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BOARD NOTICES

BOARD NOTICE 135 OF 2012

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO 37 OF 2002)

AMENDMENT NOTICE ON THE DETERMINATION OF QUALIFYING CRITERIA AND QUALIFICATIONS FOR FINANCIAL SERVICES PROVIDERS, 2008

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, after consultation with the Advisory Committee on Financial Services Providers, hereby under paragraph 5(1)(a) of Part V of the Determination of Fit and Proper Requirements for Financial Services Providers, 2008, amend Annexure 2 to the Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, Number 1 of 2008, as set out in the Schedule to this Notice.

This Notice is called the Amendment Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2012.



GE ANDERSON,
Deputy Registrar of Financial Services Providers

SCHEDULE**AMENDMENTS NOTICE ON THE DETERMINATION OF QUALIFYING CRITERIA AND QUALIFICATIONS FOR FINANCIAL SERVICES PROVIDERS, 2012****Definition**

1. In this Schedule "the Notice" means the Notice on the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, Number 1 of 2008, as published by Board Notice 105 of 2008 in Government *Gazette* No. 31514 of 15 October 2008.

Amendment of the index of Annexure 2 to the Notice

2. Annexure 2 of the Schedule to the Notice is hereby amended by the substitution of the index of Annexure 2 for the following index:

"ANNEXURE 2: QUALIFICATIONS LIST

- List 1: Qualifications recognised for Category I FSPs
- List 2: Specified subject(s) / module(s) / unit standard(s) based qualifications recognised for Category I and IV FSPs
- List 3: Qualifications recognised for Category I FSPs for the transitional period only
- List 4: Qualifications recognised for Category II & IIA FSPs
- List 5: Qualifications recognised for Category III FSPs
- List 6: Qualifications recognised for Category III FSPs for the transitional period only
- List 7: Qualifications recognised for Category IV FSPs
- List 8: Qualifications recognised for Category IV FSPs for the transitional period only
- List 9: Qualifications recognised for Category II and IIA FSPs for the transitional period only"

3. Amendment of Annexure 2 to the Notice

- Annexure 2 of the Schedule to the Notice is hereby amended by the substitution for Annexure 2 of the following Annexure:

List 2: Specified subject(s) / module(s) / unit standard(s) based qualifications recognised for Category I and IV FSP's																														
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Specified subjects/modules/unit standards based qualifications recognised for Category I																							
							1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	KI	IV		
Please note: The SAQA ID for qualification 20185, Further Education and Training Certificate: Banking is the same as for the National Certificate: Banking (20185) NQF Level 4. We thus recognise both qualifications in the same manner.																														
139	Further Education and Training Certificate: Banking	73	Cornerstone Performance Solutions	20185	4	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
This qualification is recognised if it contains the following unit standards: 230071, 230075, 242655, 252203, 242815, 110028, 242658, 242657, 120127, 13379, 117156, 114759, 243137, 252191, 7223, 14963, 12456, 117709, 242584, 242593 To be recognised for short-term insurance the following electives will apply: 120011, 119679, 242653, 120114, 243137, 117121 To be recognised for long-term insurance the following electives will apply: 117126, 119261, 11713, 119478, 118011 To be recognised for short-term and long-term deposits the following electives will apply: 7223, 7231, 7236, 114759, 117156																														
139	Further Education and Training Certificate: Banking	179	Learnsys	20185	4	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
The qualification offered via Learnsys is recognised if it contains the following unit standards in the core and elective grouping: Core unit standards: 10017, 10022, 7254, 7223, 7219, 7255 Elective unit standards: 7244, 7237, 7250																														
139	Further Education and Training Certificate: Banking	180		20185	4	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
The qualification offered via First Rand Bank limited is recognised if it contains the following unit standards in the core and elective grouping: Core unit standards: 13416, 252196, 252217, 13379, 7242, 114759, 252193 Elective unit standards: 252203, 12763, 12755, 12759																														
139	Further Education and Training Certificate: Banking	182	The Facilitation Workshop	20185	4	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
The qualification offered via The Facilitation Workshop is recognised if it contains the following unit standards in the elective grouping: Elective unit standards: 7236, 114756, 252210																														
224	National Certificate: Banking	178	BANKSETA	20186	5	120	G	-	G	-	-	-	-	G	G	G	G	G	G	G	G	G	-	-	G	G	G	G	G	G
This qualification is recognised if it contains the following unit standards: 12886, 12887, 12888, 12937, 12883, 7343, 230071, 230075, 7334, 7356, 7361, 13948, 242614																														
224	National Certificate: Banking	73	Cornerstone Performance Solutions	61589	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
The qualification offered via Cornerstone Performance Solutions is recognised if it contains the following unit standards in the elective grouping: Elective unit standards: 115821, 15236, 116365, 7880, 117781, 7340, 10045, 7316																														

List 6: Qualifications recognised for Category III FSPs for the transitional period only																										
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 3 [Sub Categories Below]																			
							1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	KI				
13	IISA PROGRAMME IN ADVANCED INSURANCE PRACTICE	1	UNISA	0-71536	6	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	
52	ICSA PROGRAMME IN STRATEGIC MANAGEMENT AND CORPORATE GOVERNANCE	1	UNISA	7110 2	7	96	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G
246	CERTIFICATE: TECHNOLOGY MANAGEMENT AND INNOVATION	113	DA VINCI INSTITUTE	59449	5	120	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
281	DIPLOMA: MARKETING	3	INSTITUTE OF BANKERS	20332	5	240	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
318	ICSA PROGRAMME IN STRATEGIC MANAGEMENT AND CORPORATE GOVERNANCE	1	UNISA	7110 2	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
367	CERTIFICATE IN FINANCIAL MANAGEMENT	80	LYCEUM COLLEGE	FSB 019	6	60	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
369	PROGRAMME: RISK MANAGEMENT	1	UNISA	7594 9	6	108	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
374	ADVANCED PROGRAMME: FINANCIAL PLANNING AND ESTATES	1	UNISA	7592 2	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
486	BUSINESS MANAGEMENT DEVELOPMENT PROGRAMME	75	TECHNIKON WITWATERSRAND	FSB 064	7	0	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
595	FINANCIAL MANAGEMENT PROGRAMME	1	UNISA	7127 7	6	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G
813	PROGRAMME IN ADVANCED BANK MANAGEMENT	1	UNISA	FSB0181	7	96	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G	G

List 7: Qualifications recognised for Category IV FSPs

Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
1	NATIONAL DIPLOMA IN FINANCIAL MARKETS	52	SGB ALL INSTITUTIONS	23653	6	240	G	G
9	POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	10	UNIVERSITY OF STELLENBOSCH	71690	7	120	G	G
9	POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	11	UNIVERSITY OF FREE STATE	48866	7	160	G	G
9	POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	25	NELSON MANDELA METROPOLITAN UNIVERSITY	66096	7	120	G	G
11	BACHELOR OF COMMERCE: FINANCE	13	RAU	3117	6	432	G	G
14	FURTHER EDUCATION AND TRAINING CERTIFICATE: RETAIL INSURANCE	52	SGB ALL INSTITUTIONS	49835	4	140	G	G
14	FURTHER EDUCATION AND TRAINING CERTIFICATE: RETAIL INSURANCE	52	SGB ALL INSTITUTIONS	49835 / 66609	4	140	G	G
14	FURTHER EDUCATION AND TRAINING CERTIFICATE: RETAIL INSURANCE	52	SGB ALL INSTITUTIONS	66609	4	140	G	G
17	NATIONAL CERTIFICATE: FINANCIAL SERVICES	52	SGB ALL INSTITUTIONS	48783	2	120	G	G
18	FURTHER EDUCATION AND TRAINING CERTIFICATE: SHORT TERM INSURANCE	52	SGB ALL INSTITUTIONS	66610	4	150	G	G
19	FURTHER EDUCATION AND TRAINING CERTIFICATE: LONG-TERM INSURANCE	52	SGB ALL INSTITUTIONS	49649	4	140	G	G
22	NATIONAL CERTIFICATE: WEALTH MANAGEMENT	49	INTEC COLLEGE	57608	5	120	G	G
22	NATIONAL CERTIFICATE: WEALTH MANAGEMENT	69	INSETA	57608	5	120	G	G
23	BACHELOR IN MANAGEMENT LEADERSHIP	11	UNIVERSITY OF FREE STATE	16944	6	360	G	G
25	NATIONAL DIPLOMA: MANAGEMENT	57	SOUTHERN BUSINESS SCHOOL	57222	6	240	G	G
28	NATIONAL CERTIFICATE: WEALTH MANAGEMENT	69	INSETA	57608	5	120	G	G
29	CERTIFICATE: FINANCIAL PRODUCTS	4	MILPARK BUSINESS SCHOOL	62809	4	120	G	G
29	CERTIFICATE: FINANCIAL PRODUCTS	47	DAMELIN	21166	4	120	G	G
30	BACHELOR OF COMMERCE: GENERAL MANAGEMENT	11	UNIVERSITY OF FREE STATE	16912	6	536	G	G
33	BACHELOR OF COMMERCE HONOURS: BANKING	5	UNIVERSITY OF PRETORIA	7215	7	200	G	G
34	BACHELOR OF COMMERCE: BANKING MANAGEMENT	4	MILPARK BUSINESS SCHOOL	62812	6	360	G	G
34	BACHELOR OF COMMERCE: BANKING MANAGEMENT	47	DAMELIN	58005	6	363	G	G
35	BACHELOR OF COMMERCE: INVESTMENT MANAGEMENT	5	UNIVERSITY OF PRETORIA	16386	6	473	G	G
35	BACHELOR OF COMMERCE: INVESTMENT MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	3137	7	160	G	G
36	MASTER OF COMMERCE: INVESTMENT MANAGEMENT	13	RAU	3135	8	240	G	G
37	NATIONAL CERTIFICATE: BANKING SERVICES ADVICE	4	MILPARK BUSINESS SCHOOL	62811	5	131	G	G
37	NATIONAL CERTIFICATE: BANKING SERVICES ADVICE	52	SGB ALL INSTITUTIONS	48533	5	120	G	G
38	NATIONAL DIPLOMA: FINANCIAL MARKETS	52	SGB ALL INSTITUTIONS	23653	6	240	G	G
39	CERTIFICATE: FINANCIAL PLANNING	47	DAMELIN	57998	5	120	G	G
40	SENIOR MANAGEMENT PROGRAMME	5	UNIVERSITY OF PRETORIA	FSB 027	0	0	G	G
46	BACHELOR OF BUSINESS SCIENCE: MANAGEMENT STUDIES	9	UNIVERSITY OF CAPE TOWN	4403	7	576	G	G
47	DIPLOMA IN ACCOUNTING TECHNICIAN	63	ASSOCIATION OF ACCOUNTING TECHNICIANS	20402	5	252	G	G
48	CERTIFICATE IN BANK CREDIT	4	MILPARK BUSINESS SCHOOL	59829	5	120	G	G
50	BACHELOR OF COMMERCE HONOURS: TAXATION	13	RAU	3093	7	160	G	G

