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BOARD NOTICE

BOARD NOTICE 71 OF 2015

FINANCIAL SERVICES BOARD

FEES PAYABLE IN TERMS OF THE COLLECTIVE INVESTMENT SCHEMES CONTROL ACT, 2002

I, Dube P. Tshidi, Registrar of Collective Investment Schemes, under sections 25(2), 42(1), 65(1)(d), 82(1) and 114(3)(d) of the Collective Investment Schemes Control Act, 2002 (Act No. 45 of 2002), hereby determine the fees set out in the Schedule.

This Notice takes effect on the date of publication thereof.

Board Notice 88 of 2013, as published in *Government Gazette* No. 36485 of 31 May 2013, is hereby withdrawn.


D P TSHIDI

REGISTRAR OF COLLECTIVE INVESTMENT SCHEMES

SCHEDULE

1. Definitions

In this Schedule, “the Act” means the Collective Investment Schemes Control Act, 2002 (Act No. 45 of 2002), and unless the context otherwise indicates any word or expression to which a meaning has been assigned in the Act shall have the meaning so assigned to it, and

“section” means a section of the Act.

2. Fees

(1) The fees payable by the persons identified in column 2 of the Table below in respect of the various sections of the Act and other matters are as follows:

Table

	Section	Payable by	Fee (Rand)
4(5)(a)	Application for the delegation of a function listed in the definition of administration	Applicant	R5 720 per delegated function
22	Application for exemption from the provisions of the Act	Applicant	R34 000
23	Request for a copy of the annual report of the registrar	Requester	R240
42	Application for registration as a manager of a collective investment scheme in securities (including one portfolio)	Applicant	R58 200
42	Application by a manager of a collective investment scheme in securities for approval of a supplemental deed constituting an additional portfolio	Relevant manager	R18 900
42	Application by a manager of an additional collective investment scheme in securities (including one portfolio)	Relevant manager	R40 740
42	Application by a manager of a collective investment scheme in securities for approval of a supplemental deed constituting a portfolio administered on behalf of a third party in terms of Notice 778 of 2011	Relevant manager	R21 750 for the first portfolio R18 900 for each additional portfolio
42	Application by a manager of a collective investment scheme in securities to co-name one of its existing portfolios in terms of Notice 778 of 2011	Relevant manager	R21 750 for the first portfolio R18 900 for each additional portfolio
42	Application by a manager of a collective investment scheme in securities to incubate one of its existing portfolios in terms of Notice 778 of 2011	Relevant manager	R21 750 for the first portfolio R18 900 for each additional portfolio
42	Application for the change of the third	Relevant manager	R3 180

	party agreement in respect of a third party agreement entered into in terms of Notice 778 of 2011		
43	Application for approval of – (a) a change in the minority shareholding; (b) the appointment of a director; (c) the resignation of a director; (d) the change of the position of a director; (e) the use of another name or an abbreviation or derivative of its registered name; or (f) change in the constituting documents of the manager	Relevant manager	R1 380 R3 180 R1 060 R1 380 R1 380 R3 180
43	Application for approval of a change in the controlling shareholding	Relevant manager	R11 450
48 read with section 42	Application for registration as a manager of a collective investment scheme in property (including one portfolio)	Applicant	R58 200
48	Application by a manager of a collective investment scheme in property for approval of a supplemental deed constituting an additional portfolio	Relevant manager	R18 900
52(1)	Application by a manager for approval of a nominee company	Relevant manager or Applicant	R9 100
53	Application for registration as a manager of a collective investment scheme in participation bonds	Applicant	R58 200
64 read with section 42	Application for registration as a manager of a collective investment scheme in hedge funds (including one portfolio)	Applicant	R58 200
64 read with section 42	Application by a manager of a collective investment scheme in hedge funds for approval of a supplemental deed constituting an additional portfolio	Applicant	R20 980
64 read with section 98(2)	Application by a manager of a collective investment scheme in hedge funds for approval of an amendment of a deed or supplemental deed, which amendment includes the change of a custodian, an independent administrator or a depository but excludes an application for an additional portfolio	Relevant Manager	R11 870
65(1)	Application for approval of a foreign collective investment scheme (including one portfolio)	Applicant	R73 560

