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BOARD NOTICES

BOARD NOTICE 70 OF 2013

FINANCIAL SERVICES BOARD FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, NO. 2 OF 2013

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, the persons listed hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Gazette 33750 of 10 November 2010.

- a) Jayesh Jogie
- b) Albertus Hendrik Adriaan du Plessis
- c) Bryant Henry Howarth
- d) Renata Novona Blom
- e) Cornelius JC Botes
- f) William Joseph Cupido
- g) Nicolaas Casparus Botha
- h) Hendrik Phillipus Coetzee
- i) Sicebisanamuhla Sydney Biyela
- j) Sheila Devi Umaduth
- k) Hiralall Kasiparsad
- l) These Hozia Phooko

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 2 of 2013, and comes into operation on the date of publication in the *Gazette*.



G E ANDERSON

Deputy Registrar of Financial Services Providers

BOARD NOTICE 71 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 3 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


G E ANDERSON

Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 3 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Albertus Hendrik Adriaan du Plessis	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Bryant Henry Howarth	Must successfully complete the relevant first level regulatory examination by 31 December 2013.

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Renata Novona Blom	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
Cornelius JC Botes	Must successfully complete the relevant first level regulatory examination by 31 July 2013.
William Joseph Cupido	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Nicolaas Casparus Botha	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Hendrik Phillipus Coetzee	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Sicebisanamuhla Sydney Biyela	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
Nikolaos Pappadopoulos	Must successfully complete the relevant first level regulatory examination by 31 October 2013.
Hiralall Kasiparsad	Must successfully complete the relevant first level regulatory examination by 30 September 2013.
These Hozia Phooko	Must successfully complete the relevant first level regulatory examination by 30 September 2013.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No 3 of 2013, and comes into operation on publication in the *Government Gazette*.

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