List 7: Qualifications recognised for Category IV FSPs		Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
Qualification No	1								KI	
51	BACHELOR OF COMMERCE: ACCOUNTING	8	UNIVERSITY OF JOHANNESBURG	3095	6	360	G			
51	BACHELOR OF COMMERCE: ACCOUNTING	15	UNIVERSITY OF WESTERN CAPE	7259	6	360	G			
54	PROGRAMME: BANKING	1	UNISA	7589 2	6	96	G			
57	MASTER OF COMMERCE IN BANKING	78	BANKING ASSOCIATION OF SOUTH AFRICA (BASA)	4807	8	300	G			
59	BACHELOR OF SCIENCE: MATHEMATICAL STATISTICS	172	UNIVERSITY OF ZULULAND	62469	6	360	G			
62	ALTERNATIVE INVESTMENT SPECIALIST	93	CHARTERED ALTERNATIVE INVESTMENT ANALYST ASSOCIATION (CAIA)	FSB0177	0	0	G			
63	BACHELOR OF ACCOUNTING SCIENCE	1	UNISA	5535	6	408	G			
67	DOCTOR OF COMMERCE: BANKING	5	UNIVERSITY OF PRETORIA	16574	8	300	G			
68	CERTIFICATE IN FINANCIAL PLANNING	61	CHARTERED INSURANCE INSTITUTE	FSB0102	0	0	G			
69	FURTHER EDUCATION AND TRAINING CERTIFICATE: MEDICAL CLAIMS ASSESSING	52	SGB ALL INSTITUTIONS	49356	4	150	G			
76	NATIONAL CERTIFICATE IN BANKING FINANCIAL SERVICES	47	DAMELIN	57639	5	120	G			
77	BACHELOR OF BUSINESS SCIENCE:ACTUARIAL SCIENCE	9	UNIVERSITY OF CAPE TOWN	4411	6	360	G			
77	BACHELOR OF BUSINESS SCIENCE:ACTUARIAL SCIENCE	177	UNIVERSITY OF NATAL	16253	6	360	G			
83	DIPLOMA IN INSURANCE(LONG TERM)	62	INSURANCE INSTITUTE OF SA (IISA)	20412	6	120	G			
87	BACHELOR OF ARTS	1	UNISA	14352	6	360	G			
87	BACHELOR OF ARTS	7	UNIVERSITY OF THE WITWATERSRAND	9051	6	360	G			
87	BACHELOR OF ARTS	52	SGB ALL INSTITUTIONS	23375	6	360	G			
89	ADVANCED PROGRAMME IN BANK CREDIT	1	UNISA	7590 6	7	96	G			
90	DOCTOR OF COMMERCE:INVESTMENT MANAGEMENT	13	RAU	3133	8	360	G			
91	CERTIFICATE: MANAGEMENT DEVELOPMENT	11	UNIVERSITY OF FREE STATE	22151	5	120	G			
92	MARKETING DIPLOMA	101	IMM GRADUATE SCHOOL OF MARKETING	24327	6	350	G			
94	BACHELOR OF MILITARY SCIENCE	10	UNIVERSITY OF STELLENBOSCH	19669	6	360	G			
95	DIPLOMA IN INSURANCE(CHARTERED INSURANCE INSTITUTE)	124	THE INSURANCE INSTITUTE OF ZIMBABWE	FSB0103	0	0	G			
97	CERTIFICATE:BUSINESS MANAGEMENT	106	THE GRADUATE INSTITUTE OF MANAGEMENT AND TECHNOLOGY	36045	6	120	G			
99	ASSOCIATE OF INSTITUTE OF BUILDING SOCIETY	3	INSTITUTE OF BANKERS	FSB0084	5	120	G			
100	DIPLOMA IN FINANCIAL PLANNING	3	INSTITUTE OF BANKERS	76970	5	240	G			
100	DIPLOMA IN FINANCIAL PLANNING	66	KAPLAN UNIVERSITY	FSB0178	0	0	G			
104	HIGHER DIPLOMA IN BUSINESS MANAGEMENT	47	DAMELIN	FSB0104	0	0	G			
109	BACHELOR OF SOCIAL SCIENCE:ECONOMICS AND ECONOMIC HISTORY	12	UNIVERSITY OF KWAZULU-NATAL	2961	7	384	G			
114	BACHELOR OF LAWS	5	UNIVERSITY OF PRETORIA	6875	7	528	G			
114	BACHELOR OF LAWS	52	SGB ALL INSTITUTIONS	22993	7	480	G			
116	IISA PROGRAMME IN RETIREMENT FUND MANAGEMENT	1	UNISA	7651 1	5	120	G			
117	BACHELOR OF BUSINESS ADMINISTRATION	4	MILPARK BUSINESS SCHOOL	61700	6	360	G			

List 7: Qualifications recognised for Category IV FSPs

Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
117	BACHELOR OF BUSINESS ADMINISTRATION	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	62116	7	360	G	G
119	NATIONAL DIPLOMA MANAGEMENT	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72610	6	360	G	G
120	NATIONAL DIPLOMA MARKETING AND SALES MANAGEMENT	129	TECHNIKON SA	FSB00085	6	360	G	G
121	MASTER OF BUSINESS ADMINISTRATION: TOURISM DEVELOPMENT	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	36072	9	440	G	G
125	BACHELOR OF COMMERCE: LAW	10	UNIVERSITY OF STELLENBOSCH	15594	6	369	G	G
127	BACHELOR OF COMMERCE : MANAGEMENT SCIENCES	10	UNIVERSITY OF STELLENBOSCH	19587	6	370	G	G
131	BACHELOR OF COMMERCE	1	UNISA	5563	6	384	G	G
131	BACHELOR OF COMMERCE	7	UNIVERSITY OF THE WITWATERSRAND	9843	6	360	G	G
131	BACHELOR OF COMMERCE	11	UNIVERSITY OF FREE STATE	8543	6	536	G	G
131	BACHELOR OF COMMERCE	12	UNIVERSITY OF KWAZULU-NATAL	15337	6	384	G	G
131	BACHELOR OF COMMERCE	14	UNIVERSITY OF PORT ELIZABETH	6153	6	360	G	G
131	BACHELOR OF COMMERCE	140	VISTA UNIVERSITY	7849	6	360	G	G
132	NATIONAL BANKING CERTIFICATE: WEALTH MANAGEMENT	71	ACADEMY OF FINANCIAL MARKETS	20186	5	120	G	G
134	ACI DEALING CERTIFICATE	0		FSB 012	0	0	G	G
134	ACI DEALING CERTIFICATE	114	ACI THE FINANCIAL MARKET ASSOCIATION	FSB0134	0	0	G	G
134	ACI DEALING CERTIFICATE	114	ACI THE FINANCIAL MARKET ASSOCIATION	FSB070	0	0	G	G
138	BACHELOR OF COMMERCE HONOURS: INFORMATION SYSTEMS	9	UNIVERSITY OF CAPE TOWN	19970	7	120	G	G
139	FURTHER EDUCATION AND TRAINING CERTIFICATE: BANKING	52	SGB ALL INSTITUTIONS	20185	4	120	G	G
140	BACHELOR OF COMMERCE	11	UNIVERSITY OF FREE STATE	FSB0110	6	536	G	G
142	BACHELOR OF TECHNOLOGY: INTERNAL AUDITING	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	65341	7	480	G	G
144	BACHELOR OF COMMERCE: ECONOMICS	5	UNIVERSITY OF PRETORIA	7055	6	430	G	G
144	BACHELOR OF COMMERCE: ECONOMICS	8	UNIVERSITY OF JOHANNESBURG	73773	6	432	G	G
144	BACHELOR OF COMMERCE: ECONOMICS	15	UNIVERSITY OF WESTERN CAPE	7263	6	360	G	G
147	CHARTERED ACCOUNTANT: AUDITING	6	SAICA	48913	7	679	G	G
148	POSTGRADUATE DIPLOMA: MANAGEMENT FINANCIAL ACCOUNTING	9	UNIVERSITY OF CAPE TOWN	15497	7	120	G	G
151	BACHELOR OF AGRICULTURAL MANAGEMENT	12	UNIVERSITY OF KWAZULU-NATAL	2483	6	384	G	G
152	BACHELOR OF COMMERCE: BUSINESS MANAGEMENT	5	UNIVERSITY OF PRETORIA	7043	7	431	G	G
153	BACHELOR OF COMMERCE: ECONOMICS	11	UNIVERSITY OF FREE STATE	8613	6	536	G	G
153	BACHELOR OF COMMERCE: ECONOMICS	21	UNIVERSITY OF VENDA	9209	7	476	G	G
154	BACCALAUREUS PROCURATIONIS	5	UNIVERSITY OF PRETORIA	72822	7	480	G	G
154	BACCALAUREUS PROCURATIONIS	15	UNIVERSITY OF WESTERN CAPE	8011	7	480	G	G
155	CERTIFICATE : TECHNOLOGY MANAGEMENT AND INNOVATION	73	CORNERSTONE PERFORMANCE SOLUTIONS	59449	5	120	G	G
156	CERTIFICATE: WEALTH MANAGEMENT	91	GORDON INSTITUTE OF BUSINESS SCIENCE (GIBS)	73350	6	120	G	G
157	DIPLOMA: MANAGEMENT	131	THE INSTITUTE OF ADMIN AND COMMERCE OF SOUTH AFRICA	35957	6	420	G	G

