



Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA
REPUBLIEK VAN SUID-AFRIKA

Vol. 585

Pretoria, 14 March
Maart 2014

No. 37438

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BOARD NOTICES

BOARD NOTICE 29 OF 2014

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 1 OF 2014

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE

EXEMPTION OF PARTICULAR PERSONS FROM THE LEVEL 1 REGULATORY EXAMINATION, NO. 1 OF 2014

Definitions

- In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

'level 1 regulatory examination' means the first level regulatory examinations referred to in Annexure 1 to the Determination of Qualifying Criteria and Qualifications for Financial Services Providers, No. 1 of 2008.

Extent, duration and conditions of Exemption

- The applicants for exemption referred to in the Table hereunder are exempted from sections 3(3), 3(4), 6(1)(f) and 10 of the Determination of Fit and Proper Requirements insofar reference is made to the level 1 regulatory examination, subject to the condition that it must be successfully completed by 31 July 2014.

APPLICANTS	
Andre Rousseau	Carmen Linette Visagie
Stuart Brydon Malleson	Petrus Letjeku Kgamedi
Pramraj Bhagirathi	Lilian Grace Badrick
David Thamie Hadebe	Ayanda Precious Mthuli
Nkosinathi Jeckonia Mthethwa	Colette Maulgue
Clint Patrick Aspeling	Goitsewang Joseph Moholoagae

APPLICANTS	
Johannes Nortier	Maryke Swarts (Oosthuizen)
Ronel Barnard	Fred Lovelock
Willfred Sylvester Maziya	Mapitso Kenalemang Mogoshadi Maleka
Heather Katherine Schouw	Mosibudi Edwina Gazide
Carel Petrus Smuts Gouws	Alan MC Neill Fisher
Mpumelelo Bekisisa Roland Nxasane	Emanuel Kruger Spamer
Alleyn Roderick Field	William Harold Higgs
Mpumelelo Bekisisa Roland Nxasane	Lerato Fatola
Isacc Pule Tarentaal	Zanele Sikade
Zukile Dutywa	Zwelethu Nondela
Nandipha Ntombizodide Jafta	Sene Patricia Manthata
Ntombekaya Beauty Menzi	Sandile Dlomo
Sarah Ndibi	Saseka Ngule
Sibongiseni Thabani Gumbi	Zameka Makapela
Gladwin Tebogo Moeng	Msizeni Cedric Zulu
Kgantse Jeniver Medupe	Ayanda Hebert Mdutshane
Ziyanda Mdlulwa	Agnes Palesa Nuvunga
Moloi Flip Tyhalidini	Lindelwa Qoshani
Mamokete Christinah Phage	Isaac Matoti Ntimbane
Matshidiso Yvonne Ntheledi	Ntombokhanyo Cynthia Nobongoza
Christinah Nomvuyo Nameka	Sandile Future Moyakhe
Edwin Motsoko	Nobetheni Lydia Mase (Mahatalle)
Ntswaki Victoria Machilli (Makhamba)	Faith Kefilwe Mosiedi
Salome Elsia Gambuza	Abram Thadi Kgopotse
Johanne Magija Khoza	Mojabeng Georgia Mmoko
Zoliswa Mgwedli	Joel Ngobeni
Lungiswa Clariese Sikatele	Nodumo Penelope Mabusela
Gabaitsiwe Irene Lentswe	Lenkwane Joseph Mashigo
Zandile Joyce Sebatilelo	Zakaria Sebolelo Siwata
Boitumelo Theodora Mokgadinyane	Aupa Andries Molahlehi
Daniel Mothibeli	Moseketsi Deborah Motloun
Delisile Sarah Mbonani	Nonhlanhla Zondi
Bernice Joseph	Gladman Obrey Vukile Nkosenye Mlambo
Debie Ann Willer	Byron Dittberner
Sbusisiwe Ruth Ndima	Magrieta Catharina Fourie
Lorraine Makaepa	Daniel George Badenhorst
Thanaseelan Govender	Dhevraj Srinivasan
Zanele Agrieneth Tshabalala	

Amendment and withdrawal of Exemption

3. This Exemption is subject to-

- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
- (b) withdrawal in like manner.

Short title and commencement

4. This Exemption is called the Exemption of Particular Persons from the Level 1 Regulatory Examination, No. 1 of 2014, and comes into operation on publication in the *Government Gazette*.

