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## BOARD NOTICES

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### BOARD NOTICE 153 OF 2013

#### FINANCIAL SERVICES BOARD

#### FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

#### EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS, NO. 2 OF 2013

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

### SCHEDULE

#### EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS, NO. 2 OF 2013

##### Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

**"qualification requirements"** means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

### Extent, duration and conditions of Exemption

2. The applicants for exemption referred to in Column One of Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements, subject to the conditions set out in connection therewith in Column Two of that Table:

TABLE A	
COLUMN ONE Applicant	COLUMN TWO Conditions
Oupa Samuel Mokgosi	Must comply with the qualification requirements by 30 June 2013.
Louwina Frederika Dugmore	Must comply with the qualification requirements by 30 June 2014.

### Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 2 of 2013, and comes into operation on the date of publication in the *Gazette*.

**BOARD NOTICE 154 OF 2013****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,  
NO. 3 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**SCHEDULE****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION  
REQUIREMENTS, NO. 3 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

**"qualification requirements"** means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

**Extent, duration and conditions of Exemption**

2. The applicants for exemption referred to in Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements subject to the conditions listed in paragraph 3.

<b>TABLE A</b>	
<b>Applicant</b>	
Michelle Koopman	Liam Rosslind
Bridget Catherine Dirkse v Schalkwyk	Angus Naidoo

<b>TABLE A</b>	
<b>Applicant</b>	
Jacoba Christina Hugo	Ryan Maluleke
Shamielah Jacobs	Kashief Taliep
Warda Madatt	Tasleem Bester
Lindi Tracy Peters	Dustin Onkruid
Gleason Patrick Du Plessis	Farzana Congo
Leslynne Leaner	Angelis Cupido
Masood Vardien	Malikah Petersen
Regan Donald Van Dyk	Moestakeem Petersen
Shihaam Hoosain	Elvin Frederique Adonis
Nomathamsanqa Ruth Vumendlini	Shaakier Limbada
Neliswa Ndlovu	Ghadija Taliep
Justin Ceto	Eugene Bessick
Michael Patrick Berry	

### Conditions of Exemption

3. The exemption referred to in paragraph 2 is subject to the conditions that the applicants listed in Table A must-
- (a) obtain a relevant qualification from the list of recognised qualifications as referred to in section 5 of the Determination of Fit and Proper Requirements by 31 December 2014;
  - (b) work under supervision in accordance with paragraph 4 of the Notice on Exemption of Services under Supervision in terms of Requirements and Conditions, 2008, published in Government *Gazette* 31514 on 15 October 2008 as amended; and
  - (c) successfully have completed the relevant level 1 regulatory examination.

### Amendment and withdrawal of Exemption

4. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 3 of 2013, and comes into operation on the date of publication in the *Gazette*.

**BOARD NOTICE 155 OF 2013****FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,  
NO. 4 OF 2013**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.


**G E ANDERSON**

*Deputy Registrar of Financial Services Providers*

**SCHEDULE****EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION  
REQUIREMENTS, NO. 4 OF 2013****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

**"Determination of Fit and Proper Requirements"** means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008.

**"qualification requirements"** means the applicable-

- (a) qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) requirement to complete a qualification from the list of recognised qualifications.

**Extent, duration and conditions of Exemption**

2. The applicants for exemption referred to in Table A are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements subject to the conditions listed in paragraph 3.

<b>TABLE A</b>	
<b>Applicant</b>	
Magdalena Coetzer	Stefan Kruger
Daniel Henwood	Shane Arnolds

<b>TABLE A Applicant</b>	
Getruida Pieterse	Guy Baker
Refiloe Motshabi	Tello Ralethohlane
Karen Pollard	Sharlene van Jaarsveldt
Razeen Diedericks	Linda Nanana
Antonie Prinsloo	John Grootboom
Margaretha van der Merwe	James Scheepers
Shimen Swanepoel	Migal Sale
Jaco Damas	Abel Kiwit
Alroy Julius	Aveer Kishun
Craig Williams	Mxolisi Koom
Brent Mohamed	Zodwa Nkosi
Lumkile Nyewe	Sthembiso Masumpa
Rapheal Dumas	Nozizwe Molemela
Monica Merile	Keadigetse Tong
Mathilda De Waal	Elizabeth Oosthuizen
David Rensburg	Samuel Mokgosane
Rethabile Mabaleng	Janet Ellis
Lorraine Francke	

### Conditions of Exemption

3. The exemption referred to in paragraph 2 is subject to the conditions that the applicants listed in Table A must-
- (a) obtain a relevant qualification from the list of recognised qualifications as referred to in section 5 of the Determination of Fit and Proper Requirements by 31 December 2014;
  - (b) work under supervision in accordance with paragraph 4 of the Notice on Exemption of Services under Supervision in terms of Requirements and Conditions, 2008, published in *Government Gazette* 31514 on 15 October 2008 as amended; and
  - (c) successfully have completed the relevant level 1 regulatory examination.

### Amendment and withdrawal of Exemption

4. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
  - (b) withdrawal in like manner.

### Short title and commencement

5. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No 4 of 2013, and comes into operation on the date of publication in the *Gazette*.



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**BOARD NOTICE 1 56 OF 2013**  
**FINANCIAL SERVICES BOARD**

**FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002**  
**(ACT NO. 37 OF 2002)**

**WITHDRAWAL OF EXEMPTION OF INNOVATION GROUP (PTY) LTD**

I, German Emmanuel Anderson, Deputy Registrar of Financial Services Providers, acting under paragraph 4(b) of the Notice on Exemption of Innovation Group (Pty) Ltd, 2012, hereby withdraw the exemption granted to Innovation Group (Pty) Ltd.

This Notice is called the Notice on Withdrawal of Exemption of Innovation Group (Pty) Ltd, 2013, and comes into operation on the date of publication in the *Gazette*.



**GE Anderson,**  
*Deputy Registrar of Financial Services Providers*













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