Qualification No		Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
								1	KI
158	BACHELOR OF LAWS		1	UNISA	6009	7	480	G	G
158	BACHELOR OF LAWS		5	UNIVERSITY OF PRETORIA	6875	6	528	G	G
158	BACHELOR OF LAWS		7	UNIVERSITY OF THE WITWATERSRAND	9271	7	480	G	G
158	BACHELOR OF LAWS		52	SGB ALL INSTITUTIONS	22993	7	480	G	G
159	INTERNATIONAL DIPLOMA IN COMPLIANCE		116	INTERNATIONAL COMPLIANCE ASSOCIATION	FSB 010	0	0	G	G
164	BACHELOR OF COMMERCE: LAW		5	UNIVERSITY OF PRETORIA	7092	6	424	G	G
164	BACHELOR OF COMMERCE: LAW		11	UNIVERSITY OF FREE STATE	9843	6	360	G	G
165	BACHELOR OF COMMERCE: ECONOMICS AND LAW		9	UNIVERSITY OF CAPE TOWN	4427	6	432	G	G
166	BACHELOR OF COMMERCE: ACCOUNTING		8	UNIVERSITY OF JOHANNESBURG	73772	6	432	G	G
166	BACHELOR OF COMMERCE: ACCOUNTING		9	UNIVERSITY OF CAPE TOWN	4737	7	432	G	G
166	BACHELOR OF COMMERCE: ACCOUNTING		11	UNIVERSITY OF FREE STATE	16910	6	608	G	G
166	BACHELOR OF COMMERCE: ACCOUNTING		13	RAU	3095	6	432	G	G
166	BACHELOR OF COMMERCE: ACCOUNTING		171	UNIVERSITY OF NATAL	14780	6	416	G	G
168	BACHELOR OF SCIENCE: GEOLOGY		14	UNIVERSITY OF PORT ELIZABETH	17281	6	360	G	G
169	NATIONAL DIPLOMA: MARKETING		11	UNIVERSITY OF FREE STATE	1327	6	360	G	G
169	NATIONAL DIPLOMA: MARKETING		26	PORT ELIZABETH TECHNIKON	87131	6	360	G	G
169	NATIONAL DIPLOMA: MARKETING		34	TSHWANE UNIVERSITY OF TECHNOLOGY	72613	6	360	G	G
169	NATIONAL DIPLOMA: MARKETING		38	MANGOSUTHU TECHNIKON	72251	6	360	G	G
169	NATIONAL DIPLOMA: MARKETING		72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	65306	6	360	G	G
170	CHARTERED ACCOUNTANT: FINANCIAL MANAGEMENT		6	SAICA	48912	7	698	G	G
171	POSTGRADUATE DIPLOMA: ACCOUNTING		9	UNIVERSITY OF CAPE TOWN	5191	7	120	G	G
174	MANAGEMENT DEVELOPMENT PROGRAMME		1	UNISA	FSB0163	0	0	G	G
174	MANAGEMENT DEVELOPMENT PROGRAMME		10	UNIVERSITY OF STELLENBOSCH	FSB0175	5	162	G	G
174	MANAGEMENT DEVELOPMENT PROGRAMME		11	UNIVERSITY OF FREE STATE	FSB0164	0	0	G	G
175	NATIONAL CERTIFICATE: FINANCIAL PLANNING		4	MILPARK BUSINESS SCHOOL	62795	6	120	G	G
175	NATIONAL CERTIFICATE: FINANCIAL PLANNING		69	INSETA	57695	6	120	G	G
176	BACHELOR OF COMMERCE: BANKING MANAGEMENT		4	MILPARK BUSINESS SCHOOL	62812	6	363	G	G
176	BACHELOR OF COMMERCE: BANKING MANAGEMENT		47	DAMELIN	58005	6	363	G	G
177	BACHELOR ADMINISTRATION		15	UNIVERSITY OF WESTERN CAPE	7333	6	360	G	G
178	NATIONAL DIPLOMA: INTERNAL AUDITING		68	COMMITTEE OF TECHNIKON PRINCIPALS	2165	6	360	G	G
179	DIPLOMA: BUSINESS MANAGEMENT		52	SGB ALL INSTITUTIONS	58341	5	240	G	G
179	DIPLOMA: BUSINESS MANAGEMENT		165	SOUTH AFRICAN INSTITUTE OF MANAGEMENT	67690	5	250	G	G
180	BACHELOR OF ACCOUNTANCY		16	UNIVERSITY OF DURBAN WESTVILLE	15506	6	384	G	G
181	POSTGRADUATE CERTIFICATE: ADVANCE TAXATION		1	UNISA	14547	7	240	G	G

List 7: Qualifications recognised for Category IV FSPs

Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
185	ADVANCED DIPLOMA: MANAGEMENT	4	MILPARK BUSINESS SCHOOL	62270	6	120	G	G
186	BACHELOR OF BUSINESS MANAGEMENT AND ADMINISTRATION HONOURS	10	UNIVERSITY OF STELLENBOSCH	15370	7	150	G	G
192	DIPLOMA TREASURY: INTERNATIONAL BANKING	3	INSTITUTE OF BANKERS	20334	5	240	G	G
193	MASTER OF BUSINESS MANAGEMENT AND ADMINISTRATION	10	UNIVERSITY OF STELLENBOSCH	19608	8	120	G	G
194	DIPLOMA: INVESTMENT MANAGEMENT	13	RAU	3139	5	240	G	G
197	BACHELOR OF COMMERCE: ACCOUNTING SCIENCES	10	UNIVERSITY OF STELLENBOSCH	19584	6	386	G	G
199	CERTIFICATE: LOCAL GOVERNMENT	2	INSTITUTE OF ADMINISTRATION	23616	5	120	G	G
200	BACHELOR OF COMMERCE: MARKETING	11	UNIVERSITY OF FREE STATE	16915	6	536	G	G
201	NATIONAL CERTIFICATE: BUSINESS STUDIES: BUSINESS MANAGEMENT	82	DEPARTMENT OF EDUCATION	22452	5	120	G	G
203	BACHELOR OF COMMERCE: MARKETING AND BUSINESS MANAGEMENT	1	UNISA	83291	7	360	G	G
203	BACHELOR OF COMMERCE: MARKETING AND BUSINESS MANAGEMENT	5	UNIVERSITY OF PRETORIA	7099	6	445	G	G
203	BACHELOR OF COMMERCE: MARKETING AND BUSINESS MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	73780	7	432	G	G
204	BACHELOR OF ACCOUNTING HONOURS	12	UNIVERSITY OF KWAZULU-NATAL	2559	7	128	G	G
	HIGHER CERTIFICATE IN FINANCIAL MARKETS AND INSTRUMENTS (HISTORICAL QUALIFICATION)							
205	NATIONAL CERTIFICATE: INSURANCE ADMINISTRATION	71	ACADEMY OF FINANCIAL MARKETS	FSB 028	0	0	G	-
206	NATIONAL CERTIFICATE: COLLECTIVE INVESTMENT SCHEMES	82	DEPARTMENT OF EDUCATION	14653	2	120	G	G
209	NATIONAL CERTIFICATE: HEALTHCARE BENEFITS ADMINISTRATION: CLAIMS ASSESSING	52	SGB ALL INSTITUTIONS	20638	4	120	G	G
210	NATIONAL CERTIFICATE: SHORT-TERM INSURANCE	52	SGB ALL INSTITUTIONS	20639	4	120	G	G
212	NATIONAL CERTIFICATE: SHORT-TERM INSURANCE	52	SGB ALL INSTITUTIONS	21796	4	120	G	G
212	NATIONAL CERTIFICATE: SHORT-TERM INSURANCE	52	SGB ALL INSTITUTIONS	57918	5	120	G	G
214	NATIONAL CERTIFICATE: FINANCIAL SERVICES MANAGEMENT	52	SGB ALL INSTITUTIONS	48640	4	150	G	G
218	FURTHER EDUCATION AND TRAINING CERTIFICATE: SHORT TERM INSURANCE	52	SGB ALL INSTITUTIONS	66610	4	150	G	G
221	DIPLOMA IN BUSINESS MANAGEMENT (HISTORICAL QUALIFICATION)	47	DAMELIN	FSB 041	5	0	G	G
	ASSOCIATESHIP CERTIFICATE: BUILDING SOCIETIES INSTITUTE OF SOUTH AFRICA (HISTORICAL QUALIFICATION)							
222	NATIONAL HIGHER CERTIFICATE: BANKING	62	INSURANCE INSTITUTE OF SA (IISA)	FSB 042	5	0	G	G
223	NATIONAL HIGHER CERTIFICATE: BANKING	68	COMMITTEE OF TECHNIKON PRINCIPALS	1213	5	240	G	G
224	NATIONAL CERTIFICATE: BANKING	52	SGB ALL INSTITUTIONS	61589	5	120	G	G
225	DIPLOMA: ROAD TRANSPORT MANAGEMENT FREIGHT	13	RAU	3215	5	240	G	G
226	ISA PROGRAMME: LIFE INSURANCE	1	UNISA	7150 1	5	120	G	G
228	DIPLOMA: BANKING	1	UNISA	14373	5	384	G	G
229	CERTIFICATE: MANAGEMENT LEADERSHIP	11	UNIVERSITY OF FREE STATE	17001	5	120	G	G
232	HIGHER CERTIFICATE: SHORT-TERM INSURANCE	4	MILPARK BUSINESS SCHOOL	83526	5	120	G	G
232	HIGHER CERTIFICATE: SHORT-TERM INSURANCE	62	INSURANCE INSTITUTE OF SA (IISA)	20411	5	120	G	G