BOARD NOTICE 30 OF 2014**FINANCIAL SERVICES BOARD****FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002****PARTICULAR EXEMPTION FROM FEES PAYABLE TO REGISTRAR, NO. 1 OF 2014**

I, Caroline Day da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002;-

- (a) the persons listed in Table A hereunder from paragraph 2.13 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2008, published by Government Notice No. R. 1049 in Government Gazette 33750 of 10 November 2010; and
- (b) the persons listed in Table B hereunder from paragraph 2.12 in the Table of Fees in the Schedule to the Notice on Determination of Fees payable to the Registrar of Financial Services Providers, 2013, published by Government Notice No. R. 892 in Government Gazette 37052 of 20 November 2013.

TABLE A	
Sbusisiwe Ruth Ndima	Pramraj Bhagirathi
Magrieta Catharina Fourie	
TABLE B	
Andre Rousseau	Loraine Annette Brown
Yashvir Singh	Dolicah Pretty Khoza
Lilian Grace Badrick	Chantelle van Loggerenberg
Ayanda Precious Mthuli	Shelley Ann Brooker
David Thamie Hadebe	Masuret van Heerden
Selisha Ramkelawan	William Harold Higgs
Annette van Buren-Schele	Alleyn Roderick Field
Nkosinathi Jeckonia Mthethwa	Mpumelelo Bekisisa Roland Nxasane
Hoosen Takoor	Carmen Linette Visagie
Ilze Ackerman	Zanele Sikade
Shakeel Hendricks	Zukile Dutywa
Rosemary Zodwa Ndaba	Zwelethu Nondela
Mosibudi Edwina Gazide	Nandipha Ntombizodide Jafta
Alan MC Neill Fisher	Sene Patricia Manthata
Mpumelelo Bekisisa Roland Nxasane	Nonhlanhla Zondi
Sulochana Saayman	Debie Ann Willer
Emanuel Kruger Spamer	Joanne Bormann
Jefferey Webber	Annette Kristiana Kuipers
Daniel George Badenhorst	Agatha Christina van der Schyff
Thanaseelan Govender	Zanele Agrieneth Tshabalala
Bernice Joseph	Lerato Mhlalife Ntisa
Puleng Maria Letebele	

This Notice is called the Notice on Particular Exemption from Fees Payable to Registrar, No. 1 of 2014, and comes into operation on the date of publication in the *Gazette*.



CD Da Silva

Deputy Registrar of Financial Services Providers

BOARD NOTICE 31 OF 2014
FINANCIAL SERVICES BOARD
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION REQUIREMENTS,
 NO. 1 OF 2014**

I, Caroline Dey da Silva, Deputy Registrar of Financial Services Providers, hereby exempt under section 44(4) of the Financial Advisory and Intermediary Services Act, 2002, particular persons from provisions of the Act and certain measures promulgated thereunder, to the extent set out in the Schedule.



CD Da Silva

Deputy Registrar of Financial Services Providers

SCHEDULE

**EXEMPTION OF PARTICULAR PERSONS FROM QUALIFICATION
 REQUIREMENTS, NO. 1 OF 2014**

Definitions

1. In this Schedule, "the Act" means the Financial Advisory and Intermediary Services Act, 2002, any word or expression to which a meaning is assigned in the Act shall have that meaning, and unless the context otherwise indicates-

"Determination of Fit and Proper Requirements" means the Determination of Fit and Proper Requirements for Financial Services Providers, 2008;

"Qualification requirements" means the applicable-

- (a) Qualification requirement referred to in Column Two of Table E of the Determination of Fit and Proper Requirements; or
- (b) Requirement to have a recognised qualification that appears on the list of recognised qualifications.

Extent and duration of Exemption

2. (a) The applicants for exemption referred to in Table A hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2015**.

TABLE A	
Applicant	
Selisha Ramkelawan	Hoosen Takoor
Pieter Alfred Germishuys	Ilze Ackerman
Dino Alfred Miller	Jacomina Elizabeth Vorster

