



Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA
REPUBLIEK VAN SUID-AFRIKA

Vol. 572

Pretoria, 20 February 2013
Februarie

No. 36174

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CONTENTS • INHOUD

<i>No.</i>		<i>Page No.</i>	<i>Gazette No.</i>
BOARD NOTICES			
17	Financial Advisory and Intermediary Services Act, 2002: Particular exemption from fees payable to Registrar, 2013	3	36174
18	do.: Exemption of particular persons from the level 1 regulatory examination, 2013	4	36174
19	do.: Exemption of particular persons from qualification requirements, No. 1 of 2013.....	6	36174

BOARD NOTICES

**BOARD NOTICE 17 OF 2013
FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**

PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, 2013

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, the persons listed hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Gazette 33750 of 10 November 2010.

- a) Elizabeth Catharina Pelser
- b) Litsoanelo Catherine Takane
- c) David Landon Fletcher
- d) Andries Sekgome Boshielo
- e) Johann Frederick van Zyl
- f) Stephanus Josephus Fourie
- g) Eldred Valmin Stewart

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 1 of 2013, and comes into operation on the date of publication in the *Gazette*.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 18 OF 2013**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



G E ANDERSON
Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY
EXAMINATION, 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008;

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Elizabeth Catharina Pelser	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Litsoanelo Catherine Takane	Must successfully complete the relevant first level regulatory examination by 30 June 2013.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Johann Frederick van Zyl	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Khululiwe Greimma Glenda Zwane	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Eldred Valmin Stewart	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Stephanus Josephus Fourie	Must successfully complete the relevant first level regulatory examination by 30 June 2013.
Willem Adriaan Visser	Must successfully complete the relevant first level regulatory examination by 30 December 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 1 of 2013, and comes into operation on publication in the *Government Gazette*.

BOARD NOTICE 19 OF 2013**FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
NO. 1 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



G E ANDERSON
Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
REQUIREMENTS, NO. 1 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

"qualification requirements" means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
David Landon Fletcher	Must comply with the qualification requirements by 31 July 2013.
Andries Sekgome Boshielo	Must comply with the qualification requirements by 31 May 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 1 of 2013, and comes into operation on the date of publication in the *Gazette*.

Printed by and obtainable from the Government Printer, Bosman Street, Private Bag X85, Pretoria, 0001
Publications: Tel: (012) 334-4508, 334-4509, 334-4510
Advertisements: Tel: (012) 334-4673, 334-4674, 334-4504
Subscriptions: Tel: (012) 334-4735, 334-4736, 334-4737
Cape Town Branch: Tel: (021) 465-7531

Gedruk deur en verkrygbaar by die Staatsdrukker, Bosmanstraat, Privaatsak X85, Pretoria, 0001
Publikasies: Tel: (012) 334-4508, 334-4509, 334-4510
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