List 7: Qualifications recognised for Category IV FSPs		Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
Qualification No	Qualification title							1	KI
233	HIGHER CERTIFICATE: LONG-TERM INSURANCE	62	INSURANCE INSTITUTE OF SA (IISA)	20411	5	120	G	G	
234	CERTIFICATE: MANAGEMENT DEVELOPMENT	4	MILPARK BUSINESS SCHOOL	62269	5	120	G	G	
236	ADVANCED CERTIFICATE: INTERNATIONAL TRADE (EXPORTS/IMPORTS)	169	INTERNATIONAL TRADE INSTITUTE OF SOUTHERN AFRICA	24356	5	284	G	G	
237	CERTIFICATE: PRACTICAL MANAGEMENT	170	GIMT SCHOOL OF BUSINESS (PTY) LTD	36047	5	120	G	G	
239	NATIONAL DIPLOMA: FINANCIAL SERVICES LONG TERM RISK ASSESSMENT	52	SGB ALL INSTITUTIONS	49860	5	245	G	G	
240	NATIONAL CERTIFICATE: BANKING (FINANCIAL SERVICES)	4	MILPARK BUSINESS SCHOOL	62794	5	120	G	G	
240	NATIONAL CERTIFICATE: BANKING (FINANCIAL SERVICES)	47	DAMELIN	57639	5	120	G	G	
242	NATIONAL CERTIFICATE: BANKING SERVICE ADVISE	4	MILPARK BUSINESS SCHOOL	62811	5	131	G	G	
242	NATIONAL CERTIFICATE: BANKING SERVICE ADVISE	47	DAMELIN	58002/50353	5	131	G	G	
244	NATIONAL CERTIFICATE: GENERIC MANAGEMENT	52	SGB ALL INSTITUTIONS	59201	5	162	G	G	
245	REGISTERED FINANCIAL PLANNER PROVIDED UNDERLYING QUALIFICATION IS ACHIEVED	46	FINANCIAL PLANNING INSTITUTE	59234	5	120	G	G	
246	CERTIFICATE: TECHNOLOGY MANAGEMENT AND INNOVATION	113	DA VINCI INSTITUTE	59449	5	120	G	-	
247	CERTIFICATE: BANK CREDIT	4	MILPARK BUSINESS SCHOOL	62813	5	120	G	G	
247	CERTIFICATE: BANK CREDIT	47	DAMELIN	59829	5	120	G	G	
249	CERTIFICATE: SALES: BANKING SERVICES	4	MILPARK BUSINESS SCHOOL	65170	5	120	G	-	
251	NATIONAL DIPLOMA: ECONOMIC MANAGEMENT ANALYSIS	68	COMMITTEE OF TECHNIKON PRINCIPALS	961	6	360	G	G	
252	NATIONAL DIPLOMA: BANKING	68	COMMITTEE OF TECHNIKON PRINCIPALS	977	6	360	G	G	
253	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	37	DURBAN UNIVERSITY TECHNOLOGY	72220	6	360	G	G	
253	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	68	COMMITTEE OF TECHNIKON PRINCIPALS	1061	6	360	G	G	
253	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	86	Vaal University of Technology	72723	6	360	G	G	
254	BACHELOR OF ACCOUNTING	12	UNIVERSITY OF KWAZULU-NATAL	2549	6	384	G	G	
255	BACHELOR OF SOCIAL SCIENCE HONOURS	177	UNIVERSITY OF NATAL	2961	6	384	G	G	
256	BACHELOR OF COMMERCE: INDUSTRIAL PSYCHOLOGY	8	UNIVERSITY OF JOHANNESBURG	73775	6	432	G	G	
256	BACHELOR OF COMMERCE: INDUSTRIAL PSYCHOLOGY	13	RAU	3255	6	432	G	G	
256	BACHELOR OF COMMERCE: INDUSTRIAL PSYCHOLOGY	17	UNIVERSITY OF NORTH WEST	72773	6	360	G	G	
259	BACHELOR OF COMMERCE: BANKING	5	UNIVERSITY OF PRETORIA	7039	6	536	G	G	
259	BACHELOR OF COMMERCE: BANKING	11	UNIVERSITY OF FREE STATE	16913	6	536	G	G	
260	BACHELOR OF COMMERCE: ECONOMICS	1	UNISA	5563	6	360	G	G	
260	BACHELOR OF COMMERCE: ECONOMICS	15	UNIVERSITY OF WESTERN CAPE	7263	6	360	G	G	
262	BACHELOR OF COMMERCE: MONETARY ECONOMICS	140	VISTA UNIVERSITY	7895	6	360	G	G	
263	BACHELOR OF LAWS	5	UNIVERSITY OF PRETORIA	6875	6	528	G	G	
263	BACHELOR OF LAWS	7	UNIVERSITY OF THE WITWATERSRAND	9271	7	480	G	G	
263	BACHELOR OF LAWS	8	UNIVERSITY OF JOHANNESBURG	13783	6	360	G	G	

List 7: Qualifications recognised for Category IV FSPs

Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
263	BACHELOR OF LAWS	11	UNIVERSITY OF FREE STATE	8877	6	480	G	G
263	BACHELOR OF LAWS	12	UNIVERSITY OF KWAZULU-NATAL	73013	7	512	G	G
263	BACHELOR OF LAWS	13	RAU	3403	7	592	G	G
263	BACHELOR OF LAWS	17	UNIVERSITY OF NORTH WEST	8389	6	384	G	G
263	BACHELOR OF LAWS	20	UNIVERSITY OF LIMPOPO	20992	6	348	G	G
263	BACHELOR OF LAWS	140	VISTA UNIVERSITY	13783	6	360	G	G
263	BACHELOR OF LAWS	177	UNIVERSITY OF NATAL	2863	7	480	G	G
264	BACHELOR OF COMMERCE: LAW	8	UNIVERSITY OF JOHANNESBURG	73778	6	448	G	G
264	BACHELOR OF COMMERCE: LAW	10	UNIVERSITY OF STELLENBOSCH	15594	6	369	G	G
265	BACHELOR OF BANKING	1	UNISA	14375	6	504	G	G
266	BACHELOR OF COMMERCE: ACCOUNTANCY	12	UNIVERSITY OF KWAZULU-NATAL	14779	6	384	G	G
267	BACHELOR OF SCIENCE: ACTUARIAL SCIENCE	12	UNIVERSITY OF KWAZULU-NATAL	16253	6	384	G	G
269	BACHELOR OF COMMERCE: AGRIBUSINESS MANAGEMENT	5	UNIVERSITY OF PRETORIA	16441	6	421	G	G
270	BACHELOR OF COMMERCE: GENERAL MANAGEMENT	11	UNIVERSITY OF FREE STATE	16912	6	536	G	G
271	BACHELOR OF COMMERCE: AGRICULTURAL ECONOMICS	11	UNIVERSITY OF FREE STATE	16927	6	568	G	G
273	DIPLOMA: MANAGEMENT LEADERSHIP	11	UNIVERSITY OF FREE STATE	16991	5	240	G	G
274	BACHELOR OF COMMERCE: MANAGEMENT SCIENCES	10	UNIVERSITY OF STELLENBOSCH	19587	6	370	G	G
275	BACHELOR OF ECONOMICS: ECONOMICS AND MANAGEMENT	10	UNIVERSITY OF STELLENBOSCH	19592	6	370	G	G
280	DIPLOMA: ACCOUNTING AND BUSINESS ADMINISTRATION	171	ICESA CITY CAMPUS	24326	6	360	G	G
281	DIPLOMA: MARKETING	3	INSTITUTE OF BANKERS	20332	5	240	G	G
281	DIPLOMA: MARKETING	101	IMM GRADUATE SCHOOL OF MARKETING	24327	6	350	G	G
282	DIPLOMA: MANAGEMENT	131	THE INSTITUTE OF ADMIN AND COMMERCE OF SOUTH AFRICA	35957	6	420	G	G
283	CERTIFICATE: BUSINESS MANAGEMENT	139	GRADUATE SCHOOL OF MANAGEMENT AND TECHNOLOGY	36045	6	120	G	G
287	ASSOCIATE FINANCIAL PLANNER (AFP) PROVIDED UNDERLYING QUALIFICATION IS ACHIEVED	46	FINANCIAL PLANNING INSTITUTE	59233	6	120	G	G
288	HIGHER DIPLOMA: BANKING	4	MILPARK BUSINESS SCHOOL	62814	6	240	G	G
288	HIGHER DIPLOMA: BANKING	47	DAMELIN	59830	6	240	G	G
289	BACHELOR OF BUSINESS ADMINISTRATION	1	UNISA	5561	6	384	G	G
289	BACHELOR OF BUSINESS ADMINISTRATION	52	SGB ALL INSTITUTIONS	59850	6	360	G	G
291	NATIONAL DIPLOMA: MANAGEMENT	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72610	6	360	G	G
291	NATIONAL DIPLOMA: MANAGEMENT	37	DURBAN UNIVERSITY TECHNOLOGY	72249	6	360	G	G
291	NATIONAL DIPLOMA: MANAGEMENT	57	SOUTHERN BUSINESS SCHOOL	57222	6	360	G	G
293	REGISTERED PERSONS EXAM: EQUITIES MARKET	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	F58 030	6	0	G	G
294	REGISTERED PERSONS EXAM: BOND MARKET (COMPLIANCE)	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	F58 031	6	0	G	G

List 7: Qualifications recognised for Category IV FSPs								
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
295	REGISTERED PERSONS EXAM: BOND MARKET (TRADER)	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 032	6	0	G	G
296	REGISTERED PERSONS EXAM: FUTURES MARKET	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 033	6	0	G	G
297	REGISTERED PERSONS EXAM: YIELD MARKET	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 034	6	0	G	G
298	REGISTERED PERSONS EXAM: CURRENCY DERIVATIVES MARKET (ADVICE)	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 035	6	0	G	G
299	REGISTERED PERSONS EXAM: CURRENCY DERIVATIVES MARKET (TRADER)	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 036	6	0	G	G
300	REGISTERED PERSONS EXAM: FOREX MARKET	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 037	6	0	G	G
306	BACHELOR OF SCIENCE: MATHEMATICAL STATISTICS	21	UNIVERSITY OF VENDA	17398	6	408	G	G
307	BACHELOR OF COMMERCE: FINANCIAL PLANNING	14	UNIVERSITY OF PORT ELIZABETH	66093	6	366	G	G
308	BACHELOR OF TECHNOLOGY: BANKING	52	SGB ALL INSTITUTIONS	57202	7	480	G	G
309	BACHELOR OF TECHNOLOGY: MARKETING	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72429	7	480	G	G
309	BACHELOR OF TECHNOLOGY: MARKETING	68	COMMITTEE OF TECHNIKON PRINCIPALS	1325	7	480	G	G
310	POSTGRADUATE DIPLOMA: FINANCE BANKING AND INVESTMENT MANAGEMENT	12	UNIVERSITY OF KWAZULU-NATAL	2629	7	128	G	G
311	BACHELOR OF COMMERCE: ACCOUNTING	9	UNIVERSITY OF CAPE TOWN	4737	7	120	G	G
313	BACHELOR OF COMMERCE HONOURS: ACCOUNTING	13	RAU	3093	7	160	G	G
315	BACHELOR OF COMMERCE HONOURS: INVESTMENT MANAGEMENT	13	RAU	3137	7	160	G	G
316	BACHELOR OF BUSINESS SCIENCE: MANAGEMENT STUDIES	9	UNIVERSITY OF CAPE TOWN	4403	7	576	G	G
318	ICSA PROGRAMME IN STRATEGIC MANAGEMENT AND CORPORATE GOVERNANCE	1	UNISA	7110 2	7	96	G	G
319	BACHELOR OF COMMERCE HONOURS: BANKING	30	NATIONAL UNIVERSITY OF SCIENCE AND TECHNOLOGY	FSB068	0	0	G	G
320	POSTGRADUATE CERTIFICATE: ADVANCED TAXATION	1	UNISA	14547	7	240	G	G
321	BACHELOR OF BUSINESS SCIENCE: ECONOMICS	177	UNIVERSITY OF NATAL	14784	7	544	G	G
322	BACHELOR OF ARTS HONOURS: INTERNATIONAL TRADE AND FINANCE	13	RAU	14860	7	160	G	G
324	BACHELOR OF ARTS HONOURS: TRADE AND DEVELOPMENT	13	RAU	15475	7	160	G	G
325	BACHELOR OF COMMERCE HONOURS: FINANCIAL MANAGEMENT SCIENCES	5	UNIVERSITY OF PRETORIA	16400	7	180	G	G
326	BACHELOR OF COMMERCE HONOURS: AGRIBUSINESS MANAGEMENT	5	UNIVERSITY OF PRETORIA	16486	7	160	G	G
327	BACHELOR OF MANAGEMENT LEADERSHIP	11	UNIVERSITY OF FREE STATE	15944	7	360	G	G
328	BACHELOR OF COMMERCE HONOURS: FINANCIAL MANAGEMENT	9	UNIVERSITY OF CAPE TOWN	19962	7	120	G	G
331	POSTGRADUATE DIPLOMA: PROFESSIONAL ACCOUNTANT IN PRACTICE	50	SOUTH AFRICAN INSTITUTE OF PROFESSIONAL ACCOUNTANTS (SAIPA)	20391	7	240	G	G
332	POSTGRADUATE PROFESSIONAL QUALIFICATION: PROFESSIONAL ACCOUNTANT IN BUSINESS	50	SOUTH AFRICAN INSTITUTE OF PROFESSIONAL ACCOUNTANTS (SAIPA)	20392	7	480	G	G
333	DIPLOMA: COMPANY DIRECTION	170	GIMT SCHOOL OF BUSINESS (PTY) LTD	36050	7	240	G	G
334	POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	11	UNIVERSITY OF FREE STATE	48866	7	160	G	G
335	ADVANCED POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	11	UNIVERSITY OF FREE STATE	48867	7	120	G	G
337	BACHELOR OF COMMERCE HONOURS: FINANCIAL PLANNING	13	RAU	50148	7	120	G	G

