned in paragraph 3 are subject to-
- (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

5. This Exemption is called the Exemption of Innovation Maven (Pty) Ltd, 2012, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 125 OF 2012**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, 2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, the persons listed hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Gazette 33750 of 10 November 2010.

- (a) Christel de Vos
- (b) Angela J Nolan
- (c) Donovan C Brand
- (d) Lufuno L Mukhuba
- (e) C Botes
- (f) Wayne J Denissen
- (g) Sewnarain B Maharaj
- (h) Hugh E Webb
- (i) Adriaana C Rogers
- (j) David E Bryant
- (k) Welma Jardim
- (l) Johann du Toit
- (m) Carl Andrew Groch

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 4 of 2012, and comes into operation on the date of publication in the *Gazette*.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 126 OF 2012
FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
2012**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



G E ANDERSON
Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, 2012**

Definitions

1. In this Schedule, “the Act” means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

“**Determination of Fit and Proper Requirements**” means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

“**qualification requirements**” means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Joseph Benjamin MC Callaghan	Must comply with the qualification requirements by 31 December 2012.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Angela Jean Nolan	Must comply with the qualification requirements by 31 December 2013.
Donovan C Brand	Must comply with the qualification requirements by 31 December 2013.
Christel de Vos	Must comply with the qualification requirements by 31 July 2013.
Lufuno L Mukhuba	Must comply with the qualification requirements by 31 January 2013.
Deelyn Padiachy	Must comply with the qualification requirements by 31 July 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 5 of 2012, and comes into operation on the date of publication in the *Gazette*.
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