TABLE A	
Applicant	
Annette van Buren-Schele	Shakeel Hendricks
Melvin Mark Pedro	Cecil Jeffrey Damon
Charmain Lisinda Kok (van der Merwe)	Sifiso Phillip Ginindza
Vanessa Young	Martin Mashosho
Nassief Mohamed	Rosemary Zodwa Ndaba
Ray Nasson	Wisani Lawrence Baloyi
Dalton Kent Lakey	Audrey Visser
Sivandren Govender	Masuret van Heerden
Jonathan Andries Jansen Van Vuuren	Jefferey Webber
Gladman Obrey Vukile Nkosenye Mlambo	Sandile Leslie Gumede
Francis Boersma	Luthando Mfundo Qangule
Adam Smith	Melilizwe Zwelethemba Jwili
Martinus Cornelius Bosman	Mosima Desiree Seemola
Yashvir Singh	Byron Dittberner
Strinivasan Maistry	Amoret Vermaak
Thaba Ntokozi Louis Zwane	Veral Eva Petersen
James Tyler	Ndimphiwe Nqopha
Feizal Benny	Jerome Michael Witbooi
Darlington Moyo	Given Kabelo Masilo
Mohamed Kamil Kader	Dale Howard Johnson
Kiran Ramson	Nivern Suchipersadh
Samuel John Bevan	Rishal Seetaram
Viren Amrithlal Atwaru	Vignesperen Moodley
Frederik Maritz Booysen	Delane Pritiraj
Nkanyiso Lloyd Dumakude	Martin Swart
Shaun Christopher Clark	Kagiso Catherine Emily Tshabatau
Cornelius Peter Bester	Sydney Abiele Lekitlane
Barbara Nompumelelo Mkhonza	Leeshen Patel
Mbuso Dick Shange	Kelvin Peter Wetzl
Mashiteletse Kenneth Mokine	Tyrone Emmanuel Padayachee
Carelo Andreas Gagiano	Rachel Hariparsad
Tiisetso Khoali	Dylan Geraldo Kyle Brandt
Abraham Jacques de Villiers	Nildo Celestino Braganca
Pule Theophilus Maphango	Marc de Fondaumiere
Corleta Sethoga	Joseph Chitanda
Eric Sello Phateng	Tshepiso Selby Mofokeng
Theesan Naick	Leveshan Padiachey
Dwayne Ronald Graham	Soloman Bhekizizwe Banda
Bini Hendrick Tshepo Seripe	Nelson Motsoeng
Zeenat Kajee	Gary John Geldenhuys
Dwayne Naicker	Catharina Elizabeth van der Merwe
Jarris Rajah	Lerato Mhlalife Ntisa
Puleng Maria Letebele	Kirsten Andrew Roux

- (b) The applicants for exemption referred to in Table B hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 January 2016**.

TABLE B	
Applicant	
Nkosinathi Jeckonia Mthethwa	Lerato Fatola
Abraham Johann De Klerk	Mongi Siyabonga Ndawonde
Joanne Bormann	Loraine Annette Brown
Nomsaundu Benedictor Mancoba	Natasha van der Westhuizen (Moss)
Adil Kaldine	Stephanus Jacobus Venter
Lindokuhle Honerious Mngadi	Llewelyne Hector Louw
Shelly Ann Brooker	

- (c) The applicants for exemption referred to in Table C hereunder are exempted from the Transitional Provisions in paragraph 10 of the Determination of Fit and Proper Requirements insofar it relates to the qualification requirements until **31 July 2014**.

TABLE C	
COLUMN ONE	COLUMN TWO
Applicant	Conditions
Chantelle van Loggerenberg	Wynand Jacobus Combrink van Beek
Dolicah Pretty Khoza	Gert Jacobus Nel
Annette Kristiana Kuipers	Agatha Christina van der Schyff
Loretta Vagle	

Conditions of Exemption

3. This Exemption is subject to the conditions that-
- 3.1. an applicant referred to in Table A above must:
- (i) on or before 31 March 2014 furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
 - (ii) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirement on expiry of the Exemption; and
 - (iii) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.
- 3.2. an applicant referred to in Table B above must-
- (i) on or before 31 March 2014 furnish the Registrar with evidence that he/she has enrolled for a recognised qualification;
 - (ii) on or before 28 February 2015 furnish the Registrar with his/her statement of results;
 - (iii) immediately inform the Registrar of any matter that may impact on his/her ability to comply with the qualification requirement on expiry of the Exemption; and
 - (iv) immediately advise the Registrar of any change to the particulars furnished in and relating to his/her application for exemption.

Amendment and withdrawal of Exemption and Conditions

4. This Exemption is subject to-
- (a) amendment thereof published by the registrar by notice in the *Gazette*; and
 - (b) withdrawal in like manner.

Short title and commencement

5. This Exemption is called the Exemption of Particular Persons from Qualification Requirements, No. 1 of 2014, and comes into operation on the date of publication in the *Gazette*.