List 7: Qualifications recognised for Category IV FSPs									
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	1	KI
338	BACHELOR OF TECHNOLOGY: INTERNAL AUDITING	72	CENTRAL UNIVERSITY OF TECHNOLOGY FREE STATE	65341	7	480	G		G
340	CERTIFIED FINANCIAL PLANNER PROVIDED UNDERLYING QUALIFICATION ACHIEVED	46	FINANCIAL PLANNING INSTITUTE	59235	7	120	G		G
341	PROFESSIONAL POSTGRADUATE QUALIFICATION: COMPANY SECRETARIAL AND GOVERNANCE PRACTICE	52	SGB ALL INSTITUTIONS	60654	7	120	G		G
342	DOCTOR OF COMMERCE: INVESTMENT MANAGEMENT	13	RAU	3133	8	360	G		G
343	MASTER OF COMMERCE: BANKING	5	UNIVERSITY OF PRETORIA	4807	8	300	G		G
344	DOCTOR OF COMMERCE: SPECIALISATION IN INSURANCE SCIENCE	5	UNIVERSITY OF PRETORIA	4887	8	144	G		G
346	MASTER OF BUSINESS ADMINISTRATION: TELEMATIC	18	UNIVERSITY OF POTCHEFSTROOM	19606	8	288	G		G
349	MASTER: BUSINESS ADMINISTRATION: GENERAL	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	36078	9	200	G		G
350	MASTER: BUSINESS ADMINISTRATION	4	MILPARK BUSINESS SCHOOL	62271	8	233	G		G
352	ALTERNATIVE INVESTMENT SPECIALIST (CAIA) (FOREIGN QUALIFICATION)	93	CHARTERED ALTERNATIVE INVESTMENT ANALYST ASSOCIATION (CAIA)	FSB 002	0	0	G		G
353	CHARTERED INSURANCE INSTITUTE: CERTIFICATE IN INSURANCE (FOREIGN QUALIFICATION)	61	CHARTERED INSURANCE INSTITUTE	FSB 003	0	0	G		G
354	CHARTERED INSURANCE INSTITUTE: DIPLOMA IN INSURANCE (FOREIGN QUALIFICATION)	61	CHARTERED INSURANCE INSTITUTE	FSB 004	0	0	G		G
355	CHARTERED INSURANCE INSTITUTE: ADVANCED DIPLOMA IN INSURANCE (FOREIGN QUALIFICATION)	61	CHARTERED INSURANCE INSTITUTE	FSB 005	0	0	G		G
356	FELLOWSHIP OF THE CHARTERED INSURANCE INSTITUTE (FOREIGN QUALIFICATION)	61	CHARTERED INSURANCE INSTITUTE	FSB 006	0	0	G		G
357	ASSOCIATESHIP OF THE CHARTERED INSURANCE INSTITUTE (FOREIGN QUALIFICATION)	62	INSURANCE INSTITUTE OF SA (IISA)	FSB 007	0	0	G		G
359	INTERNATIONAL CERTIFICATE IN FINANCIAL ADVICE	176	SECURITIES AND INVESTMENT INSTITUTE	FSB 009	0	0	G		G
386	REGISTERED PERSONS EXAM: MONEY MARKET	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 038	6	0	G		G
387	REGISTERED PERSONS EXAM: AGRICULTURAL PRODUCTS	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 039	6	0	G		G
391	BACHELOR OF BUSINESS SCIENCE: FINANCE	177	UNIVERSITY OF NATAL	14789	7	576	G		G
397	DOCTOR OF COMMERCE: INSURANCE SCIENCE	5	UNIVERSITY OF PRETORIA	4887	8	144	G		G
405	BACHELOR OF COMMERCE: RISK MANAGEMENT	11	UNIVERSITY OF FREE STATE	16936	6	528	G		G
406	NATIONAL CERTIFICATE: FINANCIAL MARKETS AND INSTRUMENTS	52	SGB ALL INSTITUTIONS	50481	6	120	G		G
407	POSTGRADUATE DIPLOMA: FINANCE BANKING AND INVESTMENT BANKING	177	UNIVERSITY OF NATAL	2629	7	128	G		G
412	CHARTERED FINANCIAL ANALYST (CFA)(FOREIGN QUALIFICATION)	0		FSB 001	0	0	S		S
413	CHARTERED INSURANCE INSTITUTE (CII): FELLOWSHIP (FOREIGN QUALIFICATION)	0		FSB 006	0	0	G		G
414	BACHELOR OF COMMERCE HUMAN RESOURCES MANAGEMENT	5	UNIVERSITY OF PRETORIA	7067	7	455	G		G

List 7: Qualifications recognised for Category IV FSPs									
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 (Sub Categories Below)		
							1	KI	
415	BACHELOR OF COMMERCE HONOURS: RISK MANAGEMENT AND INSURANCE	22	UNIVERSITY OF ZIMBABWE	FSB 047	6	0	G	G	
416	NATIONAL DIPLOMA: BUSINESS MANAGEMENT	82	DEPARTMENT OF EDUCATION	FSB 048	0	0	G	G	
417	BACHELOR OF SCIENCE: BUSINESS MATHEMATICS AND INFORMATICS	52	SGB ALL INSTITUTIONS	35954	6	360	G	G	
418	BACHELOR OF COMMERCE: INDUSTRIAL AND ORGANISATIONAL PSYCHOLOGY	1	UNISA	5563	6	384	G	G	
419	BACHELOR OF COMMERCE: MANAGEMENT	1	UNISA	5563	6	384	G	G	
422	BACHELOR OF AGRICULTURE HONOURS: AGRICULTURAL MANAGEMENT	11	UNIVERSITY OF FREE STATE	16911	7	120	G	G	
423	BACHELOR OF COMMERCE: INTRAPRENEURIAL MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	73715	6	426	G	G	
425	MANAGEMENT ADVANCEMENT PROGRAMME	59	WITS BUSINESS SCHOOL	FSB0153	0	0	G	G	
426	BACHELOR OF COMMERCE (HONOURS) HUMAN RESOURCE MANAGEMENT	5	UNIVERSITY OF PRETORIA	7137	7	160	G	G	
427	BACHELOR OF PHARMACY	52	SGB ALL INSTITUTIONS	65130	7	480	G	G	
429	BACHELOR OF COMMERCE: MARKETING AND BUSINESS MANAGEMENT	47	DAMELIN	58003	6	360	G	G	
432	BACHELOR OF COMMERCE: SPORTS MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	73782	6	472	G	G	
434	NATIONAL TEACHER'S DIPLOMA: COMMERCE	82	DEPARTMENT OF EDUCATION	FSB 051	6	360	G	G	
435	BACHELOR OF TECHNOLOGY REAL ESTATE	1	UNISA	62342	8	480	G	G	
436	NATIONAL DIPLOMA IN COMMERCE	82	DEPARTMENT OF EDUCATION	14697	6	360	G	G	
438	BACHELOR OF COMMERCE: INDUSTRIAL COMMUNICATION	17	UNIVERSITY OF NORTH WEST	72774	7	512	G	G	
440	BACHELOR OF COMMERCE: QUANTITATIVE SCIENCES	10	UNIVERSITY OF STELLENBOSCH	15379	6	376	G	G	
441	BACHELOR OF ACCOUNTING SCIENCE HONOURS	1	UNISA	5669	7	120	G	G	
442	BACHELOR OF COMMERCE: GENERAL	15	UNIVERSITY OF WESTERN CAPE	19408	6	360	G	G	
443	PROFESSIONAL ADVANCED QUALIFICATION: GOVERNANCE AND ADMINISTRATION	90	CHARTERED SECRETARIES SOUTHERN AFRICA	60655	6	120	G	G	
445	BACHELOR OF SCIENCE	52	SGB ALL INSTITUTIONS	35954	6	360	G	G	
446	CHARTERED MANAGEMENT ACCOUNTING	110	CHARTERED INSTITUTE OF MANAGEMENT ACCOUNTING (CIMA)	FSB0176	7	0	G	G	
447	ABSA MANAGEMENT PROGRAMME (AMP)	91	GORDON INSTITUTE OF BUSINESS SCIENCE (GIBS)	FSB 061	5	0	G	G	
448	CERTIFICATE IN OFFSHORE FINANCE AND ADMINISTRATION	143	INSTITUTE OF CHARTERED SECRETARIES AND ADMINISTRATORS	FSB 056	6	0	G	G	
449	NATIONAL DIPLOMA IN PENSIONS MANAGEMENT	168	ZIMBABWE MINISTRY OF HIGHER EDUCATION AND TECHNOLOGY	FSB 053	5	0	G	G	
450	BACHELOR OF COMMERCE: ECONOMIC SCIENCES	10	UNIVERSITY OF STELLENBOSCH	19586	6	380	G	G	
451	FELLOW OF CHARTERED INSTITUTE OF BANKERS IN SCOTLAND	160	CHARTERED INSTITUTE OF BANKERS IN SCOTLAND	FSB 054	6	0	G	G	
453	ADVANCED EXECUTIVE PROGRAMME	1	UNISA	FSB 058	7	0	G	G	
454	BACHELOR OF COMMERCE HONOURS DEGREE IN INSURANCE AND RISK MANAGEMENT	30	NATIONAL UNIVERSITY OF SCIENCE AND TECHNOLOGY	FSB 059	7	0	G	G	
455	DIPLOMA: BUSINESS MANAGEMENT	47	DAMELIN	67300	6	360	G	G	
458	FINANCIAL RISK MANAGER	111	GLOBAL ASSOCIATION OF RISK PROFESSIONAL	FSB 055	6	0	G	G	
462	BACHELOR OF TECHNOLOGY: BUSINESS ADMINISTRATION	123	BORDER TECHNIKON	1065	7	480	G	G	