65(1)(c)	Application under conditions imposed by the registrar, by a foreign collective investment scheme in respect of any additional portfolio or product in such scheme	Applicant	R20 980
65(1)(c)	Application under the conditions of approval imposed by the registrar, by a foreign collective investment scheme for an alteration of or addition to such scheme, other than an application for an additional portfolio or product or rescission of a scheme or a portfolio or product of such scheme	Applicant	R29 680
65(1)(c)	Application under the conditions of approval imposed by the registrar, by a foreign collective investment scheme for an alteration of or addition to a portfolio or product of such scheme, other than an application for an additional portfolio or product or rescission of a scheme or a portfolio or product of such scheme	Applicant	R11 660
65(1)(c)	Application under the conditions imposed by the registrar, by a foreign collective investment scheme for the rescission of a scheme or portfolio or product of such scheme	Applicant	R5 720 per scheme/ portfolio or product of such scheme
65(1)(c)	Application under the conditions imposed by the registrar, by a foreign collective investment scheme for a change of name of a scheme or portfolio or product of such scheme	Applicant	R5 720 per name change of a scheme or portfolio or product of such scheme
65(1)(c)	Notification under the conditions imposed by the registrar, by a foreign collective investment scheme for a change of a scheme or portfolio or product of such scheme	Applicant	R5 720 per scheme or portfolio or product
65(1)(c)	Application under the conditions imposed by the registrar, by a foreign collective investment scheme for an amendment to a representative agreement entered into by the scheme	Applicant	R8 690
65(1)(c)	Application under the conditions imposed by the registrar, by a foreign collective investment scheme for an amendment to a representative office representing the scheme	Applicant	R8 690
69(2)	Application for registration as a trustee or custodian of a collective investment scheme	Applicant	R37 750
78	Application for the conversion of a	Relevant Manager	R37 750

collective investment scheme			
82	Application for the issue of a certificate of registration of conversion	Relevant Manager	R1 380
86	Application to conduct business other than administration	Relevant Manager	R12 600
88	Application for exemption from the provisions of section 88	Relevant Manager	R34 000
97(2)	Application for exemption by notice in the <i>Gazette</i> of a particular type or category of collective investment schemes from the provisions of section 97(1)	Relevant Manager	R34 000
97(3)	Application for suspension by notice in the <i>Gazette</i> of a provision of any deed	Relevant Manager	R34 000
98(2)(a)	Application for the approval of a ballot paper to be submitted to investors for their consent	Relevant Manager	R5 720 in respect of each portfolio
98(2)	Application by a manager for approval of an amendment of a deed or supplemental deed, other than an application for an additional portfolio	Relevant Manager	R11 870
99(1)(a)	Application for the approval of a ballot paper to be submitted to investors for their consent	Relevant Manager	R5 720 in respect of each portfolio
102	Application for the winding-up of a portfolio	Relevant Manager	R32 000 in respect of each portfolio
114(3)(d)	Application for the approval of the sale of – (a) a collective investment scheme in property; or (b) the property shares or immovable property included in a portfolio of such a scheme, where such sale requires the approval of an exchange on which the participatory interests of such portfolio are listed or the approval of investors	Relevant Manager	R32 750
114(3)(d)	Application for the approval of a conversion of a collective investment scheme in property to a loan stock company	Relevant manager	R32 750
114(3)(d)	Application for the approval of the acquisition by a collective investment scheme in property of a loan stock company	Relevant manager	R32 750
114(3)(d)	Request for a copy of any document, per A4-sheet, or part thereof	Requester	R9,00

114(3)(d)	Request for a certified copy of an approved deed or supplemental deed	Relevant manager	R9,00 per A4-sheet or part thereof
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(2) The fees are payable on the date on which an application or a request is submitted to the registrar.

3. Payment of fees

The payment of a fee referred to in this Schedule by a person to the Financial Services Board may be made by means of a cheque or a money transfer (in which case proof of the transfer must be provided).

4. Interest payable in respect of overdue fees

The Registrar may impose interest in respect of any overdue fees at the prevailing interest rate determined by the Minister of Finance in accordance with section 80(1)(b) of the Public Finance Management Act, 1999 (Act No. 1 of 1999).

5. Short title

This Notice is called the Notice on Collective Investment Schemes Fees, 2015.

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