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BOARD NOTICES

BOARD NOTICE 229 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

EXEMPTION OF SLN WATKINS FROM QUALIFICATION REQUIREMENTS

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, SLN Watkins from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



C D DA SILVA

Deputy Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF SLN WATKINS FROM QUALIFICATION REQUIREMENTS

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"competency requirements", means the requirements relating to experience, continuous professional development, regulatory examinations (first and second level) and any other requirements, excluding qualification requirements, relating to a person's competency to render financial services as determined by the Registrar;

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

"qualification requirements" means the requirement in Part V of the Determination of Fit and Proper Requirements to have a recognised qualification that appears on the list of recognised qualifications; and

"SLN Watkins", means Shaan Leon Norris Watkins.

Extent and condition of Exemption

2. SLN Watkins is exempted from the qualification requirements when rendering financial services or managing or overseeing the activities of a business relating to the rendering of financial services in respect of all the categories of financial services providers and subcategories of financial products provided he complies with the competency requirements.

Amendment and withdrawal of Exemption

3. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

5. This Exemption is called the Exemption of SLN Watkins from the Qualification Requirements, 2013, and comes into operation on the date of publication in the *Gazette*.

BOARD NOTICE 230 OF 2013**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002
(ACT NO. 37 OF 2002)****EXEMPTION OF SWISS REINSURANCE COMPANY AND SWISS RE EUROPE S.A.**

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), Swiss Reinsurance Company Limited to the extent and subject to the conditions set out in the Schedule.



C D Da Silva,
Deputy Registrar of Financial Services Providers

SCHEDULE**EXEMPTION OF SWISS REINSURANCE COMPANY AND SWISS RE EUROPE S.A.****Definitions**

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"**Registrar**", means the Registrar of Financial Services Providers;

"**Swiss Re Europe S.A.**", means Swiss Re Europe, S.A., organizacna zložka Slovensko, a branch, organised under the laws of Slovakia, of Swiss Re Europe S.A. (a company incorporated in Luxembourg);

"**Swiss Reinsurance Company**", means Swiss Reinsurance Company Limited, a reinsurance company registered in Switzerland; and

"**Swiss Re Life and Health Africa**", means Swiss Re Life and Health Africa Limited.

Extent of exemption and conditions

2. (1) Swiss Reinsurance Company and Swiss Re Europe S.A. are exempted from section 7(1) of the Act, when rendering financial services, other than discretionary services, to Swiss Re Life and Health Africa provided-
 - (a) they remain, directly or indirectly, wholly owned subsidiaries of Swiss Re Limited;

- (b) Swiss Reinsurance Company maintains its authorisation with the Swiss Financial Market Supervisory Authority; and
 - (c) they immediately advise the Registrar of any change to the particulars furnished in their exemption applications.
- (2) This exemption shall, subject to paragraph 3, be valid for a period of 5 years after the commencement date.

Amendment and withdrawal of exemption and conditions

3. The exemption and conditions mentioned in paragraph 2 are subject to-
- (a) amendment thereof published by the Registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Swiss Reinsurance Company and Swiss Re Europe S.A., 2013, and comes into operation on the date of publication in the *Gazette*.
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