List 7: Qualifications recognised for Category IV FSPs

Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
463	MASTER OF TECHNOLOGY: BUSINESS ADMINISTRATION	68	COMMITTEE OF TECHNIKON PRINCIPALS	1069	8	120	G	G
465	CERTIFICATE OF FINANCIAL ADVISING	161	IFS UK	FSB 67	0	0	G	G
466	ADVANCED DIPLOMA: TRUST AND ESTATE PLANNING	3	INSTITUTE OF BANKERS	76968	6	240	G	G
467	ADVANCED DIPLOMA IN CREDIT	3	INSTITUTE OF BANKERS	76966	6	240	G	G
468	ADVANCED DIPLOMA IN FINANCIAL PLANNING	3	INSTITUTE OF BANKERS	76964	6	240	G	G
469	FELLOW OF ACTUARIAL SOCIETY OF SOUTH AFRICA (FASSA)	118	ACTUARIAL SOCIETY OF SOUTH AFRICA	FSB 062	8	0	G	G
471	ADVANCED DIPLOMA IN MARKETING	3	INSTITUTE OF BANKERS	76969	6	240	G	G
472	DIPLOMA: CREDIT	3	INSTITUTE OF BANKERS	20331	5	240	G	G
473	DIPLOMA: TRUST: ESTATE ADMINISTRATION	3	INSTITUTE OF BANKERS	20335	5	240	G	G
478	PROFESSIONAL QUALIFICATION: GOVERNANCE AND ADMINISTRATION	52	SGB ALL INSTITUTIONS	60653	5	130	G	G
479	PROFESSIONAL QUALIFICATION: MANAGEMENT AND ADMINISTRATION	52	SGB ALL INSTITUTIONS	60651	5	125	G	G
482	BACHELOR OF COMMERCE: MARKETING MANAGEMENT	5	UNIVERSITY OF PRETORIA	7099	6	445	G	G
484	MCCARTHY MANAGEMENT PROGRAMME	91	GORDON INSTITUTE OF BUSINESS SCIENCE (GIBS)	FSB 063	5	120	G	G
485	BACHELOR OF COMMERCE	12	UNIVERSITY OF KWAZULU-NATAL	79006	6	384	G	G
487	NATIONAL DIPLOMA: COMMERCIAL PRACTICE	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72561	6	360	G	G
488	BACHELOR OF COMMERCE: COMMUNICATION MANAGEMENT	5	UNIVERSITY OF PRETORIA	7047	6	455	G	G
489	BACHELOR OF PROCURATIONS	11	UNIVERSITY OF FREE STATE	8853	6	480	G	G
490	SAFEX JUNIOR CERTIFICATE EXAMINATION (ISE EQUITY DERIVATIVES DEALER QUALIFICATION)							
491	QUALIFIED STOCKBROKER SAIS BOARD EXAMINATION	51	SOUTH AFRICAN INSTITUTE OF FINANCIAL MARKETS (SAIFM)	FSB 064	0	0	G	G
495	NATIONAL CERTIFICATE: FINANCIAL SERVICES WEALTH MANAGEMENT	120	SOUTH AFRICAN INSTITUTE OF STOCKBROKERS	FSB 065	0	0	G	G
503	BACHELOR OF COMMERCE: FINANCIAL MANAGEMENT	52	SGB ALL INSTITUTIONS	48493	4	140	G	G
504	MASTER OF BUSINESS ADMINISTRATION	21	UNIVERSITY OF VENDA	22854	6	424	G	G
504	MASTER OF BUSINESS ADMINISTRATION	4	MILPARK BUSINESS SCHOOL	62271	8	233	G	G
504	MASTER OF BUSINESS ADMINISTRATION	5	UNIVERSITY OF PRETORIA	4909	9	300	G	G
504	MASTER OF BUSINESS ADMINISTRATION	9	UNIVERSITY OF CAPE TOWN	5047	8	120	G	G
504	MASTER OF BUSINESS ADMINISTRATION	11	UNIVERSITY OF FREE STATE	16972	9	240	G	G
508	POSTGRADUATE DIPLOMA: MANAGEMENT	7	UNIVERSITY OF THE WITWATERSRAND	9267	8	120	G	G
516	BACHELOR OF SCIENCE: ECONOMICS, MATHEMATICS, STATISTICS	12	UNIVERSITY OF KWAZULU-NATAL	3059	6	390	G	G
519	CERTIFICATE: WEALTH MANAGEMENT	5	UNIVERSITY OF PRETORIA	73350	6	120	G	G
522	CERTIFICATE: FOUNDATION MANAGEMENT DEVELOPMENT PROGRAMME	5	UNIVERSITY OF PRETORIA	67249	5	120	G	G
523	CERTIFICATE: MIDDLE MANAGEMENT DEVELOPMENT PROGRAMME	5	UNIVERSITY OF PRETORIA	67189	6	120	G	G
524	CERTIFICATE: SENIOR MANAGEMENT DEVELOPMENT PROGRAMME	5	UNIVERSITY OF PRETORIA	67215	7	120	G	G
525	BACHELOR OF PERSONNEL LEADERSHIP HONOURS	11	UNIVERSITY OF FREE STATE	16963	8	120	G	G
526	BACHELOR OF COMMERCE HONOURS FINANCIAL MANAGEMENT	13	RAU	18974	7	160	G	G

List 7: Qualifications recognised for Category IV FSPs									
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]		
							1	KI	
529	BACHELOR OF ADMINISTRATION: PUBLIC SECTOR: HUMAN RESOURCE MANAGEMENT	19	UNIVERSITY OF FORT HARE	17240	6	384	G	G	
530	NATIONAL DIPLOMA: FINANCIAL INFORMATION SYSTEMS	8	UNIVERSITY OF JOHANNESBURG	74088	6	360	G	G	
532	DIPLOMA: FINANCIAL MANAGEMENT	162	REGENT BUSINESS SCHOOL (PTY) LTD	71779	5	240	G	G	
533	CERTIFICATE: ASSOCIATE IN MANAGEMENT	9	UNIVERSITY OF CAPE TOWN	15179	5	120	G	G	
534	BACHELOR OF COMMERCE IN MARKETING AND TOURISM MANAGEMENT	17	UNIVERSITY OF NORTH WEST	72773	6	360	G	G	
535	LICENSATE DIPLOMA BANKING	3	INSTITUTE OF BANKERS	F580165	0	0	G	G	
536	BACHELOR OF ECONOMIC SCIENCE	7	UNIVERSITY OF THE WITWATERSRAND	13975	6	360	G	G	
537	BACHELOR OF ECONOMIC SCIENCE HONOURS	7	UNIVERSITY OF THE WITWATERSRAND	9857	8	160	G	G	
538	DIPLOMA: BUSINESS INFORMATION TECHNOLOGY	94	ROSEBANK BUSINESS COLLEGE	35966	5	240	G	G	
540	NATIONAL DIPLOMA: HUMAN RESOURCES MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	74093	6	360	G	G	
541	NATIONAL DIPLOMA: HUMAN RESOURCES MANAGEMENT	38	MANGOSUTHU TECHNIKON	72299	6	360	G	G	
541	NATIONAL DIPLOMA: HUMAN RESOURCES MANAGEMENT	52	SGB ALL INSTITUTIONS	49692	5	249	G	G	
543	ILPA	163	ILPA	F580119	0	0	G	G	
549	ADVANCED DIPLOMA IN TREASURY AND INTERNATIONAL BANKING	3	INSTITUTE OF BANKERS	76963	6	240	G	G	
550	BACHELOR OF COMMERCE HONOURS DEGREE IN FINANCE	30	NATIONAL UNIVERSITY OF SCIENCE AND TECHNOLOGY	F58 069	0	0	G	G	
559	SAFE ORDINARY CERTIFICATE EXAMINATION (USE EQUITY DERIVATIVES DEALER QUALIFICATION)	103	SA INSTITUTE OF FINANCIAL MARKETS (SAIFM)	F58 081	0	0	G	G	
564	HIGHER DIPLOMA IN EDUCATION - COMMERCE	10	UNIVERSITY OF STELLENBOSCH	F58 082	6	480	G	G	
573	CERTIFIED INTERNAL AUDITOR (CIA)	89	INSTITUTE OF INTERNAL AUDITORS	F58 083	0	0	G	G	
579	BACHELOR OF COMMERCE INDUSTRIAL AND ORGANISATIONAL PSYCHOLOGY	1	UNISA	5563	6	360	G	G	
580	MASTER OF BUSINESS LEADERSHIP	1	UNISA	5789	9	360	G	G	
581	NATIONAL DIPLOMA CREDIT MANAGEMENT	1	UNISA	62380	6	360	G	G	
582	CERTIFICATE: COMMERCE: FINANCIAL ACCOUNTING	49	INTEC COLLEGE	21166	4	120	G	G	
583	DIPLOMA: COST AND MANAGEMENT ACCOUNTING	2	INSTITUTE OF ADMINISTRATION	67709	6	420	G	G	
583	DIPLOMA: COST AND MANAGEMENT ACCOUNTING	52	SGB ALL INSTITUTIONS	67694	6	240	G	G	
585	BACHELOR OF COMMERCE: ENTREPRENEURSHIP	1	UNISA	5563	6	360	G	G	
589	MASTER OF SCIENCE IN INVESTMENT MANAGEMENT	87	CITY BUSINESS SCHOOL LONDON	F580109	0	0	G	G	
593	BACHELOR OF COMMERCE HONOURS INSURANCE AND RISK MANAGEMENT	29	MIDLANDS STATE UNIVERSITY	F580132	0	0	G	G	
594	NATIONAL DIPLOMA: RETAIL BUSINESS MANAGEMENT	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78666	6	360	G	G	
598	BACHELOR OF COMMERCE HONOURS	17	UNIVERSITY OF NORTH WEST	72758	7	120	G	G	
600	BACCALAUREUS COMPUTATIONIS	1	UNISA	5535	6	408	G	G	
601	BACHELOR OF COMMERCE HONOURS	1	UNISA	5687	8	120	G	G	
601	BACHELOR OF COMMERCE HONOURS	7	UNIVERSITY OF THE WITWATERSRAND	9851	7	120	G	G	

List 7: Qualifications recognised for Category IV FSPs

Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
602	HIGHER CERTIFICATE: COMMERCE: FINANCIAL PLANNING	47	DAMELIN	21181	5	160	G	G
603	BACHELOR OF SCIENCE (MAJOR STATISTICS)	52	SGB ALL INSTITUTIONS	35954	6	360	G	G
604	ASSOCIATE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	128	THE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	FSB071	0	0	G	G
605	BACHELOR OF TECHNOLOGY: MARKETING	37	DURBAN UNIVERSITY TECHNOLOGY	72150	7	480	G	G
610	BACHELOR OF LAW	8	UNIVERSITY OF JOHANNESBURG	13783	6	360	G	G
614	CERTIFICATE IN SECURITIES AND INVESTMENTS	85	CHARTERED INSTITUTE SECURITIES FOR INVESTMENT	FSB072	0	0	G	G
615	DIPLOMA: FINANCIAL MANAGEMENT	138	THE CHARTERED ASSOCIATION OF CERTIFIED ACCOUNTANTS	FSB073	0	0	G	G
617	NATIONAL HIGHER CERTIFICATE: ACCOUNTANCY	37	DURBAN UNIVERSITY TECHNOLOGY	72272	5	240	G	G
619	BACHELOR OF COMMERCE: TOURISM MANAGEMENT	5	UNIVERSITY OF PRETORIA	7113	6	426	G	G
620	ADVANCED DIPLOMA IN BUSINESS MANAGEMENT	165	SOUTH AFRICAN INSTITUTE OF MANAGEMENT	67689	6	400	G	G
621	NATIONAL HIGHER CERTIFICATE: ACCOUNTANCY	1	UNISA	62418	5	240	G	G
622	NATIONAL CERTIFICATE N6 MARKETING MANAGEMENT	82	DEPARTMENT OF EDUCATION	22467	5	240	G	G
623	BACHELOR OF TECHNOLOGY MANAGEMENT	37	DURBAN UNIVERSITY TECHNOLOGY	72149	7	480	G	G
624	NATIONAL DIPLOMA: ACCOUNTING	37	DURBAN UNIVERSITY TECHNOLOGY	72209	6	360	G	G
624	NATIONAL DIPLOMA: ACCOUNTING	68	COMMITTEE OF TECHNIKON PRINCIPALS	1023	6	360	G	G
625	BACHELOR OF COMMERCE: INSURANCE SCIENCE	5	UNIVERSITY OF PRETORIA	7075	6	468	G	G
627	NATIONAL DIPLOMA: ADMINISTRATIVE MANAGEMENT FINANCIAL	1	UNISA	62368	6	360	G	G
630	NATIONAL DIPLOMA TAXATION	1	UNISA	62415	6	360	G	G
631	BACHELOR OF COMMERCE: ECONOMIC SCIENCE	10	UNIVERSITY OF STELLENBOSCH	19586	6	380	G	G
634	BACHELOR OF COMMERCE: HUMAN RESOURCE MANAGEMENT	5	UNIVERSITY OF PRETORIA	7067	7	455	G	G
634	BACHELOR OF COMMERCE: HUMAN RESOURCE MANAGEMENT	11	UNIVERSITY OF FREE STATE	16925	6	520	G	G
635	NATIONAL DIPLOMA: FINANCE AND ACCOUNTING PUBLIC	38	MANGOSUTHU TECHNIKON	72295	6	360	G	G
636	CERTIFICATE IN HUMAN RESOURCES ADMINISTRATION	49	INTEC COLLEGE	21045	4	120	G	G
637	NATIONAL DIPLOMA: FINANCIAL ACCOUNTING	79	THE INSTITUTE OF CERTIFIED BOOKKEEPERS	20366	6	280	G	G
639	ASSOCIATE IN MANAGEMENT	9	UNIVERSITY OF CAPE TOWN	15179	5	120	G	G
641	CERTIFICATE: BANKING	47	DAMELIN	21164	5	120	G	G
643	BACHELOR OF COMMERCE: LAW	7	UNIVERSITY OF THE WITWATERSRAND	FSB0112	0	0	G	G
653	POSTGRADUATE CERTIFICATE IN MANAGEMENT STUDIES	76	MANAGEMENT COLLEGE OF SOUTH AFRICA (MANCOSA)	57206	6	120	G	G
662	BACHELOR OF COMMERCE HONOURS: INTERNATIONAL TRADE AND FINANCE	13	RAU	15415	7	160	G	G
669	ISA PROGRAMME IN SHORT-TERM INSURANCE	1	UNISA	7654 6	5	60	G	G
672	PRACTICAL LEGAL TRAINING COURSE	17	UNIVERSITY OF NORTH WEST	FSB0107	0	0	G	G
677	BACHELOR OF LAWS (LLB)	12	UNIVERSITY OF KWAZULU-NATAL	73013	7	512	G	G
678	BACHELOR OF COMMERCE: ECONOMICS	8	UNIVERSITY OF JOHANNESBURG	73773	6	432	G	G
685	NATIONAL DIPLOMA: ACCOUNTING	37	DURBAN UNIVERSITY TECHNOLOGY	72209	6	360	G	G

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							1	KI
691	BACHELOR OF COMMERCE FINANCIAL MANAGEMENT	13	RAU	18974	8	160	G	G
694	BACHELOR OF TECHNOLOGY: BUSINESS ADMINISTRATION	8	UNIVERSITY OF JOHANNESBURG	73811	7	480	G	G
694	BACHELOR OF TECHNOLOGY: BUSINESS ADMINISTRATION	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78684	7	480	G	G
698	BACHELOR OF COMMERCE: MANAGEMENT	15	UNIVERSITY OF WESTERN CAPE	7281	6	360	G	G
703	BACHELOR OF COMMERCE: FINANCE AND MANAGEMENT	12	UNIVERSITY OF KWAZULU-NATAL	79006	6	384	G	G
704	HIGHER CERTIFICATE: MARKETING	101	IMM GRADUATE SCHOOL OF MARKETING	79866	0	0	G	G
717	CERTIFICATE IN MANAGEMENT (FINANCIAL PLANNING)	57	SOUTHERN BUSINESS SCHOOL	36197	5	120	G	G
718	NATIONAL DIPLOMA: MANAGEMENT (FINANCIAL PLANNING)	57	SOUTHERN BUSINESS SCHOOL	57222	6	360	G	G
719	NATIONAL ADVANCED DIPLOMA IN MANAGEMENT (FINANCIAL PLANNING)	57	SOUTHERN BUSINESS SCHOOL	79586	7	120	G	G
731	CERTIFICATE: ASSOCIATE IN MANAGEMENT	112	UCT GRADUATE SCHOOL OF BUSINESS	15179	5	120	G	G
757	MASTERS DEGREE IN BUSINESS ADMINISTRATION	167	UNIVERSITY OF LUTON UNITED KINGDOM	FSB0088	0	0	G	G
758	MASTER OF BUSINESS ADMINISTRATION (MAJOR IN HEALTH CARE ADMINISTRATION)	151	NATIONAL UNIVERSITY, CALIFORNIA, USA	FSB0089	0	0	G	G
760	BACHELOR OF COMMERCE: INFORMATICS	5	UNIVERSITY OF PRETORIA	7069	6	452	G	G
763	MASTER OF BUSINESS ADMINISTRATION (OXFORD BROOKES UNIVERSITY)	152	OXFORD BROOKES UNIVERSITY	FSB0090	0	0	G	G
764	POST GRADUATE DIPLOMA IN BUSINESS ADMINISTRATION	4	MILPARK BUSINESS SCHOOL	76924	7	160	G	G
765	BACCALAUREUS TECHNOLOGY: HUMAN RESOURCES MANAGEMENT	1	UNISA	62328	7	480	G	G
767	BACHELOR OF COMMERCE (HONS) IN FINANCE	30	NATIONAL UNIVERSITY OF SCIENCE AND TECHNOLOGY	FSB0091	0	0	G	G
769	CERTIFICATION IN INTERNATIONAL RETAIL BANKING	153	INTERNATIONAL ACADEMY OF RETAIL BANKING	FSB0092	0	0	G	G
770	MASTERS OF BUSINESS ADMINISTRATION (Heriot-Watt University)	154	HERIOT-WATT UNIVERSITY	FSB0093	0	0	G	G
771	NATIONAL CERTIFICATE IN BANKING (BANKING SALES PEOPLE)	52	SGB ALL INSTITUTIONS	61589	5	120	G	G
774	DIPLOMA IN LIFE UNDERWRITING	131	THE INSTITUTE OF ADMIN AND COMMERCE OF SOUTH AFRICA	FSB0099	6	240	G	G
775	BACHELOR OF COMMERCE LOGISTICS MANAGEMENT	8	UNIVERSITY OF JOHANNESBURG	73779	7	432	G	G
780	BACHELOR OF COMMERCE IN ECONOMICS AND INTERNATIONAL TRADE	17	UNIVERSITY OF NORTH WEST	72773	7	360	G	G
782	BACHELOR OF SCIENCE (ECONOMICS)	157	THE UNIVERSITY OF BATH	FSB0111	0	0	G	G
784	BACHELOR OF BUSINESS SCIENCE: MANAGEMENT STUDIES	9	UNIVERSITY OF CAPE TOWN	4403	8	576	G	G
785	BACHELOR OF SCIENCE: OPERATIONS RESEARCH AND INFORMATION SYSTEMS	1	UNISA	6059	7	360	G	G
787	BACHELOR OF SCIENCE: ACTUARIAL AND FINANCIAL MATHEMATICS	5	UNIVERSITY OF PRETORIA	FSB0182	7	360	G	G
788	BACHELOR OF COMMERCE: FINANCIAL ACCOUNTING	5	UNIVERSITY OF PRETORIA	7059	7	479	G	G
789	BACHELOR OF COMMERCE HONOURS: FINANCIAL ANALYSIS AND PORTFOLIO MANAGEMENT	9	UNIVERSITY OF CAPE TOWN	19960	7	120	G	G
790	BACHELOR OF TECHNOLOGY IN MANAGEMENT SERVICE	34	TSHWANE UNIVERSITY OF TECHNOLOGY	72428	7	480	G	G
792	MASTER OF SCIENCE: MATHEMATICS OF FINANCE	9	UNIVERSITY OF CAPE TOWN	21390	8	120	G	G
793	BACHELOR OF HEALTH SCIENCES	7	UNIVERSITY OF THE WITWATERSRAND	49915	6	360	G	G

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Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]	
							1	KI
795	BACHELOR OF LAWS	158	MAKERERE UNIVERSITY, UGANDA	FSB0101	0	0 G	0	G
796	BACHELOR OF BUSINESS SCIENCE IN INFORMATION SYSTEMS	9	UNIVERSITY OF CAPE TOWN	FSB0115	0	0 G	0	G
799	BACHELOR OF ARTS (SPECIALISATION IN MATHEMATICS)	28	UNIVERSITY OF HAMPSHIRE	FSB0116	0	0 G	0	G
800	DIPLOMA IN BUSINESS MANAGEMENT AND ENTREPRENEURSHIP	77	INDEPENDENT INSTITUTE OF EDUCATION	58671	5	260 G	0	G
802	MASTER OF SCIENCE: FINANCIAL MANAGEMENT	173	UNIVERSITY OF LONDON	FSB0126	0	0 G	0	G
803	BACHELOR OF COMMERCE: ACCOUNTING	12	UNIVERSITY OF KWAZULU-NATAL	79009	6	416 G	0	G
804	BACHELOR OF TECHNOLOGY: HUMAN RESOURCES MANAGEMENT	75	TECHNIKON WITWATERSRAND	FSB0127	0	0 G	0	G
807	BTEC NATIONAL CERTIFICATE IN BUSINESS AND FINANCE	175	BOURNEMOUTH AND POOLE COLLEGE	FSB0131	0	0 G	0	G
808	ASSOCIATESHIP OF THE CHARTERED INSURANCE INSTITUTE	61	CHARTERED INSURANCE INSTITUTE	FSB0133	0	0 G	0	G
809	DIPLOMA: INSURANCE STUDIES	62	INSURANCE INSTITUTE OF SA (IISA)	20351	7	120 G	0	G
810	BACHELOR OF BUSINESS ADMINISTRATION: TELEMATIC	18	UNIVERSITY OF POTCHEFSTROOM	19597	6	384 G	0	G
811	BACHELOR OF LAWS: OLD CURRICULUM	5	UNIVERSITY OF PRETORIA	6877	6	528 G	0	G
812	NATIONAL DIPLOMA: RETAIL BUSINESS MANAGEMENT	75	TECHNIKON WITWATERSRAND	FSB0109	6	360 G	0	G
816	BACHELOR OF BUSINESS ADMINISTRATION HONOURS	57	SOUTHERN BUSINESS SCHOOL	71930	8	132 G	0	G
817	BACHELOR OF BUSINESS MANAGEMENT	28	UNIVERSITY OF HAMPSHIRE	FSB0187	0	0 G	0	G
817	BACHELOR OF BUSINESS MANAGEMENT	181	SOUTHERN ADVENTIST UNIVERSITY, COLLEGE DALE, TENNESSEE	FSB0189	0	0 G	0	G
819	CHARTERED BANKER	160	CHARTERED INSTITUTE OF BANKERS IN SCOTLAND	FSB0188	0	0 G	0	G
820	BACHELOR OF COMMERCE: ECONOMICS AND FINANCE	9	UNIVERSITY OF CAPE TOWN	4445	7	432 G	0	G

List 8: Qualifications recognised for Category IV FSPs for the transitional period only									
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	Category 4 [Sub Categories Below]		
							1	KI	
13	IISA PROGRAMME IN ADVANCED INSURANCE PRACTICE	1	UNISA	0-71536	6	120	G	G	
52	ICSA PROGRAMME IN STRATEGIC MANAGEMENT AND CORPORATE GOVERNANCE	1	UNISA	7110 2	7	96	G	G	
82	ADVANCED PROGRAMME IN FINANCIAL PLANNING AND ESTATES	1	UNISA	7592 2	7	96	G	G	
93	PROGRAMME IN RISK MANAGEMENT	1	UNISA	75949	6	108	G	G	
182	SAIM PROGRAMME IN BUSINESS MANAGEMENT	1	UNISA	7124 2	6	72	G	G	
365	CERTIFICATE OF PROFICIENCY: LONG-TERM BASIC (CATEGORY B PROGRAMME)	62	INSURANCE INSTITUTE OF SA (IISA)	FSB 017	4	0	G	-	
366	FUNDAMENTALS OF BANKING AND RISK MANAGEMENT	1	UNISA	7217 6	5	30	G	G	
367	CERTIFICATE IN FINANCIAL MANAGEMENT	80	LYCEUM COLLEGE	FSB 019	6	60	G	G	
368	PROGRAMME: BANKING	1	UNISA	FSB 020	6	96	G	-	
369	PROGRAMME: RISK MANAGEMENT	1	UNISA	7594 9	6	108	G	-	
373	ADVANCED PROGRAMME: INTERNATIONAL AND CENTRAL BANKING	1	UNISA	FSB 024	7	108	G	G	
374	ADVANCED PROGRAMME: FINANCIAL PLANNING AND ESTATES	1	UNISA	7592 2	7	96	G	G	
375	ADVANCED PROGRAMME: BANK CREDIT	3	INSTITUTE OF BANKERS	FSB 026	7	96	G	G	
457	FAIS ACT FOR KEY INDIVIDUALS AND REPRESENTATIVES	8	UNIVERSITY OF JOHANNESBURG	FSB 060	5	14	G	G	
486	BUSINESS MANAGEMENT DEVELOPMENT PROGRAMME	75	TECHNIKON WITWATERSRAND	FSB 064	7	0	G	G	
595	FINANCIAL MANAGEMENT PROGRAMME	1	UNISA	7127 7	6	96	G	G	
628	FUNDAMENTALS OF BANKING	1	UNISA	7217 6	5	15	G	G	
813	PROGRAMME IN ADVANCED BANK MANAGEMENT	1	UNISA	FSB0181	7	96	G	G	

BOARD NOTICE 136 OF 2012**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****AMENDMENT OF THE NOTICE ON QUALIFICATIONS, EXPERIENCE AND CRITERIA FOR APPROVAL AS COMPLIANCE OFFICER**

I German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby, under section under section 17(1)(b) and (2)(a) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), after consultation with the Advisory Committee on Financial Services Providers, amend the Notice on Qualifications, Experience and Criteria for the Approval as a Compliance Officer, 2010 (the Notice), as set out in the Schedule to this Notice.

This Notice is called the Amendment on Qualifications, Experience and Criteria for the Approval as a Compliance Officer, No. 1 of 2012.



GE Anderson,

Deputy Registrar of Financial Services Providers

SCHEDULE**AMENDMENT OF THE NOTICE ON QUALIFICATIONS, EXPERIENCE AND CRITERIA FOR APPROVAL AS COMPLIANCE OFFICER****Definition**

1. In this Schedule "the Notice" means the Notice on Qualifications, Experience and Criteria for the Approval as a Compliance Officer, 2010, as published by Board Notice 127 of 2010 in *Government Gazette* No. 33537 of 9 September 2010.

Amendment of the Notice

2. The Schedule to the Notice is hereby amended by the insertion after Annexure 1 to the Schedule of the Notice of the following Annexure:

Annexure 2: Qualifications recognised for Compliance Officers						
Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits
						CO
9	POSTGRADUATE DIPLOMA: FINANCIAL PLANNING	25	NELSON MANDELA METROPOLITAN UNIVERSITY	66096	7	120 G
22	NATIONAL CERTIFICATE: WEALTH MANAGEMENT	69	INSETA	57608	5	120 G
51	BACHELOR OF COMMERCE: ACCOUNTING	15	UNIVERSITY OF WESTERN CAPE	7259	6	360 G
93	PROGRAMME IN RISK MANAGEMENT	1	UNISA	75949	6	108 G
125	BACHELOR OF COMMERCE: LAW	10	UNIVERSITY OF STELLENBOSCH	15594	6	369 G
131	BACHELOR OF COMMERCE	1	UNISA	5563	6	384 G
131	BACHELOR OF COMMERCE	7	UNIVERSITY OF THE WITWATERSRAND	9843	6	360 G
153	BACHELOR OF COMMERCE: ECONOMICS	21	UNIVERSITY OF VENDA	9209	7	476 G
166	BACHELOR OF COMMERCE: ACCOUNTING	8	UNIVERSITY OF JOHANNESBURG	73772	6	432 G
253	NATIONAL DIPLOMA: COST AND MANAGEMENT ACCOUNTING	86	VAAL UNIVERSITY OF TECHNOLOGY	72723	6	360 G
274	BACHELOR OF COMMERCE: MANAGEMENT SCIENCES	10	UNIVERSITY OF STELLENBOSCH	19587	6	370 G
307	BACHELOR OF COMMERCE: FINANCIAL PLANNING	14	UNIVERSITY OF PORT ELIZABETH	66093	6	366 G
503	BACHELOR OF COMMERCE: FINANCIAL MANAGEMENT	21	UNIVERSITY OF VENDA	22854	6	424 G
543	ILPA	163	ILPA	FSB0119	0	0 G
564	HIGHER DIPLOMA IN EDUCATION - COMMERCE	10	UNIVERSITY OF STELLENBOSCH	FSB 082	6	480 G
573	CERTIFIED INTERNAL AUDITOR (CIA)	89	INSTITUTE OF INTERNAL AUDITORS	FSB 083	0	0 G
589	MASTER OF SCIENCE IN INVESTMENT MANAGEMENT	87	CITY BUSINESS SCHOOL LONDON	FSB0109	0	0 G
593	BACHELOR OF COMMERCE HONOURS INSURANCE AND RISK MANAGEMENT	29	MIDLANDS STATE UNIVERSITY	FSB0132	0	0 G
594	NATIONAL DIPLOMA: RETAIL BUSINESS MANAGEMENT	36	CAPE PENINSULA UNIVERSITY TECHNOLOGY	78666	6	360 G
601	BACHELOR OF COMMERCE HONOURS	1	UNISA	5687	8	120 G
604	ASSOCIATE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	128	THE CHARTERED INSTITUTE OF BUSINESS MANAGEMENT	FSB071	0	0 G
614	CERTIFICATE IN SECURITIES AND INVESTMENTS	85	CHARTERED INSTITUTE SECURITIES FOR INVESTMENT	FSB072	0	0 G
615	DIPLOMA: FINANCIAL MANAGEMENT	138	THE CHARTERED ASSOCIATION OF CERTIFIED ACCOUNTANTS	FSB073	0	0 G
619	BACHELOR OF COMMERCE: TOURISM MANAGEMENT	5	UNIVERSITY OF PRETORIA	7113	6	426 G
620	ADVANCED DIPLOMA IN BUSINESS MANAGEMENT	165	SOUTH AFRICAN INSTITUTE OF MANAGEMENT	67689	6	400 G
624	NATIONAL DIPLOMA: ACCOUNTING	37	DURBAN UNIVERSITY TECHNOLOGY	72209	6	360 G
767	BACHELOR OF COMMERCE (HONS) IN FINANCE	30	NATIONAL UNIVERSITY OF SCIENCE AND TECHNOLOGY	FSB0091	0	0 G
770	MASTERS OF BUSINESS ADMINISTRATION (Heriot-Watt University)	154	HERIOT-WATT UNIVERSITY	FSB0093	0	0 G
785	BACHELOR OF SCIENCE: OPERATIONS RESEARCH AND INFORMATION SYSTEMS	1	UNISA	6059	7	360 G
802	MASTER OF SCIENCE: FINANCIAL MANAGEMENT	173	UNIVERSITY OF LONDON	FSB0126	0	0 G

Annexure 2: Qualifications recognised for Compliance Officers

Qualification No	Qualification title	Institution No	Institution	SAQA ID	Level	Credits	CO
803	BACHELOR OF COMMERCE: ACCOUNTING	12	UNIVERSITY OF KWAZULU-NATAL	79009	6	415	G
817	BACHELOR OF BUSINESS MANAGEMENT	28	UNIVERSITY OF HAMPSHIRE	FSB0187	0	0	G

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